

# The maximum size of a partial spread in $H(5, q^2)$ is $q^3 + 1$

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## Abstract

In this paper, we show that the largest maximal partial spreads of the hermitian variety  $H(5, q^2)$  consist of  $q^3 + 1$  generators. Previously, it was only known that  $q^4$  is an upper bound for the size of these partial spreads. We also show for  $q \geq 7$  that every maximal partial spread of  $H(5, q^2)$  contains at least  $2q + 3$  planes. Previously, only the lower bound  $q + 1$  was known.

**Keywords:** ovoid, polar space, Hermitian variety

## 1 Introduction

A *spread* of a polar space is a set of mutually skew generators that partition the space. In the case of finite hermitian polar spaces  $H(d, q^2)$ , a spread consists of  $q^d + 1$  generators. J. Thas [9] showed that the finite hermitian variety  $H(2n + 1, q^2)$  over the field  $F_{q^2}$  posses no spread. Recently, D. Luyckx was able to considerably improve this result in the case  $n = 2$ . She showed in [5] that every partial spread (set of mutually skew generators) of  $H(5, q^2)$  consists of at most  $q^4$  planes. She also constructed examples for partial spreads of  $H(4n + 1, q^2)$ ,  $n \geq 1$ , of size  $q^{n+1} + 1$ .

For  $n = 2$  the construction is as follows. By choosing a suitable hermitian form to define  $H(5, q^2)$  in  $\text{PG}(5, q^2)$ , the restriction to field elements in  $F_q$  produced a symplectic polar space  $W(5, q)$  in a Baer-subgeometry. It is known that this symplectic polar space has spreads, which have size  $q^3 + 1$ . These  $q^3 + 1$  planes form a partial spread in  $H(5, q^2)$ . The main result of the present paper is to prove that this construction is optimal.

**Theorem 1.1** *Every partial spread of  $H(5, q^2)$  has at most  $q^3 + 1$  elements.*

We checked for  $q = 2$  that  $H(5, q^2)$  has partial spreads of cardinality  $q^3 + 1$  that do not arise from a symplectic spread as above. D. Luyckx in her paper also shows that a maximal partial spread of  $H(2n + 1, q^2)$  must have size at least  $q + 1$ . It is likely that this bound is far away from the reality, but we can only make a slight improvement.

**Theorem 1.2** *A maximal partial spread of  $H(5, q^2)$  has at least  $2q + 3$ , if  $q \geq 7$ , at least  $2q + 2$  generators for  $q \in \{3, 4, 5\}$  and at least  $2q + 1 = 5$  generators for  $q = 2$ .*

## 2 The proof

Consider a partial spread  $\mathcal{S}$  of the Hermitian variety  $H(5, q^2)$  embedded in  $\text{PG}(5, q^2)$ . The points that are covered by the planes of  $\mathcal{S}$  will be called covered points. The planes contained in  $H(5, q^2)$  are called hermitian planes. Since the partial spread is maximal, every hermitian plane contains a covered point. On the other hand, a hermitian plane that is not in the partial spread can meet at most one of the planes of  $\mathcal{S}$  in a line. The hermitian planes that are not in  $\mathcal{S}$  and do not contain a line of a plane of  $\mathcal{S}$  will be called *free planes*. Finally we put  $x := q^4 + 1 - |\mathcal{S}|$ .

**Lemma 2.1** *Every covered point lies on  $x$  free planes. Every uncovered points of  $H(5, q^2)$  lies on  $q^3 + q + x$  free planes.*

**Proof.** Let  $P$  be an uncovered point. For every plane  $\pi \in \mathcal{S}$  the subspace  $\langle P, P^\perp \cap \pi \rangle$  is a hermitian plane on  $P$  meeting  $\pi$  in a line. Hence  $P$  lies on exactly  $|\mathcal{S}|$  hermitian planes that meet a plane of  $\mathcal{S}$  in a line. Then the number of free planes on  $P$  is  $(q + 1)(q^3 + 1) - |\mathcal{S}| = q^3 + q + x$ . Now consider a covered point  $P$  in a plane  $\pi_0$  of  $\mathcal{S}$ . The other planes  $\pi$  of  $\mathcal{S}$  still give rise to the planes  $\langle P, P^\perp \cap \pi \rangle$ , but there are  $(q^2 + 1)q$  hermitian planes on  $P$  that meet  $\pi_0$  in a line, so now the number of free planes on  $P$  is  $q^3 + q$  smaller than for the uncovered points.  $\square$

