# Collineations and Dualities of Partial Geometries

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#### Abstract

In this paper, we first prove some general results on the number of fixed points of collineations of finite partial geometries, and on the number of absolute points of dualities of partial geometries. In the second part of the paper, we establish the number of isomorphism classes of partial geometries arising from a Thas maximal arc constructed from a (finite) Suzuki-Tits ovoid in a classical projective plane. We also determine the full automorphism group of these structures, and show that every partial geometry arising from any Thas maximal arc is self-dual.

## 1 Introduction

Partial geometries were introduced by Bose [3] in 1963 as a geometric approach to many strongly regular graphs. Although a number of classes and sporadic examples of (finite) partial geometries are known, they do not seem to exist in great numbers. In order to understand the structure of partial geometries better, it seems reasonable to try to understand how collineations act on them. In particular, a general but pertinent question is: what can we say about the fixpoints and fixlines of an arbitrary collineation? And can one say something about the number of absolute points and lines of a duality of a partial geometry? This paper intends to answer these questions.

The formulae we find for self-dual partial geometries lead us to take a closer look at the examples of self-dual partial geometries. There are very few of these. The most prominent examples are the partial geometries arising from a Thas maximal arc of a Desarguesian projective plane constructed with a Suzuki-Tits ovoid. We show (1) that these examples are really self-dual (in fact we show that this holds when considering any ovoid of PG(3, q), with q even). Our methods then allow to (2) determine the full collineation groups of

these geometries. As an application we show (3) that, for each Suzuki-Tits ovoid, there are exactly two isomorphism classes of Thas maximal arcs in the classical plane, and consequently also two isomorphism classes of corresponding partial geometries. Questions (2) and (3) were also answered by Penttila, but remained unpublished, see [6]. We include a proof for completeness' sake. Question (1) was, as far as we know, never treated before and open since 1974, when Thas introduced these geometries.

# 2 Generalities

A *(finite) partial geometry* is an incidence structure  $S = (\mathcal{P}, \mathcal{L}, I)$ , with an incidence relation satisfying the following axioms

- 1. each point is incident with t + 1 lines  $(t \ge 1)$  and two distinct points are incident with at most one line;
- 2. each line is incident with s + 1 points ( $s \ge 1$ ) and two distinct lines are incident with at most one point;
- 3. if x is a point and L is a line not incident with x, then there are exactly  $\alpha$   $(\alpha \geq 1)$  points  $x_1, x_2, \ldots, x_{\alpha}$  and  $\alpha$  lines  $L_1, L_2, \ldots, L_{\alpha}$  such that  $x I L_i I x_i I L$ ,  $i \in \{1, 2, \ldots, \alpha\}$ .

We will say that such a partial geometry is of order  $(s, t, \alpha)$ . If |P| = v and |B| = b, then  $v = \frac{(s+1)(st+\alpha)}{\alpha}$  and  $b = \frac{(t+1)(st+\alpha)}{\alpha}$ .

In a finite projective plane of order q, any non-void set of l points may be described as an  $\{l; n\}$ -arc, where  $n \neq 0$  is the largest number of collinear points in the set. For given q and n,  $n \neq 0$ , l can never exceed (n-1)(q+1) + 1, and an arc with that number of points will be called a *maximal arc* (cfr. [2]). It is easily seen that a maximal arc meets every line in either 0 or n points.

A near polygon is a partial linear space  $S = (\mathcal{P}, \mathcal{L}, \mathbf{I})$  with the following property: if x is a point and L is a line not incident with x, then there exists a unique point y incident with L for which  $\operatorname{dist}(x, y)$  is minimal. If the maximal distance between two elements is n, then the near polygon is also called a *near n-gon*.

We will say that a near polygon is of order (s, t), if there are s + 1 points on every line and t + 1 lines through every point. Let  $S = (\mathcal{P}, \mathcal{L}, \mathbf{I})$  be a partial geometry of order  $(t, t, \alpha)$ . Then we define the *double* of it as the following geometry: the point set is  $\mathcal{P} \cup \mathcal{L}$ , the line set is the set of *flags* of S (where a flag is an incident point-line pair), and incidence is the natural one.

In this way each partial geometry of order  $(t, t, \alpha)$  gives rise to a unique near octagon, that is, a near 8-gon, of order (1, t), for which the following property holds: for every two points x and y which lie at distance 6 from each other, there exist precisely  $\alpha$  paths of length 6 from x to y, and for every two points x' and y' which lie at distance 4 from each other there exists precisely 1 shortest path from x' to y'. We will say that such a near octagon is of order  $(1, t; \alpha, 1)$ . Conversely, each near octagon of order  $(1, t; \alpha, 1)$  arises from a partial geometry of order  $(t, t, \alpha)$ .

We will need the following lemma's in Section 3. The proofs can be found in [10].

Let A be an adjacency matrix of the point graph of a partial geometry  $\mathcal{S}$  of order  $(s, t, \alpha)$ with v points, let M = A + (t+1)I, let  $\theta$  be an automorphism of  $\mathcal{S}$  of order n and let  $Q = (q_{ij})$  be the  $v \times v$  matrix with  $q_{ij} = 1$  if  $x_i^{\theta} = x_j$  and  $q_{ij} = 0$  otherwise.

**Lemma 1** Suppose that  $\xi$  and  $\xi'$  are both primitive d<sup>th</sup> roots of unity, with d a divisor of n, and let  $\lambda$  be an eigenvalue of M. If at least one of  $\xi\lambda$  and  $\xi'\lambda$  is an eigenvalue of QM, than they both are and they have the same multiplicity.

