

NTC, 5 JULY 2022

MALLIAVIN'S PROBLEMS FOR BEURLING GENERALIZED PRIMES

Frederik Broucke — fabrouck.broucke@ugent.be

BEURLING GENERALIZED PRIMES

Beurling's question: minimum requirements for proving the PNT?

Abstract setting: generalized primes and integers.

BEURLING GENERALIZED PRIMES

Beurling's question: minimum requirements for proving the PNT?

Abstract setting: generalized primes and integers.

$$\mathcal{P} = (p_j)_{j \geq 1}, \quad 1 < p_1 \leq p_2 \leq \dots, \quad p_j \rightarrow \infty;$$

$$\mathcal{N} = (n_k)_{k \geq 0}, \quad 1 = n_0 < n_1 \leq n_2 \leq \dots, \quad n_k = p_1^{\nu_1} \cdots p_j^{\nu_j}.$$

BEURLING GENERALIZED PRIMES

Beurling's question: minimum requirements for proving the PNT?

Abstract setting: generalized primes and integers.

$$\mathcal{P} = (p_j)_{j \geq 1}, \quad 1 < p_1 \leq p_2 \leq \dots, \quad p_j \rightarrow \infty;$$

$$\mathcal{N} = (n_k)_{k \geq 0}, \quad 1 = n_0 < n_1 \leq n_2 \leq \dots, \quad n_k = p_1^{\nu_1} \cdots p_j^{\nu_j}.$$

Counting functions:

$$\pi_{\mathcal{P}}(x) = \#\{p_j \leq x\}, \quad N_{\mathcal{P}}(x) = \#\{n_k \leq x\}.$$

EXAMPLES

- $(\mathcal{P}, \mathcal{N}) = (\mathbb{P}, \mathbb{N}_{>0})$, the classical primes and integers.

$$\pi_{\mathbb{P}}(x) = \pi(x), \quad N_{\mathbb{P}}(x) = \lfloor x \rfloor.$$

EXAMPLES

- $(\mathcal{P}, \mathcal{N}) = (\mathbb{P}, \mathbb{N}_{>0})$, the classical primes and integers.

$$\pi_{\mathbb{P}}(x) = \pi(x), \quad N_{\mathbb{P}}(x) = \lfloor x \rfloor.$$

- $\mathcal{P} = (2.5, 3, 5, 7, \dots)$, $\mathcal{N} = (1, 2.5, 3, 5, 6.25, 7, 7.5, \dots)$.

$$\pi_{\mathcal{P}}(x) = \pi(x) \text{ for } x \geq 2.5, \quad \pi_{\mathcal{P}}(x) = 0 \text{ for } x < 2.5,$$

$$N_{\mathcal{P}}(x) = \sum_{j \geq 0} (\lfloor x(2/5)^j \rfloor - \lfloor (x/2)(2/5)^j \rfloor) = \frac{5}{6}x + O(\log x).$$

EXAMPLES

- $(\mathcal{P}, \mathcal{N}) = (\mathbb{P}, \mathbb{N}_{>0})$, the classical primes and integers.

$$\pi_{\mathbb{P}}(x) = \pi(x), \quad N_{\mathbb{P}}(x) = \lfloor x \rfloor.$$

- $\mathcal{P} = (2.5, 3, 5, 7, \dots)$, $\mathcal{N} = (1, 2.5, 3, 5, 6.25, 7, 7.5, \dots)$.

$$\pi_{\mathcal{P}}(x) = \pi(x) \text{ for } x \geq 2.5, \quad \pi_{\mathcal{P}}(x) = 0 \text{ for } x < 2.5,$$

$$N_{\mathcal{P}}(x) = \sum_{j \geq 0} (\lfloor x(2/5)^j \rfloor - \lfloor (x/2)(2/5)^j \rfloor) = \frac{5}{6}x + O(\log x).$$

- \mathcal{O}_K the ring of integers of a number field K .

$$\mathcal{P} = (|P|, P \trianglelefteq \mathcal{O}_K, P \text{ prime ideal}),$$

$$\mathcal{N} = (|I|, I \trianglelefteq \mathcal{O}_K, I \text{ integral ideal}).$$

$$\pi_{\mathcal{O}_K}(x) \sim \frac{x}{\log x}, \quad N_{\mathcal{O}_K}(x) = \rho_K x + O(x^{1-\frac{2}{d+1}}).$$

PNT AND DENSITY OF INTEGERS

Theorem (Beurling, 1937)

If $N(x) = \rho x + O(x/\log^\gamma x)$ for some $\rho > 0$ and $\gamma > 3/2$, then

$$\pi(x) \sim \text{Li}(x).$$

PNT AND DENSITY OF INTEGERS

Theorem (Beurling, 1937)

If $N(x) = \rho x + O(x/\log^\gamma x)$ for some $\rho > 0$ and $\gamma > 3/2$, then

$$\pi(x) \sim \text{Li}(x).$$

Theorem (Diamond, 1977)

If $\pi(x) = \text{Li}(x) + O(x/\log^\eta x)$ for some $\eta > 1$, then

$$N(x) \sim \rho x$$

for some $\rho > 0$.