This lemma shows that  $x \geq 0$  and hence  $|\mathcal{S}| \leq q^4 + 1$ . This was noticed by D. Luyckx in [5]. The lemma has another interesting consequence. Consider

the multiset  $\mathcal{M}$  consisting of the free planes and  $q^3 + q$  copies of each plane of  $\mathcal{S}$ . Then every hermitian point is covered exactly  $q^3 + q + x$  times by planes of this multiset. This has powerful consequences. In order to prove these, we need the following remarkable property of hermitian varieties noticed by Thas [9].

**Result 2.2** *Let  $\pi_1, \pi_2$  and  $\pi$  be three distinct generators of  $H(2n + 1, q^2)$ . Then the points of  $\pi$  that lie on a line of  $H(2n + 1, q^2)$  meeting  $\pi_1$  and  $\pi_2$  form a hermitian variety  $H(n, q^2)$  in  $\pi$ .*

In the degenerate situation  $n = 1$ , we mean by a hermitian variety  $H(1, q^2)$  a set of  $q + 1$  collinear points. We remark that this property can be verified easily in the case  $n = 1$  by using the duality of  $H(3, q^2)$  and  $Q^-(5, q)$ .

**Lemma 2.3** *For two different planes  $\pi_1, \pi_2$  of  $\mathcal{S}$  the number of free planes intersecting both is equal to*

$$y := x(q^3 + 1) - (q^3 + q)(q^2 - q + 1)(q - 1).$$

**Proof** Let  $\pi_1$  and  $\pi_2$  be two different planes of  $\mathcal{S}$ . Then the union  $U$  of all hermitian lines meeting  $\pi_1$  and  $\pi_2$  has size  $(q^4 + q^2 + 1)(q^4 + 1)$ . Now consider the multiset  $\mathcal{M}$  constructed above whose planes cover every hermitian point  $q^3 + q + x$  times. We count incident pairs  $(P, \pi) \in U \times \mathcal{M}$ . Each point of  $U$  occurs in  $q^3 + q + x$  pairs.

The  $q^3 + q$  copies of  $\pi_1$  and  $\pi_2$  in  $\mathcal{M}$  occur each in  $q^4 + q^2 + 1$  pairs. A plane of  $\mathcal{M}$  that is skew to  $\pi_1$  and  $\pi_2$  occurs  $q^3 + 1$  times by the above result; this applies to the  $(|S| - 2)(q^3 + q)$  of planes of  $\mathcal{S} \setminus \{\pi_1, \pi_2\}$ . For the free planes in  $\mathcal{M}$  there are three possibilities. They can be skew to  $\pi_1$  and  $\pi_2$ . Then they also meet  $U$  in  $q^3 + 1$  points. They can meet  $\pi_1$  and  $\pi_2$  in one point. Then they meet  $U$  in a line, so these free planes occur in  $q^2 + 1$  pairs. We denote by  $y$  the number of such free planes. Then the number of free planes that meet exactly one of  $\pi_1$  and  $\pi_2$  is  $2(q^4 + q^2 + 1)x - 2y$  by Lemma 2.1. It follows from Result 2.2 that these free planes occur in  $1 + (q + 1)q^2$  pairs.

Thus, each plane of  $\mathcal{M}$  occurs in  $q^3 + 1$  pairs, except that  $2(q^3 + q)$  occur  $q^4 - q^3 + q^2$  extra times,  $2(q^4 + q^2 + 1)x - 2y$  occur  $q^2$  extra times, and  $y$  occur  $q^3 + 1 - (q^2 + 1) = q^3 - q^2$  times less. Hence

$$\begin{aligned} |U|(q^3 + q + x) &= |\mathcal{M}|(q^3 + 1) + 2(q^3 + q)(q^4 - q^3 + q^2) \\ &\quad + [2(q^4 + q^2 + 1)x - 2y]q^2 - y(q^3 - q^2) \end{aligned}$$