**Lemma 2** Let  $\xi$  be an  $n^{\text{th}}$  root of unity and  $\lambda$  an eigenvalue of M. Then the multiplicity of  $\xi\lambda$  as an eigenvalue of QM is equal to the multiplicity of  $\xi\lambda^j$  as an eigenvalue of  $QM^j$ , with  $j = 2, 3, \cdots$ .

## **3** A Benson-type theorem for partial geometries

We now introduce some further notation. Suppose that  $\mathcal{S} = (\mathcal{P}, \mathcal{L}, \mathbf{I})$  is a partial geometry of order  $(s, t, \alpha)$ . It is convenient to use the notion of collinearity only for distinct points. Let D be an incidence matrix of  $\mathcal{S}$ . Then  $M := DD^T = A + (t+1)I$ , where A is an adjacency matrix of the point graph of  $\mathcal{S}$ . Let  $\theta$  be an automorphism of  $\mathcal{S}$  of order n and let  $Q = (q_{ij})$  be the  $v \times v$  matrix with  $q_{ij} = 1$  if  $x_i^{\theta} = x_j$  and  $q_{ij} = 0$  otherwise; so Q is a permutation matrix. Because M = A + (t+1)I, the eigenvalues of M are as follows (cfr. [3]):

eigenvalues of $M$	multiplicity
0	$m_0 = \frac{s(s+1-\alpha)(st+\alpha)}{\alpha(s+t+1-\alpha)}$
(s+1)(t+1)	$m_1 = 1$
$s+t+1-\alpha$	$m_2 = \frac{(s+1)(t+1)st}{\alpha(s+t+1-\alpha)}$

**Theorem 3** Let S be a partial geometry of order  $(s, t, \alpha)$  and let  $\theta$  be an automorphism of S. If  $f_0$  is the number of points fixed by  $\theta$  and if  $f_1$  is the number of points x for which  $x^{\theta} \neq x \sim x^{\theta}$ , then for some integer k there holds

$$\operatorname{tr}(QM) = k(s+t+1-\alpha) + (1+s)(1+t) = (t+1)f_0 + f_1.$$

**Proof** Suppose that  $\theta$  has order n, so that  $(QM)^n = Q^n M^n = M^n$ . It follows that the eigenvalues of QM are the eigenvalues of M multiplied by the appropriate roots of unity. Let J be the  $v \times v$  matrix with all entries equal to 1. Since MJ = (1+s)(1+t)J, we have (QM)J = (1+s)(1+t)J, so (1+s)(1+t) is an eigenvalue of QM. Because  $m_1 = 1$ , it follows that this eigenvalue of QM has multiplicity 1. Further it is clear that 0 is an eigenvalue of QM with multiplicity  $m_0$ . For each divisor d of n, let  $\xi_d$  denote a primitive  $d^{\text{th}}$  root of unity, and put  $U_d = \sum \xi_d^i$ , where the summation is over those integers  $i \in \{1, 2, \ldots, d-1\}$  that are relatively prime to d. Then  $U_d$  is an integer by [7]. For each divisor d of n, the primitive  $d^{\text{th}}$  roots of unity all contribute the same number of times to the eigenvalues  $\varphi$  of QM with  $|\varphi| = s + t + 1 - \alpha$ , because of Lemma 1. Let  $a_d$  denote the multiplicity of  $\xi_d(s + t + 1 - \alpha)$  as an eigenvalue of QM, with d|n, and  $\xi_d$ a primitive  $d^{\text{th}}$  root of unity. Then we have:

$$\operatorname{tr}(QM) = \sum_{d|n} a_d(s+t+1-\alpha)U_d + (1+s)(1+t),$$

or

$$tr(QM) = k(s + t + 1 - \alpha) + (1 + s)(1 + t)$$

with k an integer.

Since the entry on the  $i^{\text{th}}$  row and  $i^{\text{th}}$  column of QM is the number of lines incident with  $x_i$  and  $x_i^{\theta}$ , we have  $\operatorname{tr}(QM) = (1+t)f_0 + f_1$ . Hence

$$k(s+t+1-\alpha) + (1+s)(1+t) = (1+t)f_0 + f_1,$$

with k an integer.

**Corollary 4** Let S be a partial geometry of order  $(s, t, \alpha)$  and let  $\theta$  be an automorphism of S. If s, t and  $\alpha - 1$  have a common divisor distinct from 1, then there exists at least one fixpoint or at least one point which is mapped to a point collinear to itself.

**Proof** Suppose that there are no fixpoints and no points which are mapped to a collinear point, hence  $f_0 = f_1 = 0$ . Because of the previous theorem,  $k(s+t+1-\alpha) + (1+s)(1+t)$  has to be equal to 0. Hence  $k(s+t+1-\alpha) + s+t+st = -1$ . But because s, t and  $\alpha - 1$  have a common divisor distinct from 1, there exists an integer m which divides s, t and  $\alpha - 1$ . Hence m divides  $k(s+t+1-\alpha) + s+t+st$ , but m does not divide -1 and we have a contradiction.

**Corollary 5** Let S be a partial geometry of order  $(s, t, \alpha)$  and let  $\theta$  be an involution of S. If s, t and  $\alpha - 1$  have a common divisor distinct from 1, then there exists at least one fixpoint or at least one fixline.

**Proof** This follows immediately from the previous corollary because if there is a point x which is mapped to a point collinear to x by the involution  $\theta$ , then the line  $xx^{\theta}$  is a fixline.

