A characterization of the finite Veronesean by intersection properties

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Abstract

A combinatorial characterization of the Veronese variety of all quadrics in $\mathbf{PG}(n,q)$ by means of its intersection properties with respect to subspaces is obtained. The result relies on a similar combinatorial result on the Veronesean of all conics in the plane $\mathbf{PG}(2,q)$ by Ferri [2], Hirschfeld and Thas [4], and Thas and Van Maldeghem [7], and a structural characterization of the quadric Veronesean by Thas and Van Maldeghem [6].

1 Introduction

An important branch in combinatorics is the characterization of algebraically defined objects in a combinatorial way. In several situations, it might occur that one has information about the intersection numbers with subspaces of a certain point set \mathcal{K} , but no structural information. In such cases, characterization results classifying the possible structures having these properties can be very useful. In this paper, we characterize the finite Veronese variety by means of such intersection properties. For the smallest Veronesean, the conic, this was already done (in the odd case) by Segre, in his celebrated characterization of conics ("every set of q + 1 points in $\mathbf{PG}(2, q)$, qodd, no three of which are collinear, is a conic") [5]. This was in fact the starting point of this kind of results. For the Veronese surface of all conics in $\mathbf{PG}(2, q)$, it was already done Ferri [2], Hirschfeld and Thas [4], and Thas and Van Maldeghem [7].

Definition The Veronese variety $\mathcal{V}_n^{2^n}$ of all quadrics of $\mathbf{PG}(n,q), n \ge 1$ is the variety

$$\mathcal{V}_n^{2^n} = \{ p(x_0^2, x_1^2, \cdots, x_n^2, x_0 x_1, x_0 x_2, \cdots, x_{n-1} x_n) | (x_0, \cdots, x_n) \text{ is a point of } \mathbf{PG}(n, q) \}$$

of $\mathbf{PG}(\frac{n(n+3)}{2}, q)$; this variety has dimension n and order 2^n . The natural number n is called the *index* of $\mathcal{V}_n^{2^n}$.

For the basic properties of Veroneseans we refer to [4]. Here we only mention the following, stating that one can also consider the Veronesean from a matrix point of view.

Theorem 1.1 The quadric Veronesean $\mathcal{V}_n^{2^n}$ of $\mathbf{PG}(n,q)$ consists of all points $p(y_{0,0}, \cdots, y_{n-1,n})$ of $\mathbf{PG}(N,q)$ for which rank $[y_{ij}] = 1$.

In [6] the following characterization of the finite quadric Veroneseans $\mathcal{V}_n^{2^n}$ was proved.

Theorem 1.2 Let \mathcal{F} be a set of $\frac{q^{n+1}-1}{q-1}$ n-dimensional spaces in $\mathbf{PG}(\frac{n(n+3)}{2},q), n \geq 2$, with the following properties:

- (VS1) Each two elements of \mathcal{F} intersect in a point.
- (VS2) Each three elements of \mathcal{F} are skew.
- (VS3) The elements of \mathcal{F} span $\mathbf{PG}(\frac{n(n+3)}{2}, q)$.
- (VS4) Any proper subspace of $\mathbf{PG}(\frac{n(n+3)}{2},q)$ that is spanned by a collection of elements of \mathcal{F} is a subspace of dimension $\frac{i(2n-i+3)}{2} 1$ for some $i \in \{0, \ldots, n\}$.
- (VS5) If q is even, at least one space spanned by two elements of \mathcal{F} contains more than two elements.

Then either \mathcal{F} is the set of tangent spaces of a quadric Veronesean $\mathcal{V}_n^{2^n}$ or q is even, there are two members $\Omega_1, \Omega_2 \in \mathcal{F}$ such that the 2n-dimensional space $\langle \Omega_1, \Omega_2 \rangle$ only contains 2 elements of \mathcal{F} and there is a unique subspace Ω of dimension n such that $\Omega \cup \mathcal{F}$ is the set of tangent subspaces together with the nucleus subspace of a quadric Veronesean $\mathcal{V}_n^{2^n}$. In particular, if n = 2, then the statement holds under the weaker hypothesis of \mathcal{F} satisfying (VS1), (VS2), (VS3) and (VS5).

For n = 1 and q even every dual oval satisfies (VS1) - (VS5). For n = 2 one can classify all examples that do not satisfy (VS5) by a result of [1], and the only possibilities are q = 2 and q = 4. This classification remains open for $n \ge 3$, although an infinite class of examples is known for q = 2, see [6].

In particular for n = 2, this result generalizes Theorem 25.2.14 of [4] to q even, and allows to generalize Theorem 25.3.14 of [4] to q even, and so we obtain

Theorem 1.3 If \mathcal{K} is a set of k points of $\mathbf{PG}(5,q)$, $q \neq 2,4$, which satisfies the following conditions

- (i) $|\Pi_4 \cap \mathcal{K}| = 1$, q+1, 2q+1 for every hyperplane Π_4 of $\mathbf{PG}(5,q)$ and there exists a hyperplane Π_4 for which $|\Pi_4 \cap \mathcal{K}| = 2q+1$.
- (ii) Any plane of $\mathbf{PG}(5,q)$ with four points in \mathcal{K} has at least q+1 points in \mathcal{K} .

Then \mathcal{K} is the point set of a Veronesean \mathcal{V}_2^4 .

A theorem by Zanella [8] gives an upper bound for the intersection of k-dimensional subspaces with the quadric Veronese variety, so for the intersections $\Pi_k \cap \mathcal{V}_n$.

Theorem 1.4 Consider the Veronesean surface defined by the mapping

$$\zeta: \mathbf{PG}(n,q) \to \mathbf{PG}(\frac{n(n+3)}{2},q),$$

$$(x_0, x_1, \cdots, x_n) \to (x_0^2, x_1^2, \cdots, x_{n-1}x_n)$$

If k, a are natural numbers such that $k+1 \leq \frac{(a+3)(a+2)}{2}$, then the intersections $\Pi_k \cap \mathcal{V}_n$ contain at most

$$\frac{q^{a+1}-1}{q-1} + q^{k - \frac{(a+2)(a+1)}{2}}$$

points.

Applying this for small dimensions yields the upper bounds q + 1, q + 2, 2q + 1 and $q^2 + q + 1$ for k = 2, k = 3, k = 4 and k = 5 respectively.