As the planes of  $\mathcal{M}$  cover  $H(5, q^2)$  exactly  $q^3 + q + x$  times, we have  $|\mathcal{M}| = (q^5 + 1)(q^3 + q + x)$ . Simplifying gives  $y$  as stated.  $\square$

We have  $|\mathcal{F}| = |\mathcal{M}| - |\mathcal{S}|(q^3 + q)$ . Using the size for  $|\mathcal{M}|$  from the above proof, we find

$$\sum_{F \in \mathcal{F}} 1 = |\mathcal{F}| = (q^5 - q^4)(q^3 + q) + x(q^5 + q^3 + q + 1)$$

For  $F \in \mathcal{F}$  denote by  $c_F$  the number of points of  $F$  that are covered by planes of the partial spread  $\mathcal{S}$ . Counting incident pairs  $(P, F)$  with points covered by  $\mathcal{S}$  and free planes  $F$ , Lemma 2.1 gives

$$\sum_{F \in \mathcal{F}} c_F = |\mathcal{S}|(q^4 + q^2 + 1)x.$$

Counting triples  $(P, P', F)$  of different points covered by  $\mathcal{S}$  and free planes  $F$  with  $P, P' \in F$ , the preceding lemma gives

$$\sum_{F \in \mathcal{F}} c_F(c_F - 1) = |\mathcal{S}|(|\mathcal{S}| - 1)y.$$

Using these three equalities to evaluate the Cauchy-Schwarz-inequality

$$|\mathcal{F}| \sum_{F \in \mathcal{F}} c_F^2 \geq \left( \sum_{F \in \mathcal{F}} c_F \right)^2$$

using  $x = q^4 + 1 - |\mathcal{S}|$  and  $s := |\mathcal{S}|$ , gives

$$0 \leq sq(q^2 - q + 1)(q^3 + 1 - s)(q^{11} + q^{10} + q^9 - sq^7 + q^7 + 2q^6 - 2sq^6 - sq^4 + q^4 - sq^3 + s^2q^3 - sq^2 + q^2 + s^2q - 2sq + q - s^2 + 2s - 1).$$

It follows that  $|\mathcal{S}| \leq q^3 + 1$ . Here we used that we have  $|\mathcal{S}| \leq q^4 + 1$ , see above.

Now suppose that  $|\mathcal{S}| = q^3 + 1$ . Then we have equality and this implies that all planes of  $\mathcal{F}$  have the same number  $f$  of covered points. The above equations for  $\sum c_F$  and  $|\mathcal{F}|$  show that this number is  $q^2 - q + 1$ . We also have  $|\mathcal{F}| = q^6(q^3 - 1)$  and the number  $y$  of planes of  $\mathcal{F}$  meeting two planes of  $\mathcal{F}$  is

$$y = (q^4 + q^2 + 1)(q - 1)^2q.$$

This information shows that all spreads of size  $q^3 + 1$  behave similar. However, we also mention that there might exist different spreads.

### 3 Small maximal partial spreads

In order to prove a lower bound for small maximal partial spreads of  $H(5, q^2)$ , we need to calculate some numbers. The crucial point of our counting argument is that the number of planes of  $H(5, q^2)$  that meet three mutually skew planes of  $H(5, q^2)$  is independent of the three planes chosen.

**Lemma 3.1** (a) *Every plane of  $H(5, q^2)$  meets  $(q^4 + q^2 + 1)(q^4 + q)$  other planes of  $H(5, q^2)$ .*

(b) *If  $\pi_1$  and  $\pi_2$  are mutually skew planes of  $H(5, q^2)$ , then there exist exactly  $(q^4 + q^2 + 1)(q^3 - q^2 + q + 1)$  planes of  $H(5, q^2)$  meet  $\pi_1$  and  $\pi_2$ .*

(b) *If  $\pi_1, \pi_2$  and  $\pi$  are three mutually skew planes of  $H(5, q^2)$ , then  $q^6 - 2q^5 + 3q^4 + q + 1$  planes of  $H(5, q^2)$  meet  $\pi_1, \pi_2$  and  $\pi$ .*

**Proof** (a) Each of the  $q^4 + q^2 + 1$  lines of a plane  $\pi$  of  $H(5, q^2)$  lies in  $q$  further planes. A point of  $\pi$  lies in  $(q + 1)(q^3 + 1)$  planes of  $H(5, q^2)$ , of which one is  $\pi$  and  $(q^2 + 1)q$  other ones meet  $\pi$  in a line, so  $q^4$  of which meet  $\pi$  only in this point. Thus there exist  $(q^4 + q^2 + 1)q^4$  planes in  $H(5, q^2)$  that meet  $\pi$  in a unique point.