We now have a look at the double of a partial geometry of order  $(t, t, \alpha)$ , which is a near octagon of order  $(1, t; \alpha, 1)$ .

If the matrix M of this near octagon is defined as before, then it has the following eigenvalues (cf. [4]):

eigenvalues of $M$	multiplicity
0	$m_0 = 1$
2t + 2	$m_1 = 1$
1+t	$m_2 = \frac{2(2-\alpha)(t+\alpha)}{\alpha(t+2-\alpha)}$
$t + 1 + \sqrt{2t + 1 - \alpha}$	$m_3 = \frac{2(t+1)t}{\alpha(t+2-\alpha)}$
$t+1-\sqrt{2t+1-\alpha}$	$m_4 = \frac{2(t+1)t}{\alpha(t+2-\alpha)}$

**Theorem 6** Let S be a near octagon of order  $(1, t; \alpha, 1)$  and let  $\theta$  be an automorphism of S. If  $f_0$  is the number of points fixed by  $\theta$  and  $f_1$  is the number of points x for which  $x^{\theta} \neq x \sim x^{\theta}$ , then for some integers  $k_1$ ,  $k_2$  and  $k_3$  there holds

$$k_1(1+t) + k_2(1+t+\sqrt{2t+1-\alpha}) + k_3(1+t-\sqrt{2t+1-\alpha}) + 2(1+t) = (1+t)f_0 + f_1.$$

**Proof** Suppose that  $\theta$  has order n, so that  $(QM)^n = Q^n M^n = M^n$ . It follows that the eigenvalues of QM are the eigenvalues of M multiplied by the appropriate roots of unity. Let J be the  $v \times v$  matrix with all entries equal to 1. Since MJ = 2(1 + t)J, we have (QM)J = 2(1 + t)J, so 2(1 + t) is an eigenvalue of QM. Because  $m_1 = 1$ , it follows that this eigenvalue of QM has multiplicity 1. Further it is clear that 0 is an eigenvalue of QM with multiplicity  $m_0 = 1$ . For each divisor d of n, let  $\xi_d$  denote a primitive  $d^{\text{th}}$  root of unity, and put  $U_d = \sum \xi_d^i$ , where the summation is over those integers  $i \in \{1, 2, \ldots, d-1\}$  that are relatively prime to d. Then  $U_d$  is an integer by [7]. For each divisor d of n, the primitive  $d^{\text{th}}$  roots of unity all contribute the same number of times to the eigenvalues  $\varphi$  of QM with  $|\varphi| = 1 + t + \sqrt{2t + 1 - \alpha}$  and also the primitive  $d^{\text{th}}$  roots of unity all contribute the multiplicity of  $\xi_d(1 + t - \sqrt{2t + 1 - \alpha})$  and let  $b_d$  denote the multiplicity of  $\xi_d(1 + t - \sqrt{2t + 1 - \alpha})$  and let  $b_d$  a primitive  $d^{\text{th}}$  root of unity. Then we have:

$$\operatorname{tr}(QM) = \sum_{d|n} a_d (1 + t + \sqrt{2t + 1 - \alpha}) U_d + \sum_{d|n} b_d (1 + t - \sqrt{2t + 1 - \alpha}) U_d + 2(1 + t),$$

or

$$\operatorname{tr}(QM) = k_1(1+t+\sqrt{2t+1-\alpha}) + k_2(1+t-\sqrt{2t+1-\alpha}) + 2(1+t),$$

with  $k_1$  and  $k_2$  integers.

Since the entry on the  $i^{\text{th}}$  row and  $i^{\text{th}}$  column of QM is the number of lines incident with  $x_i$  and  $x_i^{\theta}$ , we have  $\operatorname{tr}(QM) = (1+t)f_0 + f_1$ . Hence

$$k_1(1+t+\sqrt{2t+1-\alpha})+k_2(1+t-\sqrt{2t+1-\alpha})+2(1+t)=(1+t)f_0+f_1,$$

with  $k_1$  and  $k_2$  integers.

**Theorem 7** Let S be a near octagon of order  $(1, t; \alpha, 1)$  and let  $\theta$  be an automorphism of S. If  $f_0$  is the number of points fixed by  $\theta$ ,  $f_1$  is the number of points x for which  $x^{\theta} \neq x \sim x^{\theta}$  and  $f_2$  is the number of points for which dist $(x, x^{\theta}) = 4$ , then for some integers  $k_1$ ,  $k_2$  and  $k_3$  there holds

$$k_1(1+t)^2 + k_2(1+t+\sqrt{2t+1-\alpha})^2 + k_3(1+t-\sqrt{2t+1-\alpha})^2 + (2(1+t))^2 = (2+t)(1+t)f_0 + (2+2t)f_1 + f_2.$$