MALLIAVIN-TYPE REMAINDERS

What about estimates with remainder?

MALLIAVIN-TYPE REMAINDERS

What about estimates with remainder?

In 1961, Malliavin consider the following asymptotic formulas:

$$\pi(x) = \text{Li}(x) + O\left(x \exp(-c \log^\alpha x)\right), \quad (P_\alpha)$$

$$N(x) = \rho x + O\left(x \exp(-c' \log^\beta x)\right). \quad (N_\beta)$$

Here, $\rho, c, c' > 0$ and $\alpha, \beta \in (0, 1]$.

MALLIAVIN-TYPE REMAINDERS

What about estimates with remainder?

In 1961, Malliavin consider the following asymptotic formulas:

$$\pi(x) = \text{Li}(x) + O\left(x \exp(-c \log^\alpha x)\right), \quad (P_\alpha)$$

$$N(x) = \rho x + O\left(x \exp(-c' \log^\beta x)\right). \quad (N_\beta)$$

Here, $\rho, c, c' > 0$ and $\alpha, \beta \in (0, 1]$.

Malliavin showed that

$$(N_\beta) \implies (P_\alpha) \quad \text{for some } \alpha = \alpha(\beta),$$

$$(P_\alpha) \implies (N_\beta) \quad \text{for some } \beta = \beta(\alpha).$$

MALLIAVIN-TYPE REMAINDERS

What about estimates with remainder?

In 1961, Malliavin consider the following asymptotic formulas:

$$\pi(x) = \text{Li}(x) + O\left(x \exp(-c \log^\alpha x)\right), \quad (P_\alpha)$$

$$N(x) = \rho x + O\left(x \exp(-c' \log^\beta x)\right). \quad (N_\beta)$$

Here, $\rho, c, c' > 0$ and $\alpha, \beta \in (0, 1]$.

Malliavin showed that

$$(N_\beta) \implies (P_\alpha) \quad \text{for some } \alpha = \alpha(\beta),$$

$$(P_\alpha) \implies (N_\beta) \quad \text{for some } \beta = \beta(\alpha).$$

Set

$$\alpha^*(\beta) = \sup \alpha(\beta), \quad \beta^*(\alpha) = \sup \beta(\alpha).$$

MALLIAVIN'S SECOND PROBLEM: $\beta^*(\alpha)$

Malliavin showed that

$$\begin{aligned}\pi(x) &= \text{Li}(x) + O\left(x \exp(-c \log^\alpha x)\right) \\ \implies N(x) &= \rho x + O\left(x \exp(-c' \log^{\frac{\alpha}{\alpha+2}} x)\right),\end{aligned}$$

i.e. $\beta^*(\alpha) \geq \frac{\alpha}{\alpha+2}$.

MALLIAVIN'S SECOND PROBLEM: $\beta^*(\alpha)$

Malliavin showed that

$$\pi(x) = \text{Li}(x) + O(x \exp(-c \log^\alpha x))$$

$$\implies N(x) = \rho x + O(x \exp(-c' \log^{\frac{\alpha}{\alpha+2}} x)),$$

i.e. $\beta^*(\alpha) \geq \frac{\alpha}{\alpha+2}$.

Theorem (Diamond 1970, Hilberdink, Lapidus, 2006)

Suppose $\pi(x) = \text{Li}(x) + O(x \exp(-c \log^\alpha x))$ for some $c > 0$. Then

$$N(x) = \rho x + O(x \exp(-c' (\log x \log \log x)^{\frac{\alpha}{\alpha+1}})),$$

for some $\rho, c' > 0$.

OPTIMALITY

Theorem (B., Debruyne, Vindas, 2021)

Given $\alpha \in (0, 1]$, there exists $(\mathcal{P}, \mathcal{N})$ with
 $\pi(x) = \text{Li}(x) + O(x \exp(-c \log^\alpha x))$ and

$$N(x) = \rho x + \Omega_{\pm} \left(x \exp(-c' (\log x \log \log x)^{\frac{\alpha}{\alpha+1}}) \right).$$

OPTIMALITY

Theorem (B., Debruyne, Vindas, 2021)

Given $\alpha \in (0, 1]$, there exists $(\mathcal{P}, \mathcal{N})$ with
 $\pi(x) = \text{Li}(x) + O(x \exp(-c \log^\alpha x))$ and

$$N(x) = \rho x + \Omega_{\pm} \left(x \exp(-c' (\log x \log \log x)^{\frac{\alpha}{\alpha+1}}) \right).$$

Proof idea: construct a Beurling zeta function which has extreme growth on the contour $\sigma = 1 - d \frac{\log \log |t|}{\log^{1/\alpha} |t|}$.