(b) Consider a point  $P \in \pi_1$ . The number of planes on  $P$  that meet  $\pi_2$  can be counted in the quotient geometry on  $P$ : Given two skew lines  $l_1$  and  $l_2$  in  $H(3, q^2)$ , there are exactly  $1 + (q^2 + 1)q$  lines that meet  $l_2$  and exactly  $q^2 + 1$  of these meet also  $l_1$ . Thus,  $P$  lies in  $q^3 + q + 1$  planes of  $H(5, q^2)$  that meet  $\pi_2$  and exactly  $q^2 + 1$  of these meet  $\pi_1$  in a line. It follows that there exists  $q^4 + q^2 + 1$  planes of  $H(5, q^2)$  that meet  $\pi_1$  in a line and  $\pi_2$  in a point, and there are  $(q^4 + q^2 + 1)(q^3 - q^2 + q)$  planes in  $H(5, q^2)$  that meet  $\pi_1$  in a unique point and that meet also  $\pi_2$ .

(c) First we recall from Result 2.2 that we find a hermitian curve  $H = H(2, q^2)$  in the plane  $\pi$  consisting of those points of  $\pi$  that lie on a line of  $H(5, q^2)$  that meets  $\pi_1$  and  $\pi_2$ . Alternatively, one can say that  $H$  consists of the points  $P \in \pi$  such that the planes  $\langle P, P^\perp \cap \pi_1 \rangle$  and  $\langle P, P^\perp \cap \pi_2 \rangle$  meet in a line  $l$  (and this is the line of  $H(5, q^2)$  on  $P$  that meets  $\pi_1$  and  $\pi_2$ ).

Consider a point  $P \in \pi$ . Then every plane of  $H(5, q^2)$  on  $P$  that meets  $\pi_1$  and  $\pi_2$ , meets  $\pi_i$  in a point of the plane  $E_i := \langle P, P^\perp \cap \pi_i \rangle$ . Going into the quotient space  $P^\perp/P$ , in which we see a  $H(3, q^2)$ , the planes  $E_1, E_2$  and  $\pi$

become lines  $l_1, l_2, l$ . The number of planes of  $H(5, q^2)$  on  $P$  that meet also  $\pi_1$  and  $\pi_2$  is equal to the number of lines in the  $H(3, q^2)$  that meet  $l_1$  and  $l_2$ . If  $P \in H$ , then the lines  $l_1$  and  $l_2$  meet in a point and  $l$  is disjoint to  $l_1$  and  $l_2$ . In this case there are  $q + 1$  lines meeting  $l_1$  and  $l_2$  and one of these meets also  $l$ . If  $P \notin H$ , then  $l_1, l_2$  and  $l$  are mutually skew, so there are  $q^2 + 1$  lines in  $H(3, q^2)$  that meet  $l_1$  and  $l_2$  and, by Result 2.2, exactly  $q + 1$  of these meet also  $l$ .

Thus the number of planes of  $H(5, q^2)$  on  $P$  that meet  $\pi_1$  and  $\pi_2$  is  $q + 1$  in the first case and  $q^2 + 1$  in the second case. Also in the first case one and in the second case  $q + 1$  of these planes meet  $\pi$  in line. Thus, from the planes of  $H(5, q^2)$  that meet  $\pi_1$  and  $\pi_2$ , exactly

$$(q^3 + 1)q + (q^4 - q^3 + q^2)(q^2 - q) = q^6 - 2q^5 + 3q^4 - q^3 + q$$

meet  $\pi$  in a unique point, and

$$\frac{(q^3 + 1) \cdot 1 + (q^4 - q^3 + q^2)(q + 1)}{q^2 + 1} = q^3 + 1$$

meet  $\pi$  in a line. □

**Remark.** In the previous proof, one can also show the following. A tangent line of the hermitian curve  $H$  in  $\pi$  lies on a unique plane of  $H(5, q^2)$  meeting  $\pi_1$  and  $\pi_2$ , whereas the other lines of  $\pi$  do not lie in planes that meet  $\pi_1$  and  $\pi_2$ . This explains the term  $q^3 + 1$  for the number of planes meeting  $\pi$ ,  $\pi_1$  and  $\pi_2$ .