A result of the second and third author [7] of this paper characterizes Veronese varieties in terms of ovals.

Theorem 1.5 Let X be a set of points in $\Pi := \mathbf{PG}(M, q), M > 2$, spanning Π , and let \mathcal{P} be a collection of planes such that for any $\pi \in \mathcal{P}$, the intersection $X \cap \pi$ is an oval in π . For $\pi \in \mathcal{P}$ and $x \in X \cap \pi$, we denote by $T_x(\pi)$ the tangent line to $X \cap \pi$ at x in π . We assume the following three properties.

- (i) Any two points $x, y \in X$ lie in a unique member of \mathcal{P} which we denote by [x, y].
- (ii) If $\pi_1, \pi_2 \in \mathcal{P}$ and $\pi_1 \cap \pi_2$ is non-empty then $\pi_1 \cap \pi_2 \subset X$.
- (iii) If $x \in X$ and $\pi \in \mathcal{P}$ with $x \notin \pi$, then each of the lines $T_x([x, y]), y \in X \cap \pi$, is contained in a plane of Π , denoted by $T(x, \pi)$.

Then there exists a natural number $n \geq 2$ (called the index of X), a projective space $\Pi' := \mathbf{PG}(\frac{n(n+3)}{2}, q)$ containing Π , a subspace R of Π' skew to Π , and a quadric Veronesean \mathcal{V}_n of index n in Π' , with $R \cap \mathcal{V}_n = \emptyset$, such that X is the (bijective) projection of \mathcal{V}_n from R onto Π . The subspace R can be empty, in which case X is projectively equivalent to \mathcal{V}_n .

To conclude this introduction, we define k-arcs in $\mathbf{PG}(3, q)$.

Definition A k-arc of PG(3,q), $k \ge 4$ is a set of k points, no 4 of which are coplanar.

If $q \ge 5$, by Theorem 21.2.4 and Theorem 21.3.8 of [3] we know that $k \le q + 1$.

2 First characterization

We want to use the following set of conditions to characterize the quadric Veronesean. Consider a set \mathcal{K} of $\frac{q^{n+1}-1}{q-1}$ points spanning $\mathbf{PG}(\frac{n(n+3)}{2}, q)$, with $n \geq 2$, such that

- (P) If a plane intersects \mathcal{K} in more than three points then it contains exactly q + 1 points of \mathcal{K} . Furthermore, any two points p_1 , p_2 of \mathcal{K} are contained in a plane containing q + 1 points of \mathcal{K} .
- (S) If a 3-space Π_3 intersects \mathcal{K} in more than 4 points then there are four points of \mathcal{K} contained in a plane of Π_3 . In particular, by (i), this implies that if $|\Pi_3 \cap \mathcal{K}| > 4$, then $|\Pi_3 \cap \mathcal{K}| \ge q + 1$.
- (V) If a 5-space Π_5 intersects \mathcal{K} in more than 2q + 2 points then it intersects \mathcal{K} in exactly $q^2 + q + 1$ points.

Remark. Planes intersecting \mathcal{K} in q+1 points and 5-spaces intersecting \mathcal{K} in q^2+q+1 points will be called *big planes* and *big 5-spaces* respectively. Assume $q \geq 5$ in the following.

We will prove the following main theorem.

Theorem 2.1 If $q \ge 5$, then the set \mathcal{K} is the point set of the Veronese variety of all quadrics of $\mathbf{PG}(n,q)$.

Remark.

A counterexample for q = 2 to the previous theorem is given by destructing a Veronese variety by removing one point of it and replacing it by a point in projective space which corresponds with a matrix of maximal rank, see Theorem 1.1.

A counterexample for q = 3, n = 2 is given by the point set formed by the points of an elliptic quadric \mathcal{E} lying in a space Π_3 and 3 points on a line l which does not intersect Π_3 .

First of all we have to prove that these conditions are well-chosen, meaning the object we want to characterize satisfies them.

Theorem 2.2 The Conditions (P), (S) and (V) above hold for the Veronesean $\mathcal{V}_n^{2^n}$.

Proof For Condition (P), we cannot use Lemma 25.3.1 of [4] directly, since we don't know a priori that every plane is contained in a 5-space intersecting \mathcal{K} in a \mathcal{V}_2^4 but a slight adaptation of the argument works. Suppose that the plane π contains at least four distinct points q_1, q_2, q_3, q_4 of $\mathcal{V}_n^{2^n}$. By Corollary 1 of Theorem 25.1.9 of [4], the points q_i, q_j , with $i \neq j$, are contained in a unique conic of $\mathcal{V}_n^{2^n}$. Let C', in the plane π' , be the conic defined by q_1 and q_2 , and, let C'', in the plane π'' , be the conic defined by q_2 and q_3 . Suppose that $C' \neq C''$. By Theorem 1.4 the conic planes π' and π'' generate a 4-space Π_4 such that $|\Pi_4 \cap \mathcal{K}| \leq 2q + 1$. But besides the 2q + 1 points in $C' \cup C''$, the point q_4 would also be contained in this 4-space, a contradiction. Hence $|\Pi \cap \mathcal{K}| \ge q + 1$ and by Theorem 1.4, $|\Pi \cap \mathcal{K}| = q + 1$. Conditions (S) and (V) can be proved using a coordinatization and checking the different possibilities for the position of the inverse images of the points in $\mathbf{PG}(n, q)$.

We prove some upper bounds for the number of points of \mathcal{K} contained in low-dimensional spaces.

Lemma 2.3 If n > 2, every 4-space contains at most 2q + 2 points of \mathcal{K} .

Proof Let Π be a 4-space. By Condition (V), it follows directly that $|\Pi \cap \mathcal{K}| \leq q^2 + q + 1$ and clearly $|\Pi \cap \mathcal{K}| = q^2 + q + 1$ also yields a contradiction.

Suppose that $2q + 2 < |\Pi \cap \mathcal{K}| < q^2 + q + 1$. Again by Condition (V), every 5-space through Π contains exactly $q^2 + q + 1$ points. The number of 5-spaces through a fixed 4-space in $\mathbf{PG}(\frac{n(n+3)}{2}, q)$ is equal to $\frac{q^{\frac{n(n+3)}{2}-4}-1}{q-1}$. Hence, we get at least

$$\frac{q^{\frac{n(n+3)}{2}-4}-1}{q-1} + 2q + 2 > \frac{q^{n+1}-1}{q-1}$$

points in $|\mathcal{K}|$, a contradiction since n > 2.