**Proof** Suppose that M, A and Q are defined as before. Suppose that  $\theta$  has order n, so that  $(QM^2)^n = Q^n M^{2n} = M^{2n}$ . It follows that the eigenvalues of  $QM^2$  are the eigenvalues of  $M^2$  multiplied by the appropriate roots of unity. Since  $M^2J = (2(1+t))^2J$ , we have  $(QM^2)J = (2(1+t))^2J$ , so  $(2(1+t))^2$  is an eigenvalue of  $QM^2$ . By Lemma 2  $m_1 = 1$  and it follows that this eigenvalue of  $QM^2$  has multiplicity 1. Further it is clear that 0 is an eigenvalue of  $QM^2$  with multiplicity  $m_0$ . For each divisor d of n, let  $\xi_d$  again denote a primitive  $d^{\text{th}}$  root of unity, and put  $U_d = \sum \xi_d^i$ , where the summation is over those integers  $i \in \{1, 2, \ldots, d-1\}$  that are relatively prime to d. Then  $U_d$  is an integer [7]. For each divisor d of n, the primitive  $d^{\text{th}}$  roots of unity all contribute the same number of times to the eigenvalues  $\varphi$ , respectively  $\varphi'$  and  $\varphi''$ , of  $QM^2$  with  $|\varphi| = (t + 1 + \sqrt{2t + 1 - \alpha})^2$ , respectively  $|\varphi'| = (t + 1 - \sqrt{2t + 1 - \alpha})^2$  and  $|\varphi''| = (1 + t)^2$ , because of Lemma 1. Let  $a_d$  denote the multiplicity of  $\xi_d(1 + t + \sqrt{2t + 1 - \alpha})^2$  let  $b_d$  denote the multiplicity of  $\xi_d(1 + t - \sqrt{2t + 1 - \alpha})^2$  and let  $c_d$  denote the multiplicity of  $\xi_d(1 + t)^2$  as eigenvalues of  $QM^2$ , with d|n and  $\xi_d$  a primitive  $d^{\text{th}}$  root of unity. Then we have:

$$\operatorname{tr}(QM^2) = \sum_{d|n} a_d (1+t+\sqrt{2t+1-\alpha})^2 U_d + \sum_{d|n} b_d (1+t-\sqrt{2t+1-\alpha})^2 U_d + \sum_{d|n} c_d (1+t)^2 U_d + (2(1+t))^2,$$

or

$$\operatorname{tr}(QM^2) = k_1(1+t)^2 + k_2(1+t+\sqrt{2t+1-\alpha})^2 + k_3(1+t-\sqrt{2t+1-\alpha})^2 + (2(1+t))^2,$$

with  $k_1$ ,  $k_2$  and  $k_3$  integers. On the other hand we have

$$M = A + (1+t)I$$
  

$$\Rightarrow QM = QA + (1+t)Q$$
  

$$\Rightarrow \operatorname{tr}(QM) = \operatorname{tr}(QA) + (1+t)\operatorname{tr}(Q)$$
  

$$\Rightarrow (1+t)f_0 + f_1 = \operatorname{tr}(QA) + (1+t)f_0$$
  

$$\Rightarrow \operatorname{tr}(QA) = f_1.$$

The matrix  $A^2 = (a_{ij})$  is the matrix with (1 + t) along the main diagonal and on the other entries we have  $a_{ij} = 1$  if  $dist(x_i, x_j) = 4$  and  $a_{ij} = 0$  otherwise. Hence  $tr(QA^2) = (1 + t)f_0 + f_2$ . It follows that

$$tr(QM^{2}) = tr(Q(A + (1 + t)I)^{2}) = tr(Q(A^{2}) + 2(1 + t)tr(QA) + (1 + t)^{2}tr(Q)) = (1 + t)f_{0} + f_{2} + 2(1 + t)f_{1} + (1 + t)^{2}f_{0} = (2 + t)(1 + t)f_{0} + 2(1 + t)f_{1} + f_{2}.$$

**Theorem 8** Let S be a near octagon of order  $(1, t; \alpha, 1)$  and let  $\theta$  be a nontrivial automorphism of S. If  $f_0$  is the number of points fixed by  $\theta$ ,  $f_1$  is the number of points x for which  $x^{\theta} \neq x \sim x^{\theta}$ ,  $f_2$  is the number of points for which dist $(x, x^{\theta}) = 4$  and  $f_3$  is the number of points for which dist $(x, x^{\theta}) = 6$ , then for some integers  $k_1$ ,  $k_2$  and  $k_3$  holds

$$k_1(1+t)^3 + k_2(1+t+\sqrt{2t+1-\alpha})^3 + k_3(1+t-\sqrt{2t+1-\alpha})^3 + ((1+s)(1+t))^3 = (3(1+t)^2 + (1+t)^3)f_0 + (1+2t+3(1+t)^2)f_1 + 3(1+t)f_2 + \alpha f_3.$$

**Proof** Suppose that M, A and Q are defined as before. In the same way as in the proof of Theorems 6 and 7 we can prove that  $\operatorname{tr}(QM^3) = k_1(1+t)^3 + k_2(1+t+\sqrt{2t+1-\alpha})^3 + k_3(1+t-\sqrt{2t+1-\alpha})^3 + (2(1+t))^3$ , with  $k_1$ ,  $k_2$  and  $k_3$  integers. On the other hand, we can calculate that  $A^3 = (a_{ij})$  is the matrix with 0 along the main diagonal while on the other entries we have  $a_{ij} = 1 + 2t$  if  $x_i \sim x_j$ ,  $a_{ij} = \alpha$  if  $\operatorname{dist}(x_i, x_j) = 6$  and  $a_{ij} = 0$ otherwise. Hence  $\operatorname{tr}(QA^3) = (1+2t)f_1 + \alpha f_3$ . Because of the proof of Theorem 7 we know that  $\operatorname{tr}(QA^2) = (1+t)f_0 + f_2$ ,  $\operatorname{tr}(QA) = f_1$  and  $\operatorname{tr}(Q) = f_0$ . Hence

$$\begin{aligned} &\operatorname{tr}(QM^3) \\ &= \operatorname{tr}(Q(A+(1+t)I)^3) \\ &= \operatorname{tr}(QA^3) + 3(1+t)\operatorname{tr}(QA^2) + 3(1+t)^2\operatorname{tr}(QA) + (1+t)^3\operatorname{tr}(Q) \\ &= (1+2t)f_1 + \alpha f_3 + 3(1+t)((1+t)f_0 + f_2) + 3(1+t)^2f_1 + (1+t)^3f_0 \\ &= (3(1+t)^2 + (1+t)^3)f_0 + ((1+2t) + 3(1+t)^2)f_1 + 3(1+t)f_2 + \alpha f_3. \end{aligned}$$