To obtain from this information a lower bound we use a standard counting technique, see for example [7]. Suppose that  $\mathcal{F}$  is a maximal partial spread of  $H(5, q^2)$ . Let  $n_i$  be the number of planes of  $H(5, q^2)$  that are not in  $\mathcal{F}$  and that meet exactly  $i$  planes of  $\mathcal{F}$ . Then  $n_0 = 0$  as the spread is maximal. Also

$$\sum_{i \geq 1} n_i = (q + 1)(q^3 + 1)(q^5 + 1) - |\mathcal{F}| \quad (1)$$

$$\sum_{i \geq 1} n_i i = |\mathcal{F}|(q^4 + q^2 + 1)(q^4 + q) \quad (2)$$

$$\sum_{i \geq 1} n_i i(i - 1) = |\mathcal{F}|(|\mathcal{F}| - 1)(q^4 + q^2 + 1)(q^3 - q^2 + q + 1) \quad (3)$$

$$\sum_{i \geq 1} n_i i(i-1)(i-2) = |\mathcal{F}|(|\mathcal{F}|-1)(|\mathcal{F}|-2)(q^6 - 2q^5 + 3q^4 + q + 1). \quad (4)$$

The first equation holds, since  $H(5, q^2)$  has  $(q+1)(q^3+1)(q^5+1)$  generators. The second equation follows from a double counting argument, since each generator meets  $(q^4 + q^2 + 1)(q^4 + q)$  other generators. Finally the third and fourth equation follow from the lemma by counting suitable triple and 4-tuples. These equations enable us to calculate the sum

$$S := \sum_{i \geq 1} n_i(i-1)(i-3)(i-4).$$

Clearly  $S \geq 0$ . Simplifying using the above equation yields (here we put  $|\mathcal{F}| = 2q + 2 + x$ )

$$\begin{aligned} 0 \leq & (q^6 - 2q^5 + 3q^4 + q + 1)x^3 \\ & + (q^7 + 6q^2 + 9q^4 + 4q + 2q^5 - 10q^3 - 2 - 4q^6)x^2 \\ & + (-22q^4 - 1 - 7q^7 + 4q^2 + q^6 - 6q^3 + 14q^5 - 9q + 4q^8)x + 2 \\ & - 2q^8 - 16q^4 + 14q^6 - 12q^5 - 10q^2 - 8q^3 + 8q^7. \end{aligned}$$

For large  $q$  we immediately see that  $x > 0$  and hence  $|\mathcal{F}| \geq 2q + 3$ . In fact, this holds for  $q \geq 7$ . For  $q = 5$  and  $q = 3$  we still deduce  $x > -1$ , that is  $|\mathcal{F}| \geq 2q + 2$ , and for  $q = 2$  we find  $|\mathcal{F}| \geq 2q + 1 = 5$ .

We remark that the same technique can be applied to  $H(2n+1, q^2)$  for any  $n$ , only one has to calculate the numbers as in Lemma 3.1. For example, if  $n = 1$ , the numbers are easy to calculate, where again for the last number Result 2.2 is needed. Thus, if  $\mathcal{F}$  is a partial spread of  $H(3, q^2)$ , then

$$\begin{aligned} \sum_{i \geq 1} n_i &= (q+1)(q^3+1) - |\mathcal{F}| \\ \sum_{i \geq 1} n_i i &= |\mathcal{F}|(q^2+1)q \\ \sum_{i \geq 1} n_i i(i-1) &= |\mathcal{F}|(|\mathcal{F}|-1)(q^2+1) \\ \sum_{i \geq 1} n_i i(i-1)(i-2) &= |\mathcal{F}|(|\mathcal{F}|-1)(|\mathcal{F}|-2)(q+1). \end{aligned}$$

The calculating the same sum as above gives  $|\mathcal{F}| \geq 2q + 1$  for  $q = 2, 3$ , and  $|\mathcal{F}| \geq 2q + 2$  for  $q \geq 4$

## 4 Particular results for small values of $q$

Using the computer algebra system GAP [6] and the package pg [3], we constructed all maximal partial spreads of  $H(5, 4)$  and  $H(3, q^2)$ ,  $q = 2, 3$ . For  $H(5, 4)$  we were interested in the different kind of maximal partial spreads that exist, and some of their geometric properties. For  $H(3, q^2)$ ,  $q = 2, 3$  we were more interested in the possible sizes that maximal partial spreads can have.