Lemma 2.4 Any line l meets \mathcal{K} in at most 2 points. Hence, a plane π with $|\pi \cap \mathcal{K}| = q+1$ intersects \mathcal{K} in an oval.

Proof First suppose that $|l \cap \mathcal{K}| = 3$. If n > 2, then consider 3 planes π_1 , π_2 , π_3 through l containing more than 3 points of \mathcal{K} and hence by Condition (P) q + 1 points of \mathcal{K} . Then dim $\langle \pi_1, \pi_2, \pi_3 \rangle \leq 4$. For q > 5, this yields a contradiction by Lemma 2.3. If q = 5, then consider a 3-space Π_3 through l containing at least 9 points of \mathcal{K} inside a big 5-space Π_5 . But then considering all 4-spaces through Π_3 inside Π_5 , by Lemma 2.3, we get at most $6 \cdot 3 + 9 = 27$ points in $\Pi_5 \cap \mathcal{K}$, a contradiction.

If n = 2 then we get the following equation for the number α of planes through l which contain exactly q + 1 points of \mathcal{K} :

$$\alpha(q-2) + 3 = q^2 + q + 1.$$

This yields a contradiction if $q \ge 5$. Next, suppose that $|l \cap \mathcal{K}| = x$, with 3 < x < q+1. Consider all planes through l. Then clearly, we get too many points for our set \mathcal{K} , a contradiction. Finally, if $|l \cap \mathcal{K}| = q + 1$, we also get a contradiction as planes can contain at most q + 1 points of \mathcal{K} .

The previous lemma allows us for n = 2 to prove the same upper bound as in Lemma 2.3.

Lemma 2.5 Every 4-space intersects \mathcal{K} in at most 2q+2 points. Hence, every 3-space contained in a big 5-space intersects \mathcal{K} in at most q+3 points.

Proof For n > 2 this is Lemma 2.3. Next let n = 2.

Suppose there exists a 3-space Π_3 which contains two planes π_1 and π_2 which intersect \mathcal{K} in ovals \mathcal{O}_1 and \mathcal{O}_2 respectively which have two points p_1 , p_2 of \mathcal{K} in common. Consider two points r_1 and r_2 , different from p_1 and p_2 , which lie on \mathcal{O}_1 and \mathcal{O}_2 respectively. Then there are most 4 planes through the line $\langle r_1, r_2 \rangle$ which are not (q+1)-planes, namely the planes containing either the point p_1 or p_2 or those which intersect π_i in a tangent line to \mathcal{O}_i at r_i for i = 1 or i = 2.

Hence, we get at least

$$2 + (q-3)(q-1) + 4 = q^2 - 4q + 9$$

points in $\Pi_3 \cap \mathcal{K}$.

The bound above is already sufficient for the rest of the proof if q > 5. But since we now know there is a point in $\Pi_3 \cap \mathcal{K}$ not contained in $\mathcal{O}_1 \cup \mathcal{O}_2$ we can consider all planes through the line $\langle p, p_1 \rangle$ inside Π_3 . In this case we only get three exceptions hence we get at least

$$2 + 3 + (q - 2)(q - 1) = q^2 - 3q + 7$$

points in $\Pi_3 \cap \mathcal{K}$.

If one would carry out this argument a bit more carefully one can get up to $q^2 + 1$ points in $\Pi_3 \cap \mathcal{K}$, and hence this intersection is an ovoid. However this thus not shorten the reasonings made in the rest of this proof.

Hence if there are three such 3-spaces we distinguish the following cases.

Case (i): Any two of them only intersect in a line. Then the union of the 3-spaces contains at least $3(q^2 - 3q + 7) - 3 \cdot 2$ points of \mathcal{K} , a contradiction since $q \geq 5$.

Case (ii): There are two of them which intersect in a plane. Then we get a 4-space Π_4 containing at least $2(q^2 - 3q + 7) - (q + 1) = 2q^2 - 7q + 13$ points of \mathcal{K} . Consider a point p in \mathcal{K} not contained in Π_4 . Through p and any point r in $\Pi_4 \cap \mathcal{K}$ there passes an oval of \mathcal{K} by Condition (P). If none of these ovals have two points of \mathcal{K} in common, we get too many points, a contradiction. If two of these ovals have two points of \mathcal{K} in common then the 3-space spanned by these two ovals contains at least $q^2 - 3q + 7$ points of \mathcal{K} . Hence, we get at least

$$2q^2 - 7q + 13 + q^2 - 3q + 7 - (q+1)$$

points in \mathcal{K} , a contradiction since $q \geq 5$.

If there are exactly one or two such 3-spaces we consider a 4-space Π_4 containing such a 3-space and a point p in \mathcal{K} not contained in Π_4 . Through p and each point r in $\Pi_4 \cap \mathcal{K}$ there passes an oval by Condition (P). For each such point r we choose exactly one such oval. It can occur at most q + 1 times that these ovals have two points of \mathcal{K} in common. Hence, we clearly get too many points in \mathcal{K} , a contradiction.

Now consider a 4-space Π_4 which intersects \mathcal{K} in x points. Consider a point p of \mathcal{K} not in Π_4 . By Condition (P) through every 2 points there passes an oval. Consider

all ovals through p and a point r of $\Pi_4 \cap \mathcal{K}$. Any two of these ovals can intersect in at most one point, hence we get the following equation,

$$\frac{x}{2}(q-2) + x + 1 \le q^2 + q + 1.$$

This yields $x \leq 2q + 2$.

Consider a 3-space Π_3 in a big 5-space Π_5 which intersects \mathcal{K} in q + 3 + y points. Since all 4-spaces through Π_3 inside Π_5 intersect \mathcal{K} in at most 2q+2 points we get the following inequality

$$(q+1)(q-1-y) + q + 3 + y \ge q^2 + q + 1.$$

This implies $y \leq 0$.

Now we are able to lower the bound of Lemma 2.5.

Lemma 2.6 (i) Every 4-space Π_4 intersecting \mathcal{K} in more than q+1 points contains a plane which intersects \mathcal{K} in an oval.