Note that the integers  $k_1$ ,  $k_2$  and  $k_3$  in Theorems 6, 7 and 8 are the same by Lemma 2. Suppose that we have a duality in the underlying partial geometry, then we know that  $f_0 = 0$  and  $f_2 = 0$ . Because of Theorems 6, 7 and 8, we have the following equations:

$$\begin{cases} k_1(1+t) + k_2(1+t+\sqrt{2t+1-\alpha}) + k_3(1+t-\sqrt{2t+1-\alpha}) + 2(1+t) = f_1, \\ k_1(1+t)^2 + k_2(1+t+\sqrt{2t+1-\alpha})^2 + k_3(1+t-\sqrt{2t+1-\alpha})^2 + (2(1+t))^2 = (2+2t)f_1, \\ k_1(1+t)^3 + k_2(1+t+\sqrt{2t+1-\alpha})^3 + k_3(1+t-\sqrt{2t+1-\alpha})^3 + (2(1+t))^3 = (1+2t+3(1+t)^2)f_1 + \alpha f_3. \end{cases}$$

Because  $f_0$  and  $f_2$  are 0, we know that  $f_1 + f_3 = \frac{2(t+1)(\alpha+t^2)}{\alpha}$ . Hence:

$$\begin{cases} k_1 = 0, \\ k_2 = \frac{-2(t+1)+f_1}{2\sqrt{2t+1-\alpha}}, \\ k_3 = -\frac{-2(t+1)+f_1}{2\sqrt{2t+1-\alpha}}, \\ f_3 = \frac{2(t+1)(\alpha+t^2)}{\alpha} - f_1 \end{cases}$$

So  $\frac{-2(t+1)+f_1}{2\sqrt{2t+1-\alpha}}$  has to be an integer. In the case that  $2t + 1 - \alpha$  is not a square, this only holds if  $f_1 = 2(t+1)$ . Suppose that  $2t + 1 - \alpha$  is a square, then  $f_1 - 2(t+1)$  should be a multiple of  $2\sqrt{2t+1-\alpha}$ . If  $\alpha$  is odd, then  $f_1 \equiv 1 + \alpha \mod 2\sqrt{2t+1-\alpha}$ . If  $\alpha$  is even, then  $f_1 \equiv 1 + \alpha + \sqrt{2t+1-\alpha}$  mod  $2\sqrt{2t+1-\alpha}$ .

**Corollary 9** If  $\theta$  is a duality of a partial geometry of order  $(t, t, \alpha)$ , with  $2t + 1 - \alpha$  not a square, then it has 1 + t absolute points and 1 + t absolute lines, and there are  $(1 + t)t^2/\alpha$  points which are mapped to a line at distance 3 and  $(1 + t)t^2/\alpha$  lines which are mapped to a point at distance 3.

**Corollary 10** Suppose that  $\theta$  is a duality of a partial geometry of order  $(t, t, \alpha)$ , with  $2t + 1 - \alpha$  a square. If  $\alpha$  is odd, then it has  $(1 + \alpha)/2 \mod \sqrt{2t + 1 - \alpha}$  absolute points and equally many absolute lines. If  $\alpha$  is even, then it has  $(1 + \alpha + \sqrt{2t + 1 - \alpha})/2 \mod \sqrt{2t + 1 - \alpha}$  absolute points and equally many absolute lines.

# 4 Partial geometries which arise from maximal arcs

We are able to construct a partial geometry from a maximal arc (cfr. [11]). Suppose that we have a maximal  $\{qn - q + n, n\}$ -arc K (1 < n < q) of a projective plane  $\pi$  of order q. Define the points of the partial geometry S as the points of  $\pi$  which are not contained in K. The lines of S are the lines of  $\pi$  which are incident with n points of K and the incidence is the incidence of  $\pi$ . This gives us a partial geometry of order (q-n, q-q/n, q-q/n - n + 1).

Consider an ovoid  $\mathcal{O}$  and a 1-spread  $\mathcal{R}$  of  $\mathsf{PG}(3, 2^m)$ , m > 0, such that each line of  $\mathcal{R}$  has one and only one point in common with  $\mathcal{O}$ . Let  $\mathsf{PG}(3, 2^m)$  be embedded as a hyperplane Hin  $\mathsf{PG}(4, 2^m) = P$ , and let x be a point of  $P \setminus H$ . Call C the set of the points of  $P \setminus H$  which are on a line xy, with  $y \in \mathcal{O}$ . Then the point set C is a maximal  $\{2^{3m} - 2^{2m} + 2^m, 2^m\}$ -arc of the projective plane  $\pi$  defined by the 1-spread  $\mathcal{R}$  (cfr. [11]). We will call such maximal arcs *Thas maximal arcs*. As described above, we can construct a partial geometry  $\mathsf{pg}(C)$ from this arc C having order  $(2^{2m} - 2^m, 2^{2m} - 2^m, 2^{2m} - 2^{m+1} + 1)$ .

An interesting example of this situation occurs when the spread is a regular spread (so there arises a Desarguesian projective plane of order  $2^{2m}$ ) and the ovoid is a Suzuki-Tits ovoid (hence the maximal arc is not a Denniston maximal arc; see [11]). In the following we determine the isomorphism classes of such maximal arcs and of the corresponding partial geometries. We also determine the full automorphism groups and correlation groups of these structures.