### maximal partial spreads of $H(5, 4)$

The following table summarizes the information on the different maximal partial spreads of  $H(5, 4)$ .

class	size	stabilizer group	order of the group
symplectic	9	$L_2(8) : C_3$	$9 \cdot 8 \cdot 7 \cdot 3 = 1512$
derivation 1	9	$C_3 \wr S_3$	$3^3 \cdot 2 \cdot 3 = 162$
derivation 2	9	$(C_2^3 : C_7) : C_3$	$2^3 \cdot 7 \cdot 3 = 168$
derivation 3	7	$C_2$	2
derivation 4	7	$D_{10} \cong C_5 : C_2$	10

There are, up to collineation, 3 examples of maximal partial spreads of size 9 and two examples of maximal partial spreads of size 7. The (up to collineation unique) spread of  $W(5, 2)$  (embedded in  $H(5, 4)$ ) is called the symplectic example. Its stabilizer group, i.e. the subgroup of  $\text{PGU}(6, 4)$  stabilizing the spread planewise, has actually order 9072, but its action on the planes of the maximal partial spread  $S$  is isomorphic with  $L_2(8) : C_3$ , which is a group of order 1512. The reason is that a collineation of  $W(5, 2)$  embedded in  $H(5, 4)$  can be extended in several ways to a collineation of  $H(5, 4)$ . The group acts 3-transitively on the planes, the normal subgroup  $L_2(8)$  acts sharply 3-transitively on the planes and is simple.

Suppose that  $S$  is a maximal partial spread of size 9. Consider a triple  $T$  of planes of  $S$ . Denote with  $F(T)$  the set of free planes of  $H(5, 4)$  intersecting every plane of  $T$ . Suppose that  $S$  is the symplectic example. Then for any triple  $T$  the set  $F(T)$  contains at least one triple of mutually skew planes intersecting no other planes of  $S$  than the three planes of the chosen triple.



We say that the set  $F(T)$  satisfies condition D. Hence replacing the planes from the triple with such a triple of mutually skew planes yields a new spread of size 9. We call this procedure *derivation*. Since the stabilizer group of  $S$  acts 3-transitively on the planes of  $S$ , it is clear that a derivation from any chosen triple yields the same spread. We call this example a derivation 1 example. Its stabilizer group has order 162 and acts transitively on the planes of the spread. The action is imprimitive. A non-trivial block system exists where each block contains exactly three planes, the action of the group on the blocks is isomorphic to  $S_3$  (the symmetric group on three elements). The subgroup stabilizing each block is elementary abelian and isomorphic with  $C_3^3$ . The derivation process can be executed on this example, but not all chosen triples will yield the same spread now. For some triples  $T$ , the set  $F(T)$  will even not satisfy condition D, but if it does, the derivation can be a symplectic example, a derivation 1 or a derivation 2 example.

Suppose that  $S$  is a derivation 2 example. Its stabilizer group fixes exactly one plane, the action of the group is 2-transitive on the 8 remaining planes and is isomorphic to  $(C_2^3 : C_7) : C_3$ . The normal subgroup  $C_2^3 : C_7$  acts sharply 2-transitive on the 8 remaining planes. Again the process of derivation can be executed and if, for a chosen triple  $T$ , the set  $F(T)$  satisfies condition D, then the derivation of  $S$  is always a derivation 1 example.