(ii) Every 4-space Π contains at most 2q + 1 points of \mathcal{K} .

Proof (i) Suppose that $|\Pi_4 \cap \mathcal{K}| > q + 1$. Since $q \ge 5$, by a result on arcs, namely Theorem 27.6.3 of [4], there are 5 points which are contained in a 3-space. By Condition (S), it follows that there are 4 of them which are contained in a plane π . Hence, by Lemma 2.4, π intersects \mathcal{K} in an oval.

(ii) Suppose that $|\Pi_4 \cap \mathcal{K}| = 2q + 2$. Consider a plane π in Π_4 intersecting \mathcal{K} in an oval \mathcal{O} . Such a plane always exists by (i).

Consider 2 points a and b in $\Pi_4 \cap \mathcal{K}$ but not in π such that $\langle a, b \rangle \cap \pi = \emptyset$. Note that this is always possible, otherwise all 2q + 2 points of \mathcal{K} are contained in a 3-space, a contradiction by Lemma 2.5. In fact, since Π_4 is contained in a big 5-space Π_5 at most q + 3 points of $\mathcal{K} \cap \Pi_4$ can be contained in a 3-space by Lemma 2.5.

Consider a third point c in $\Pi_4 \cap \mathcal{K}$, and let p be the intersection point of π and $\pi' = \langle a, b, c \rangle$.

We distinguish the following cases.

Case (i): $p \in \mathcal{O}$.

Since π' contains at least 4 points of \mathcal{K} it contains at least q + 1 points of \mathcal{K} by Condition (P). The planes π and π' both intersect \mathcal{K} in an oval, \mathcal{O} and \mathcal{O}' . Denote the remaining point in $\Pi_4 \cap \mathcal{K}$ by p'. Consider a plane π'' spanned by p' and two points a'and b' belonging to $\mathcal{O} \setminus \{p\}$. The planes π' and π'' intersect in a point r. If r belongs to \mathcal{K} then π'' contains at least 4 and hence by Condition (P) q + 1 points of \mathcal{K} . If r does not belong to \mathcal{K} we may assume it is not the nucleus of \mathcal{O}' , otherwise we can restart the reasoning with two other points of \mathcal{O} . Then the 3-space spanned by π'' and a bisecant to \mathcal{O}' through r, but not through p, contains at least 5 and hence by

Condition (S) at least q+1 points. Since $q \ge 5$, in both cases we get more than 2q+2 points in $\Pi_4 \cap \mathcal{K}$, a contradiction by Lemma 2.5.

Case (ii): $p \notin \mathcal{O}$.

First of all, we assume that not all points in $\Pi_4 \cap \mathcal{K}$ are contained in $\pi \cup \pi'$. Since not all points in $\Pi_4 \cap \mathcal{K}$ are contained in $\pi \cup \pi'$, we may assume that p is not the nucleus of \mathcal{O} . Indeed, if p would be the nucleus we consider a point c' of $\Pi_4 \cap \mathcal{K}$ not in $\pi' \cup \pi$ and the plane $\pi'' = \langle a, b, c' \rangle$ which then intersects π in a point p', with p' not the nucleus of \mathcal{O} . So in that case we continue the reasonings with π'' instead of π' . Consider two secants of \mathcal{O} through p, say l and l'. The 3-spaces $\langle \pi', l \rangle$ and $\langle \pi', l' \rangle$ both contain at least 5 points of \mathcal{K} , hence they both contain a plane intersecting \mathcal{K} in an oval. These planes have to coincide, otherwise we get too many points in $\Pi_4 \cap \mathcal{K}$. Hence, the plane π' intersects \mathcal{K} in an oval \mathcal{O}' . This yields a contradiction with the assumption at the beginning of this paragraph. Note that as a byproduct we proved that if a 4-space contains at least q+5 points of \mathcal{K} , it contains two planes which intersect \mathcal{K} in an oval, hence $|\Pi_4 \cap \mathcal{K}| \geq 2q + 1$. Indeed we only used 4 points a, b, c and c' in \mathcal{K} but not in π to find the second oval \mathcal{O}' . Furthermore, these ovals can have at most one point in common, otherwise they only span a 3-space, but a 3-space inside Π_5 intersects \mathcal{K} in at most q + 3 points by Lemma 2.5.

Next, we may suppose that $\Pi_4 \cap \mathcal{K}$ is a union of two ovals \mathcal{O} and \mathcal{O}' contained in planes π and π' which intersect in a point p.

If p is the nucleus of neither \mathcal{O} or \mathcal{O}' , then consider a secant t of \mathcal{O} and a secant t' of \mathcal{O}' through p. The plane spanned by t and t' contains 4 and hence q + 1 points of \mathcal{K} , and so $|\Pi_4 \cap \mathcal{K}| > 2q + 2$, a contradiction.

If p is the nucleus of \mathcal{O} , but not the nucleus of \mathcal{O}' , then consider a 5-space Π_5 containing Π_4 which intersects \mathcal{K} in $q^2 + q + 1$ elements. Since p is not the nucleus of \mathcal{O}' , there is a secant l' of \mathcal{O}' through p. The 3-space Π_3 spanned by \mathcal{O} and l' contains exactly q+3 elements of \mathcal{K} since all 4-spaces through Π_3 inside Π_5 contain at most 2q+2 elements of \mathcal{K} . It follows that exactly one of the 4-spaces containing Π_3 in Π_5 intersects \mathcal{K} in 2q+1 points, while all the other 4-spaces through Π_3 in Π_5 contain 2q+2 points of \mathcal{K} .

By the foregoing there is a 4-space $\Pi'_4 \neq \Pi_4$ containing Π_3 inside Π_5 which intersects \mathcal{K} in 2q + 2 elements on two ovals \mathcal{O} and \mathcal{O}'' , where the planes of \mathcal{O}' and \mathcal{O}'' intersect in l'. Hence the 3-space Π'_3 spanned by \mathcal{O} and \mathcal{O}'' contains at least 2q elements of \mathcal{K} . Consider all 4-spaces through Π'_3 inside Π_5 . By Lemma 2.5 we get at most 2q + 2(q+1) = 4q + 2 points in $\Pi_5 \cap \mathcal{K}$, a contradiction since $q \geq 5$.