In order to do so, and in particular in order to prove that the partial geometries are selfdual, we first give an alternative description of the maximal arcs in a more homogeneous setting.

Consider the projective space  $\mathsf{PG}(5,q)$  and suppose that we have a regular spread  $\mathcal{S}$  of lines in this space. It is well known — and easy to see — that the lines of this spread can be considered as the points of a projective plane  $\mathsf{PG}(2,q^2)$  while the 3-spaces of  $\mathsf{PG}(5,q)$ containing  $q^2 + 1$  spread lines are the lines of this projective plane. Fix such a 3-space  $\mathsf{PG}(3,q)$  and denote by  $L_{\infty}$  the corresponding line of  $\mathsf{PG}(2,q^2)$ . Let  $\mathcal{O}$  be an ovoid in  $\mathsf{PG}(3,q)$  such that every point of  $\mathcal{O}$  is incident with a unique line of  $\mathcal{S}$ . Take a line Lof  $\mathcal{S}$  outside  $\mathsf{PG}(3,q)$  and a point x incident with L. Let  $\mathsf{PG}(4,q)$  be the hyperplane generated by  $\mathsf{PG}(3,q)$  and x. Then there is a bijective correspondence  $\beta$  between the points of  $\mathsf{PG}(4,q) \setminus \mathsf{PG}(3,q)$  and the lines of  $\mathcal{S}$  not in  $\mathsf{PG}(3,q)$  given by containment. It is also obvious that a 3-space distinct from  $\mathsf{PG}(3,q)$  containing  $q^2 + 1$  spread lines intersects  $\mathsf{PG}(4,q)$  in a plane  $\pi$  which on its turn intersects  $\mathsf{PG}(3,q)$  in a member of  $\mathcal{S}$ . Hence the bijection  $\beta$  described above defines an isomorphism between the two models of  $\mathsf{PG}(2,q^2)$ .

Using  $\beta$ , we now see that in  $\mathsf{PG}(5,q)$ , the spread lines corresponding to points of the Thas maximal arc C defined by  $\mathcal{O}$  and x are the elements of  $\mathcal{S}$  not in  $\mathsf{PG}(3,q)$  that meet a line xp in a point, where  $p \in \mathcal{O}$ .

# 5 Collineations and dualities of the partial geometry pg(C)

### 5.1 Duality problem

In this section we show that the partial geometry pg(C), with C a Thas maximal arc in the Desarguesian projective plane PG(2, q), is self-dual.

Note that, for a given maximal arc C in any projective plane, the set of external lines of C is a maximal arc  $C^*$  in the dual projective plane, and it has the complementary parameters, i.e., if C is a maximal  $\{qn - q + n, n\}$ -arc, then  $C^*$  is a (dual)  $\{qh - q + h, h\}$ arc, with nh = q. In the case of a Thas maximal arc considered above, we see that  $n = h = 2^m$ .

So, in order to prove that the partial geometry related to a Thas maximal arc is self-dual, it suffices to show that the corresponding Thas maximal arc is "self-dual", i.e., a Thas maximal arc C is projectively equivalent with the set  $C^*$  of external lines in the dual projective plane.

So let C be a Thas maximal arc in  $\mathsf{PG}(2, q^2)$ , constructed as above using the ovoid  $\mathcal{O}$ . First of all, we remark that the set of tangent planes of  $\mathcal{O}$  is an ovoid  $\mathcal{O}^*$  in the dual of  $\mathsf{PG}(3,q)$ . Indeed, the set of tangent lines of  $\mathcal{O}$  is the line set of a symplectic generalized quadrangle  $\mathsf{W}(q)$ , which arises from a (symplectic) polarity  $\rho$  of  $\mathsf{PG}(3,q)$ . This symplectic polarity maps each point of  $\mathsf{PG}(3,q)$  onto the plane spanned by the lines of  $\mathsf{W}(q)$  through x. Hence it maps each point of  $\mathcal{O}$  onto its tangent plane. Now it is also clear that  $\mathcal{O}$  and  $\mathcal{O}^*$  are isomorphic.

Next we consider the following construction of C. We dualize in  $\mathsf{PG}(5,q)$  the construction of  $\mathsf{PG}(2,q^2)$  outlined above. The line L not in  $\mathsf{PG}(3,q)$  of the spread plays the role of the space  $\mathsf{PG}(3,q)$ ; the ovoid  $\mathcal{O}$ , as a set of points in  $\mathsf{PG}(3,q)$  is replaced by the set of hyperplanes (which we will call the *dual ovoid* in the sequel) spanned by L and the tangent planes to  $\mathcal{O}$  in  $\mathsf{PG}(3,q)$ . The space  $\mathsf{PG}(3,q)$  plays the role of L. The point x plays the role of the hyperplane X generated by x and  $\mathsf{PG}(3,q)$ . The spread lines in  $\mathsf{PG}(3,q)$  and the 3-spaces containing L and  $q^2 + 1$  spread lines are also interchanged. Let H be an element of the dual ovoid. We claim that H contains a unique 3-space K containing L and  $q^2 + 1$ spread lines. Indeed, K is the 3-space generated by L and the spread line incident with the point of  $\mathcal{O}$  obtained by intersecting the tangent plane of  $\mathcal{O}$  corresponding to H with  $\mathcal{O}$ . Now, interpreting the Thas maximal arc in this dual setting in the  $\mathsf{PG}(5,q)$ -model of  $\mathsf{PG}(2,q^2)$ , this maximal arc consists of those 3-spaces S containing  $q^2 + 1$  spread lines and contained in a hyperplane which contains  $\langle x, \pi \rangle$  but not L, where  $\pi$  is a tangent plane of  $\mathcal{O}$ . Then S contains the spread line T in  $\pi$ . It is clear that S has no point in common with the cone  $x\mathcal{O}$ , and hence defines a line of  $C^*$ .