Suppose now that  $S$  is a derivation 1 or a derivation 2 example. It is always possible to find a triple  $T$  of planes of  $S$  such that  $F(T)$  does not satisfy condition D, such that  $F(T)$  contains a plane  $\pi$  that intersects two planes of  $S \setminus T$ , such that  $F(T)$  contains two more planes  $\pi'$  and  $\pi''$ , not intersecting the planes of  $S \setminus T$  and such that  $\pi$ ,  $\pi'$  and  $\pi''$  are mutually skew. Removing the two planes of  $S \setminus T$  that intersect  $\pi$ , and the three planes of  $T$ , and adding the three planes  $\pi$ ,  $\pi'$  and  $\pi''$ , yields a maximal partial spread of size 7. Starting from a derivation 1 or derivation 2 example, we can always construct derivation 3 and derivation 4 examples if we choose a suitable triple  $T$ .

If  $S$  is a derivation 3 example, then its stabilizer group fixes one plane of  $S$ . Its action on the remaining six planes is involutory, the stabilizer group is isomorphic with  $C_2$ . If  $S$  is a derivation 4 example, then its stabilizer group has order 10, fixes no plane, but does not act transitively on the planes of  $S$ . There are two orbits, one has length 7, the second one has length 2. The stabilizer group is isomorphic with  $D_{10} \cong C_5 : C_2$ , the dihedral group of

order 10.

We recall that in the case  $H(5, 4)$  the theoretic lower bound from the previous section was 5. We see that in reality the smallest maximal partial spread has size 7.

### Maximal partial spreads of $H(3, q^2)$ , $q = 2, 3$

From the previous section we know that a maximal partial spread of  $H(3, q^2)$ ,  $q = 2, 3$  contains at least  $2q + 1$  planes. That  $H(3, q^2)$  has a maximal partial spread of size  $q^2 + 1$  is observed in [4], as in the  $H(5, q^2)$ , we can embed the symplectic polar space (now of rank 2) into  $H(3, q^2)$ . Alternatively, one says that  $W(3, q)$  is a subquadrangle of the generalized quadrangle  $H(3, q^2)$  [8]. It is known that  $W(3, q)$  has spreads. Suppose that  $S$  is a spread of  $W(3, q)$ , then one can show that the extension in  $H(3, q^2)$  is a maximal partial spread as follows. Consider the dual situation, i.e. we interchange the role of the points and the lines in the generalized quadrangles  $W(3, q)$  and  $H(3, q^2)$ . The dual of  $W(3, q)$  is isomorphic with  $Q(4, q)$  and the dual of  $H(3, q^2)$  is isomorphic with  $Q^-(5, q)$ . Hence in the dual situation we consider an *ovoid* of  $Q(4, q)$  embedded in  $Q^-(5, q)$ . An ovoid of  $Q(4, q)$  is a set  $\mathcal{O}$  of points of  $Q(4, q)$  such that every line of  $Q(4, q)$  meets the ovoid in exactly one point. (remark that this is exactly the dual of a spread of  $W(3, q)$ ). Considering the ovoid  $\mathcal{O}$  of  $Q(4, q)$ , we have to show that any point of  $Q^-(5, q)$  is collinear on  $Q^-(5, q)$  with at least one point of  $\mathcal{O}$ . Consider a point  $p \in Q^-(5, q) \setminus Q(4, q)$ , then all points collinear with  $p$  lie in a hyperplane  $\pi_4$  of  $\text{PG}(5, q)$ . Consider the 4-dimensional space  $\pi_4$  containing  $Q(4, q)$ . Then  $\pi$  intersects  $\pi_4$  in a 3-dimensional space, and it is known that each 3-dimensional space contains exactly  $1 \pmod{p}$  points of  $\mathcal{O}$ , with  $q = p^h$  [1, 2]. This shows that any spread of  $W(3, q)$  constitutes a maximal partial spread of  $H(3, q^2)$ , of size  $q^2 + 1$ .

Using an exhaustive search, we found that  $H(3, q^2)$ ,  $q = 2$  has, up to collineation, 1 maximal partial spread of size 5 and 1 maximal partial spread of size 6. Hence the lower bound  $2q + 1$  is reached in this case. For  $q = 3$ , we found that  $H(3, q^2)$  has maximal partial spreads of size 10, 11, 12, 13 and 16. In this case the lower bound  $2q + 1$  is not reached. We observe that the size of the symplectic spread ( $q^2 + 1$ ) is the real lower bound for  $q = 2, 3$ , so the question arises whether this is true for general  $q$ . We remark that more results on maximal partial spreads of  $H(3, q^2)$ ,  $q = 2, 3$  can be found in [4].

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