Finally, if p is the nucleus of both \mathcal{O} and \mathcal{O}' , then consider a 3-space Π_3 spanned by \mathcal{O} and a tangent l to \mathcal{O}' through p. Consider a big 5-space Π_5 through Π_4 . Consider all 4-spaces through Π_3 inside Π_5 . No 4-space through Π_3 inside Π_5 different from Π_4 can intersect \mathcal{K} in 2q + 2 points as well. Indeed, by Case (i) and the previous subcases of Case (ii) such a 4-space Π'_4 again has to intersect \mathcal{K} in two ovals \mathcal{O} and \mathcal{O}'' , contained in planes π and π'' respectively. The planes π' and π'' have to intersect in the tangent line l, and p again has to be the nucleus of both the ovals \mathcal{O} and \mathcal{O}'' . But then the 3-space Π_3'' spanned by \mathcal{O}' and \mathcal{O}'' contains at least 2q + 1 points of \mathcal{K} . Consider all 4-spaces through Π_3'' inside Π_5 . Then by Lemma 2.5 $|\Pi \cap \mathcal{K}| \leq 2q + 1 + q + 1 = 3q + 2$, a contradiction since $q \geq 5$.

Consider now all 4-spaces through Π_3 inside Π_5 . Exactly one of them intersects \mathcal{K} in 2q + 2 points by the previous and all the others intersect \mathcal{K} in at most 2q + 1 points. Hence, by an easy inspection, there has to be a 4-space through Π_3 in Π_5 containing exactly 2q points of \mathcal{K} , but this yields a contradiction by the remark made at the end of the first paragraph of Case (ii).

Remark. A 4-space intersecting \mathcal{K} in 2q + 1 points will be called a *big 4-space*.

- **Lemma 2.7** (i) Inside a big 5-space Π_5 all 3-spaces contain at most q + 2 points. Furthermore, all 4-spaces inside Π_5 through a 3-space intersecting \mathcal{K} in q + 2 points are big ones.
 - (ii) A big 4-space Π_4 contained in a big 5-space Π_5 intersects \mathcal{K} in two ovals $\mathcal{O}_1, \mathcal{O}_2$ with $\mathcal{O}_1 \cap \mathcal{O}_2 = \{P\}, P \in \mathcal{K}$.

Proof (i) Suppose a 3-space Π_3 of the big 5-space Π_5 intersects \mathcal{K} in q + 2 + x points, with $x \ge 0$. Then considering all 4-spaces in Π_5 through Π_3 , we get at most $(2q+1-(q+2+x))(q+1)+q+2+x=q^2+q+1-xq$ points in $\Pi_5 \cap \mathcal{K}$ by Lemma 2.6, a contradiction if x > 0. The second part follows directly if x = 0.

(ii) By (i) there is a plane π in Π_4 which intersects \mathcal{K} in an oval. We claim we can find a second plane in Π_4 which intersects \mathcal{K} in an oval. Take 3 points contained in $\Pi_4 \cap \mathcal{K}$ not lying in π . These points span a plane π' . The space $\langle \pi, \pi' \rangle$ is a 4-space, otherwise we get a 3-space intersecting \mathcal{K} in more than q+2 points, contradicting (ii).

If π' contains exactly 3 points then consider all 3-spaces through π' in Π_4 . If none of them contains at least 5 points we get at most q+1+3 = q+4 points, a contradiction. So there is a 3-space Π_3 through π' in Π_4 containing more than 4 points, hence by Conditions (P) and (S) we find a plane π'' containing q+1 points of \mathcal{K} inside Π_3 . Clearly π and π'' are different since π and π' span a 4-space.

If the two different planes π and π'' which intersect \mathcal{K} in an oval intersect in a point, then we are done by Lemma 2.6. Suppose that π and π'' intersect in a line. Then the 3-space $\Pi'_3 = \langle \pi, \pi'' \rangle$ intersects \mathcal{K} in more than q + 2 points, contradicting (ii). \Box

Lemma 2.8 Every 4-space contained in a big 5-space Π_5 intersects \mathcal{K} in 1, q+1 or 2q+1 points and each such 5-space contains at least one 4-space intersecting \mathcal{K} in exactly 2q+1 points. Hence, each big 5-space intersects \mathcal{K} in a \mathcal{V}_2^4 .

Proof Denote the number of points belonging to \mathcal{K} contained in a 4-space $\Pi_i \subset \Pi_5$ by x_i ; here Π_5 is a big 5-space. In the following sum and all the others below, *i* runs over all 4-spaces Π_i contained in Π_5 . We have

$$\sum_{i} (x_i - 1)(x_i - (q+1))(x_i - (2q+1)) = 0$$
(1)

Indeed, by a standard counting technique counting in two different ways respectively the number of pairs (p, Π) in Π_5 , where $p \in \mathcal{K}$ and Π is a 4-space in Π_5 , the number of triples $(p_1, p_2, \Pi), p_1 \neq p_2 \in \Pi \cap \mathcal{K}$ and Π a 4-space in Π_5 , and the quadruples $(p_1, p_2, p_3, \Pi), p_i \in \Pi \cap \mathcal{K}$, where the points p_i are all distinct and Π is a 4-space in Π_5 yields

$$\sum_{i} x_{i} = \frac{(q^{2} + q + 1)(q^{5} - 1)}{q - 1},$$
$$\sum_{i} x_{i}(x_{i} - 1) = \frac{(q^{2} + q + 1)(q^{2} + q)(q^{4} - 1)}{q - 1}$$
$$\sum_{i} x_{i}(x_{i} - 1)(x_{i} - 2) = \frac{(q^{2} + q + 1)(q^{2} + q)(q^{2} + q - 1)(q^{3} - 1)}{q - 1}.$$

If a 4-space Π_4 inside a big 5-space contains more than q + 1 points of \mathcal{K} , then it is a big one. Indeed, by Lemma 2.6 there is a plane in Π_4 intersecting \mathcal{K} in an oval. Hence we can find a 3-space in Π_4 which intersects \mathcal{K} in q + 2 points. The claim now follows from (i) of Lemma 2.7.