Hence we have shown the following result.

**Theorem 11** Let C be a Thas maximal arc in  $PG(2, q^2)$ , arising from an ovoid  $\mathcal{O}$  in PG(3,q) by considering the points of the cone  $x\mathcal{O}$  not in PG(3,q). Then C is isomorphic to its dual C<sup>\*</sup>, and there is a duality of  $PG(2,q^2)$  that interchanges the point x with the line  $L_{\infty} = PG(3,q)$ . In particular, the partial geometry which arises from this maximal arc is self-dual.

We will now apply the Benson-type formulas to this example. We have a partial geometry of order  $(s, t, \alpha)$ , with (cf. [11]):

$$s = t = 2^{2m} - 2^m$$
 and  $\alpha = 2^{2m} - 2^{m+1} + 1$ .

And the maximal arc is a  $\{2^{3m} - 2^{2m} + 2^m, 2^m\}$ -arc. Hence  $2t + 1 - \alpha = 2^{2m}$ , which is a square. In this case  $\alpha$  is odd, hence

$$f_1 \equiv 1 + \alpha \mod 2^{m+1} \\ \equiv 1 + 2^{2m} - 2^{m+1} + 1 \mod 2^{m+1} \\ \equiv 2 \mod 2^{m+1}.$$

We can conclude that if we have a duality in this partial geometry, then there will be at least one absolute point and one absolute line.

### 5.2 Automorphism problem

Consider the construction which we described in Section 4. So we have a projective plane  $PG(2, q^2)$  and a maximal arc C. Consider the partial geometry which arises from this arc and a collineation of this partial geometry. Now we will have a look at this collineation in the projective plane. The points outside the maximal arc are permuted and also the lines which intersect the maximal arc are permuted. Consider a line outside the maximal arc. This is a set of  $q^2 + 1$  points, with the condition that any two of them are non-collinear in the partial geometry. Hence this line is mapped to a set B of  $q^2 + 1$  mutual not collinear points. Consider a point z of B. From the foregoing, we deduce that every line containing

z which intersects C non-trivially is a tangent line to B. Hence every point of the maximal arc is a nucleus of B and because of Theorem 13.43 in [8] and the fact that |C| > q - 1, B should be a line of the projective plane. Hence also the lines outside  $\mathcal{K}$  and the points inside  $\mathcal{K}$  are permuted and incidence is preserved (because we look at external lines as sets of points and at maximal arc points as sets of lines). We conclude that a collineation of the partial geometry, which arises from C, induces a collineation of the projective plane  $\mathsf{PG}(2,q^2)$ .

So we have the following result.

**Theorem 12** The collineation group of pg(C) is induced by the collineation group of  $PG(2, q^2)$ .

**Remark 13** The previous theorem holds for all maximal arcs and corresponding partial geometries.

We will apply this theorem in the next section to give a description of the complete correlation groups of the partial geometries arising from the maximal arcs in  $PG(2, q^2)$  related to the Suzuki-Tits ovoids. But first we determine the isomorphism classes of such partial geometries.

### 5.3 Isomorphism problem

The arguments below will require that m > 1 (equivalently, q > 2). Henceforth, we assume m > 1. At the end we make a remark about the case m = 1.

Consider again the projective space  $\mathsf{PG}(5,q)$  and a regular spread of lines in this space. Take a 3-space  $\mathsf{PG}(3,q)$  containing  $q^2 + 1$  spread lines in this 5-space and take a Suzuki-Tits ovoid  $\mathcal{O}$  in this 3-space with the property that each point of  $\mathcal{O}$  is on a unique spread line. The tangent lines to  $\mathcal{O}$  form the lines of a symplectic quadrangle W(q) (cf.[8]). The lines of the spread which lie in this  $\mathsf{PG}(3,q)$  are lines of W(q). Hence these lines form a spread S of W(q).

The Suzuki-Tits ovoid determines a unique polarity  $\rho$  of W(q) (see [13]; here we require q > 2). Hence we obtain a set of absolute lines which corresponds with  $\rho$ . This set of lines forms a (Lüneburg-Suzuki-Tits) spread T.

When we take the intersection of the spread S and the spread T, then, by [1], see also [5] we obtain two possibilities for the intersection number, namely

$$q + \sqrt{2q} + 1$$
 and  $q - \sqrt{2q} + 1$ .

It will turn out that the maximal arcs, which we obtain by taking a Suzuki-Tits ovoid, and for which we obtain  $q + \sqrt{2q} + 1$  as intersection number are not isomorphic to those for which we obtain  $q - \sqrt{2q} + 1$  as intersection number. To prove this, we determine the collineation groups of each maximal arc. Now, by [1], the subgroup of  $\mathsf{PGL}_4(q)$  stabilizing S and T is dihedral of order  $4|S \cap T|$ . Taking into account all generalized homologies with center x and axis  $\mathsf{PG}(3,q)$  in  $\mathsf{PG}(4,q)$ , one easily sees that the stabilizer of x and  $L_{\infty}$  inside the stabilizer of the maximal arc C in the group  $\mathsf{PGL}_2(q^2) : 2$  (the extension of order 2 is due to the unique involution of  $\mathsf{GF}(q^2)$ , which is linear over  $\mathsf{GF}(q)$  in  $\mathsf{PG}(4,q)$ ), acting on  $\mathsf{PG}(2,q^2)$  is a group of order  $4|S \cap T|(q-1)$  isomorphic to the direct product of the dihedral group of order  $4|S \cap T|$  and a cyclic group of order q - 1. We now claim that every collineation stabilizing C must fix x.