OPTIMALITY

Theorem (B., Debruyne, Vindas, 2021)

Given $\alpha \in (0, 1]$, there exists $(\mathcal{P}, \mathcal{N})$ with
 $\pi(x) = \text{Li}(x) + O(x \exp(-c \log^\alpha x))$ and

$$N(x) = \rho x + \Omega_{\pm} \left(x \exp(-c' (\log x \log \log x)^{\frac{\alpha}{\alpha+1}}) \right).$$

Proof idea: construct a Beurling zeta function which has extreme growth on the contour $\sigma = 1 - d \frac{\log \log |t|}{\log^{1/\alpha} |t|}$.

We also determined the optimal value of the constant c' : $c' = (c(\alpha+1))^{\frac{1}{\alpha+1}}$.
In combination with the theorems of Diamond and Hilberdink and Lapidus, we get

$$\beta^*(\alpha) = \frac{\alpha}{\alpha+1}.$$

PNT WITH MALLIAVIN REMAINDER

Theorem (Landau, 1903)

Suppose that $N(x) = \rho x + O(x^\theta)$ for some $\rho > 0, \theta < 1$. Then

$$\pi(x) = \text{Li}(x) + O\left(x \exp(-c\sqrt{\log x})\right).$$

PNT WITH MALLIAVIN REMAINDER

Theorem (Landau, 1903)

Suppose that $N(x) = \rho x + O(x^\theta)$ for some $\rho > 0, \theta < 1$. Then

$$\pi(x) = \text{Li}(x) + O\left(x \exp(-c\sqrt{\log x})\right).$$

Theorem (Hall, 1972)

Suppose that $N(x) = \rho x + O\left(x \exp(-c' \log^\beta x)\right)$ for some $\rho, c' > 0, \beta \in (0, 1)$. Then

$$\pi(x) = \text{Li}(x) + O\left(x \exp(-c \log^{\frac{\beta}{\beta+6.91}} x)\right).$$

EXAMPLES

Theorem (Diamond, Montgomery, Vorhauer, 2006)

For any $\theta \in (1/2, 1)$, there exists $(\mathcal{P}, \mathcal{N})$ with $N(x) = \rho x + O(x^\theta)$ for some $\rho > 0$, and

$$\pi(x) = \text{Li}(x) + \Omega_{\pm}\left(x \exp(-c\sqrt{\log x})\right).$$

EXAMPLES

Theorem (Diamond, Montgomery, Vorhauer, 2006)

For any $\theta \in (1/2, 1)$, there exists $(\mathcal{P}, \mathcal{N})$ with $N(x) = \rho x + O(x^\theta)$ for some $\rho > 0$, and

$$\pi(x) = \text{Li}(x) + \Omega_{\pm}\left(x \exp(-c\sqrt{\log x})\right).$$

Theorem (B., 2021)

For any $\beta \in (0, 1)$, there exists $(\mathcal{P}, \mathcal{N})$ with $N(x) = \rho x + O\left(x \exp(-c' \log^\beta x)\right)$, and

$$\pi(x) = \text{Li}(x) + \Omega_{\pm}\left(x \exp(-c \log^{\frac{\beta}{\beta+1}} x)\right).$$

REMARKS

Proof idea: construct Beurling zeta function which has ∞ many zeros on

$$\sigma = 1 - \frac{d}{\log^{\frac{1}{\alpha}} |t|}.$$

REMARKS

Proof idea: construct Beurling zeta function which has ∞ many zeros on

$$\sigma = 1 - \frac{d}{\log^{\frac{1}{\alpha}} |t|}.$$

The previous theorems show that

$$\alpha^*(1) = \frac{1}{2}, \quad \frac{\beta}{\beta + 6.91} \leq \alpha^*(\beta) \leq \frac{\beta}{\beta + 1} \text{ for } \beta \in (0, 1).$$

REMARKS

Proof idea: construct Beurling zeta function which has ∞ many zeros on

$$\sigma = 1 - \frac{d}{\log^{\frac{1}{\alpha}}|t|}.$$

The previous theorems show that

$$\alpha^*(1) = \frac{1}{2}, \quad \frac{\beta}{\beta + 6.91} \leq \alpha^*(\beta) \leq \frac{\beta}{\beta + 1} \text{ for } \beta \in (0, 1).$$

Conjecture (Bateman, Diamond)

For $\beta \in (0, 1]$, we have

$$\alpha^*(\beta) = \frac{\beta}{\beta + 1}.$$

REFERENCES

- H. G. Diamond, W.-B. Zhang, *Beurling generalized numbers*, Mathematical Surveys and Monographs series, AMS, Providence, RI, 2016.
- P. Malliavin, *Sur le reste de la loi asymptotique de répartition des nombres premiers généralisés de Beurling*, Acta Math. **106** (1961); 281–298.
- F. Broucke, G. Debruyne, J. Vindas, *Beurling integers with RH and large oscillation*, Adv. Math. **370** (2020), article number 107240.
- F. Broucke, *Note on a conjecture of Bateman and Diamond concerning the abstract PNT with Malliavin-type remainder*, Monatsh. Math. **196** (2021), no. 3, 456–470.
- F. Broucke, G. Debruyne, J. Vindas, *The optimal Malliavin-type remainder for Beurling generalized integers*, to appear in J. Inst. Math. Jussieu.

QUESTIONS?