Suppose $|\Pi_4 \cap \mathcal{K}| = x$, $\Pi_4 \subset \Pi_5$, with $4 \leq x < q + 1$. Let Π_3 be a 3-space containing 4 points p_1 , p_2 , p_3 , p_4 in $\Pi_4 \cap \mathcal{K}$. Hence, by Condition (S), $|\Pi_3 \cap \mathcal{K}| = 4$. Consider all 4-spaces through Π_3 inside Π_5 . If there are less than 4 big ones among them, we get less than

$$3(2q-3) + (q-2)(q-3) + 4 = q^2 + q + 1$$

points in $\Pi_5 \cap \mathcal{K}$, a contradiction.

,

By Part (ii) of Lemma 2.7, in each of the at least 4 big 4-spaces inside Π_5 containing Π_3 the points p_1 , p_2 , p_3 , p_4 are contained in 2 ovals. Hence either there is an oval containing 3 of them, which yields a contradiction, or there is a pair p_i , p_j contained in two different ovals. But the latter yields a contradiction by Part (i) of Lemma 2.7.

Suppose now that $|\Pi_4 \cap \mathcal{K}| = 3$. Consider a 3-space Π_3 in Π_4 containing the 3 points p_1, p_2, p_3 of $\Pi_4 \cap \mathcal{K}$ and all 4-spaces inside Π_5 containing Π_3 . By the previous these intersect \mathcal{K} in 3, q + 1 or 2q + 1 points. Denote the number of them intersecting \mathcal{K} in q + 1 and 2q + 1 points by α and β respectively. This yields the following equation,

$$\alpha(q-2) + \beta(2q-2) + 3 = q^2 + q + 1.$$

We deduce that α is a multiple of q-1. If $\alpha = q-1$, then we get at most (q-1)(q-2) + 2q + 1 points in $\Pi_5 \cap \mathcal{K}$, a contradiction.

Hence, we find that $\alpha = 0$ and $\beta = \frac{q+2}{2}$. This already yields a contradiction if q is odd. As q > 2, the points p_1 , p_2 , p_3 are contained in 2 ovals in each of the at least 3 different big 4-spaces of Π_5 containing Π_3 by (ii) of Lemma 2.7. This yields a contradiction.

Finally, suppose that $|\Pi_4 \cap \mathcal{K}| = 2$. Consider a 3-space Π_3 in Π_4 containing the 2 points p_1, p_2 of $\Pi_4 \cap \mathcal{K}$ and all 4-spaces inside Π_5 containing Π_3 . By the previous these

all intersect \mathcal{K} in 2, q+1 or 2q+1 points. Denote the number of them intersecting \mathcal{K} in q+1 and 2q+1 points by α and β respectively. This yields the following equation,

$$\alpha(q-1) + \beta(2q-1) + 2 = q^2 + q + 1.$$

This yields that $\beta - 1$ is a multiple of q - 1. If $\beta = 1$, we get at most $(q - 1)(q - 1) + 2q + 1 = q^2 + 2$ points of \mathcal{K} in Π_5 , a contradiction. If $\beta = q$, we get exactly $2q^2 - q + 2$ points, also a contradiction.

In the previous paragraphs we proved that if a 4-space contains at least 2 points of \mathcal{K} , then it contains at least q+1 points of \mathcal{K} . By (i) of Lemma 2.7 and (ii) of Lemma 2.6 the only possibilities in this case are q+1 and 2q+1. By Equation (1), this implies that there are no 4-spaces which have an empty intersection with \mathcal{K} .

Hence, every 4-space contained in Π_5 intersects \mathcal{K} in 1, q+1 or 2q+1 points.

We prove there is a 4-space contained in Π_5 which intersects \mathcal{K} in 2q + 1 points. If this is not the case, then consider a 3-space in Π_5 containing x > 1 points of \mathcal{K} . We get the following equality:

$$(q+1)(q+1-x) + x = q^2 + q + 1,$$

hence x = 1, a contradiction.

Hence by Theorem 1.3, Π_5 intersects \mathcal{K} in a Veronese variety \mathcal{V}_2^4 .

Theorem 2.9 The set \mathcal{K} is a Veronese variety $\mathcal{V}_n^{2^n}$.

Proof We check the conditions of Theorem 1.5. The set \mathcal{P} consists of all planes intersecting \mathcal{K} in an oval.

Any two points of \mathcal{K} are contained in at least one oval of \mathcal{K} by Condition (P) and Lemma 2.4. If two points p_1 , p_2 are contained in two ovals, namely \mathcal{O}_1 in the plane π_1 and \mathcal{O}_2 in the plane π_2 , then these ovals span a 3-space Π_3 containing too much points of \mathcal{K} , a contradiction. Indeed, consider a point r on the intersection line l of π_1 and π_2 which is not the nucleus of \mathcal{O}_1 neither of \mathcal{O}_2 and two bisecants l_1 and l_2 through r to \mathcal{O}_1 and \mathcal{O}_2 respectively. Then the plane spanned by l_1 and l_2 contains at least 4 points of \mathcal{K} and hence by Condition (P) q + 1 points of \mathcal{K} . In this way we get at least $2q + q - 3 = 3q - 3 \ge 2q + 2$ (since $q \ge 5$) points in $\Pi_3 \cap \mathcal{K}$, a contradiction by Lemma 2.5.

To prove Property (ii), consider two planes π_1 and π_2 which intersect \mathcal{K} in an oval. If $\pi_1 \cap \pi_2$ is a point then the property follows directly from Lemma 2.6. If $\pi_1 \cap \pi_2$ is a line then we get a 3-space Π_3 containing 2q + 1 points. But then there are 4-spaces through Π_3 containing more than 2q + 1 points of \mathcal{K} , a contradiction.

For Property (iii), take a point p not contained in a plane π which intersects \mathcal{K} in an oval \mathcal{O}_1 . Consider two points r and s on \mathcal{O}_1 and the ovals \mathcal{O}_2 and \mathcal{O}_3 which are uniquely determined by p and r and p and s respectively. The point set of the ovals \mathcal{O}_1 , \mathcal{O}_2 and \mathcal{O}_3 are contained in a 5-space Π_5 intersecting \mathcal{K} in more than 2q+2 points. Hence, by Lemma 2.8 $\Pi_5 \cap \mathcal{K}$ is a Veronesean \mathcal{V}_2^4 . Take an arbitrary point t on \mathcal{O}_1 and consider the oval determined by p and t. Since $\Pi_5 \cap \mathcal{K}$ is a \mathcal{V}_2^4 , this oval is contained in Π_5 . For each of these ovals there is a tangent at p to these ovals. By Lemma 25.4.2 of [4] the union of these tangents forms a plane.

3 Second characterization

In this section, we show that for n > 2, we can replace the set of conditions of Section 2 by the following set of conditions. Furthermore, we provide a counterexample for the case n = 2.

Consider a set \mathcal{K} of $\frac{q^{n+1}-1}{q-1}$ points spanning $\mathbf{PG}(\frac{n(n+3)}{2},q)$, with n > 2, such that

- (P') If π is a plane then the intersection $\pi \cap \mathcal{K}$ contains at most q+1 points of \mathcal{K} .
- (S') If a 3-space Π_3 intersects \mathcal{K} in more than 4 points, then $|\Pi_3 \cap \mathcal{K}| \ge q+1$ and $\Pi_3 \cap \mathcal{K}$ is not a (q+1)-arc.
- (V') If a 5-space Π_5 intersects \mathcal{K} in more than 2q + 2 points then it intersects \mathcal{K} in exactly $q^2 + q + 1$ points. Furthermore, any two points p_1 , p_2 of \mathcal{K} are contained in a 5-space containing $q^2 + q + 1$ points of \mathcal{K} .

Lemma 3.1 Every 4-space contains at most 2q + 2 points of \mathcal{K} . Hence, a 3-space contained in a big 5-space contains at most q + 3 points.

Proof Exactly the same as the proof of Lemma 2.5 using Condition (V'), since we only used there that part of Condition (V). \Box

Lemma 3.2 For n > 2, q > 5, if a plane π contains at least 4 points of \mathcal{K} then it contains exactly q + 1 points of \mathcal{K} .

Proof First suppose that $4 < |\pi \cap \mathcal{K}| < q+1$. Then all 3-spaces through π contain at least q+1 points. This yields at least $\frac{q^{\frac{n(n+3)}{2}-2}-1}{q-1}$ points for the set \mathcal{K} , a contradiction since n > 2.

Next, suppose that $|\pi \cap \mathcal{K}| = 4$. Consider points a, b and c of \mathcal{K} such that $\langle \pi, a \rangle, \langle \pi, b \rangle$ and $\langle \pi, c \rangle$ are three different 3-spaces. By Condition (S') each of these three 3-spaces intersects \mathcal{K} in at least q + 1 points. Then the space $\langle \pi, a, b, c \rangle$ contains at least 3(q-3) + 4 points of \mathcal{K} . Hence, if q > 7, by Lemma 3.1 and Condition (V') it is a big 5-space Π_5 .

If q = 7, we can always find a 3-space containing at least 9 points of \mathcal{K} . Indeed otherwise inside a big 5-space containing π , which always exists by the previous paragraph, we get the following equality: $4 + 4\alpha = 57$, a contradiction.

Consider a 3-space Π_3 in Π_5 which contains π and at least q + 1 points of \mathcal{K} or at least 9 in the case q = 7. We show that $|\Pi_3 \cap \mathcal{K}| \leq q + 5$. Indeed, consider a second

3-space Π'_3 in Π_5 which contains π and at least q+1 points of \mathcal{K} . The 4-space $\langle \Pi_3, \Pi'_3 \rangle$ intersects \mathcal{K} in at least $|\Pi_3 \cap \mathcal{K}| + |\Pi'_3 \cap \mathcal{K}| - 4$ points. Since any 4-space contains at most 2q+2 points of \mathcal{K} by Lemma 3.1 the claim follows.

From the previous paragraph it follows that we get the following inequality for the number x of 3-spaces Π_3^i through π inside Π_5 containing at least q+1 points of \mathcal{K} .

$$x(q+1) + 4 \ge q^2 + q + 1.$$

Hence we get $x \ge q - \frac{3}{q+1}$, this implies $x \ge q$ if q > 2.

Now consider a 3-space Π''_3 containing π and at least q + 1 points of \mathcal{K} which is not contained in Π_5 and consider also the 6-space $\Pi_6 = \langle \Pi_5, \Pi''_3 \rangle$. Take one fixed 3-space Π_3^1 and consider the 5-spaces $\langle \Pi_3^1, \Pi''_3, \Pi_3^i \rangle$ with $i \neq 1$. Each of these 5-spaces intersects \mathcal{K} in more than 2q+2 points if q > 7 and hence is a big 5-space. It follows that $\Pi_6 \cap \mathcal{K}$ contains at least $(q-1)(q^2-q-1)+2q+2=q^3-2q^2+2q+3$ points.

Repeating this reasoning yields inductively the following recursion formula for the number of points ϕ_{k+1} in $\Pi_{k+1} \cap \mathcal{K}$ where $\tilde{\Pi}_3$ is a 3-space containing π and at least q+1 points of \mathcal{K} which is not contained in Π_k and where $\Pi_{k+1} = \langle \Pi_k, \tilde{\Pi}_3 \rangle$, where $\phi_5 = q^2 + q + 1$.

$$\phi_{k+1} = \left(\frac{\phi_k - 4}{q+1} - 1\right)\left(q^2 - q - 1\right) + 2q + 2.$$

We will adapt the recursion formula to a recursion formula for numbers ψ_k such that $\psi_k \leq \phi_k$ for all $k \geq 5$.

First we rewrite the recursion formula for ϕ_k as follows.

$$\phi_{k+1} = (\phi_k - q - 5)\frac{q^2 - q - 1}{q + 1} + 2q + 2.$$

Since $\frac{q^2-q-1}{q+1} > q-2$ we get after a little calculation

$$\phi_{k+1} > (q-2)\phi_k - q^2 - q + 8.$$

Since $\phi_5 = q^2 + q + 1$ we can even write for all integers $k \ge 5$

$$\phi_{k+1} > (q-3)\phi_k.$$

Now we set $\psi_5 = \phi_5$ and $\psi_{k+1} = (q-3)\psi_k$. Hence we get $\psi_N = (q-3)^{N-5}(q^2+q+1)$ for all $N \ge 5$. This yields the following inequality

$$(q-3)^{\frac{n(n+3)}{2}-5}(q^2+q+1) \le \frac{q^{n+1}-1}{q-1}.$$

This is an equality if n = 2 and the left hand side increases faster than the right hand side if n increases, hence this yields a contradiction for n > 2.

The case remaining is q = 5.

Lemma 3.3 For q = 5 a plane π intersecting \mathcal{K} in exactly 4 points is never contained in a big 5-space Π_5 .

Proof First of all, a 3-space in Π_5 contains at most 8 points of \mathcal{K} by Lemma 3.1.

Project everything from π onto a plane π' which is skew to π in Π_5 . With the 3-spaces through π which contain 6, 7 or 8 points of \mathcal{K} , we associate a point in π' with weight 2, 3 or 4 respectively.

First suppose there is a 3-space Π_3 in Π_5 which contains π and which intersects \mathcal{K} in 8 points. Then five 4-spaces through Π_3 in Π_5 intersect \mathcal{K} in 12 points and one 4-space through Π_3 in Π_5 intersects \mathcal{K} in 11 points. This implies that inside a big 5-space through Π_3 there is exactly one 3-space Π'_3 which contains π and which intersects \mathcal{K} in 7 points.

But a 4-space Π_4 through Π_3 intersecting \mathcal{K} in 12 points has to be contained in at least one other big 5-space $\tilde{\Pi}_5$. Inside $\tilde{\Pi}_5$ we also find a 3-space $\tilde{\Pi}_3$ containing 7 points of \mathcal{K} . But now the big 5-space spanned by Π_3, Π'_3 and $\tilde{\Pi}_3$ contains Π_3 and two 3-spaces which contain π and which intersect \mathcal{K} in 7 points, a contradiction by the previous paragraph.

Hence, from now on, we may assume that each 3-space through π inside a big 5-space which contains more than 4 points of \mathcal{K} contains 6 or 7 points of \mathcal{K} . Hence if we denote the number of 3-spaces through π inside Π_5 which intersect \mathcal{K} in 6 points by α and those which intersect \mathcal{K} in 7 points by β , we get the following equation,

$$4 + 2\alpha + 3\beta = 31.$$

The rest of the proof is case-by-case analysis.

(A) $\beta \geq 7$:

In this case we have a set \mathcal{P} of 7 points with weight 3 in π' . Since an oval in $\mathbf{PG}(2,5)$ contains at most 6 points, three points of \mathcal{P} will be contained in the same line L. But this implies that the 4-space spanned by L and π intersects \mathcal{K} in more than 12 points, a contradiction by Lemma 3.1.

(B)
$$\alpha = 6, \ \beta = 5$$
:

Consider a point p of weight 3 in π' and all lines through it. On four of these lines we have exactly one other point which has weight 3 otherwise we get a 4-space with more than 12 points. But then the 6 points of weight 2 have to be distributed over the remaining 2 lines, which again yields a too big 4-space.

(C)
$$\alpha = 9, \beta = 3$$
:

Consider a point p of weight 3 in π' and all lines through it. On two of these lines we have exactly one other point which has weight 3 otherwise we get a 4-space with more than 12 points. But then the 9 points of weight 2 have to be distributed over the remaining 4 lines, which again yields a too big 4-space.

(D) $\alpha = 12, \ \beta = 1:$

Denote the 3-space through π inside Π_5 which intersects \mathcal{K} in 7 points by Π_3 . We have a set \mathcal{P} of 13 points in π' . We claim that there has to be a line L containing 4 points of \mathcal{P} .

Indeed, consider an arbitrary point p contained in \mathcal{P} and all lines through it. If there is no line among them which intersects \mathcal{P} in 4 points then they all intersect K in 3 points. Since p was arbitrary this implies that all lines in π' intersect \mathcal{P} in 0 or 3 points. But now consider a point r in π' not contained in \mathcal{P} and all lines through it. Then we get a contradiction, since 3 does not divide 13.

Hence, the fourspace spanned by L and π intersects \mathcal{K} in 12 points. It has to be contained in another big 5-space otherwise we don't get enough points in \mathcal{K} . There again there has to be at least one 3-space, say Π'_3 , through π which intersects \mathcal{K} in 7 points.

But now consider a space Π spanned by Π_3 , Π'_3 and another 3-space which contains at least 6 of \mathcal{K} through π .

Then Π is certainly contained in a big 5-space, otherwise we don't have enough points in the set \mathcal{K} but this is a contradiction since in any big 5-space we already excluded all cases with $\beta > 1$.

Lemma 3.4 Any line l meets \mathcal{K} in at most 2 points. Hence, a plane π with $|\pi \cap \mathcal{K}| = q+1$ intersects \mathcal{K} in an oval.

Proof Similar to the proof of Lemma 2.4; use Lemma 3.1 and Lemma 3.2. \Box

Theorem 3.5 If $q \ge 5$ and n > 2, then the set \mathcal{K} is the point set of the Veronese variety of all quadrics of $\mathbf{PG}(n, q)$.

Proof We check Conditions (P), (S) and (V) of Theorem 2.1. Conditions (S) and (V) are trivially implied by Conditions (S') and (V') respectively. The first part of Condition (P) was proved in Lemma 3.2 for q > 5.

Furthermore, for q = 5 we proved Condition (P) for all planes which are contained in a big 5-space. In fact, we did only use Condition (P) for these plane in our first characterization.

The second part of Condition (P), namely that every 2 points of \mathcal{K} are contained in an oval of \mathcal{K} , is never used to obtain Lemma 2.8 if n > 2. If n = 2, we did use Condition (P) for the proof of Lemma 2.5. Since every two points are contained in a big 5-space Π_5 by Condition (V), and since $\Pi_5 \cap \mathcal{K}$ is a Veronesean surface \mathcal{V}_2^4 the second part of Condition (P) is proved. The proof is finished by Theorem 2.1.

The counterexample for the case n = 2 is the following. Consider in $\mathbf{PG}(5, q)$ a point p on an ovoid \mathcal{O} in $\mathbf{PG}(3, q)$ and a tangent line L to \mathcal{O} at p. Furthermore, consider a second 3-space Π'_3 intersecting Π_3 exactly in L and containing an oval \mathcal{O}' which intersects L in p. Then the set $\mathcal{O} \cup \mathcal{O}'$ fulfills Conditions (P'), (S') and (V') but it is not a Veronesean \mathcal{V}_2^4 .

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