We will first prove the following lemma.

**Lemma 14** Let  $\mathcal{O}$  be a Suzuki-Tits ovoid in  $\mathsf{PG}(3,q)$ , q > 2, and let  $\pi$  be a plane that intersects  $\mathcal{O}$  in an oval O. Let T be the corresponding Lüneburg-Suzuki-Tits spread. If q > 8 and  $p \in O$ , then  $O \setminus \{p\}$  is no non degenerate conic minus a point. If q = 8 and  $p \in O \setminus \{p'\}$ , with p' the point of O incident with the line of T in  $\pi$ , then  $O \setminus \{p\}$  is no non degenerate conic minus a point.

**Proof** By [12] 7.6.13 we can choose the coordinates such that  $\mathcal{O} = \{(1,0,0,0)\} \cup \{(a^{\theta+2} + aa' + a'^{\theta}, 1, a', a) : a, a' \in \mathbb{K}\}$ , with  $\theta$  a Tits automorphism, i.e.  $(x^{\theta})^{\theta} = x^2$ ,  $\forall x \in \mathsf{GF}(q)$ . Since all plane intersections play the same role, we can choose the plane  $X_3 = 0$ . The oval O is the point set of the algebraic curve  $\mathcal{C}' : X_0 X_1^{\theta^{-1}} + X_2^{\theta} = X_3 = 0$ . Let  $p \in O, q > 8$  and assume, by way of contradiction that  $O \setminus \{p\}$  is a non degenerate conic  $\mathcal{C}$  minus a point. Then  $\mathcal{C}$  and  $\mathcal{C}'$  have at least q common points. As  $q > 2\theta$ , by the Theorem of Bézout,  $\mathcal{C}$  is a component of  $\mathcal{C}'$ . Hence O is a conic, contradiction. Next, let  $q = 8, p \in O, p \neq p'$ , and assume, by way of contradiction, that  $O \setminus \{p\}$  is a non degenerate conic  $\mathcal{C}$  minus a point. Here p' = (1, 0, 0, 0) and  $O \setminus \{p'\}$  is a conic  $\mathcal{C}''$  minus a point. The conics  $\mathcal{C}$  and  $\mathcal{C}''$  have at least 7 points in common, so coincide. Hence O is a conic, a contradiction.

Note that the previous lemma is also true for the infinite case.

Now, all lines of  $\mathsf{PG}(2, q^2)$  through x meet C in an affine Baer subline minus one point. Consider a point  $z \in C$ ,  $z \neq x$ , and let  $\pi$  be a plane through z and through a line of  $S \setminus T$ . Put  $C' = \pi \cap C$ . Then the projection from x of C' onto  $\mathsf{PG}(3,q)$  is a plane intersection of  $\mathcal{O}$  minus a point of the Suzuki-Tits ovoid  $\mathcal{O}$  satisfying the assumption of Lemma 14. Hence C' is not a Baer subline minus a point in  $\mathsf{PG}(2,q^2)$ . So, there are lines through each other point of C meeting C in a set different from a Baer subline minus one point. The claim that every collineation of  $\mathsf{PG}(2,q^2)$  stabilizing C must fix x is proved. By Theorem 11 also  $L_{\infty}$  must be fixed by such a collineation. Now one sees that the full stabilizer of C is a group with a normal subgroup as described above, and corresponding factor group a group of order m (corresponding to the field automorphisms of  $\mathsf{GF}(q)$ ).

This not only shows that the order of the full collineation group of C, and hence also of pg(C), is equal to  $4m|S \cap T|(q-1)$ ,  $q = 2^m$ , but it also shows that the two partial geometries related to the two different intersections are not isomorphic.

At last we show that two partial geometries pg(C) and pg(C') related to two maximal arcs C and C' corresponding to respective Suzuki-Tits ovoids  $\mathcal{O}$  and  $\mathcal{O}'$ , for which the corresponding respective spreads T and T' satisfy  $|S \cap T| = |S \cap T'|$ , are isomorphic.

First we claim that for a given intersection  $S \cap T$  (with T a Lüneburg-Suzuki-Tits spread), T is the only Lüneburg-Suzuki-Tits spread intersecting S in  $S \cap T$ . Indeed, we count the number of all possible intersections of S with some Lüneburg-Suzuki-Tits spread that occur. As above, it follows from [1] (see also [5]) that, for  $\epsilon \in \{+1, -1\}$ , the intersection of size  $q + \epsilon \sqrt{2q} + 1$  occurs at least

$$\frac{|\mathsf{PGL}_2(q^2)|}{2(q+\epsilon\sqrt{2q}+1)} = \frac{(q^2+1)q^2(q^2-1)}{2(q+\epsilon\sqrt{2q}+1)} = \frac{1}{2}(q-\epsilon\sqrt{2q}+1)(q^2(q^2-1))$$

times. Hence, in total, we have at least  $(q+1)q^2(q^2-1)$  possible intersections that occur. But this is equal to the index of the Suzuki group in the symplectic group, namely

$$\frac{q^4(q^4-1)(q^2-1)}{(q^2+1)q^2(q-1)},$$

which is precisely the number of Lüneburg-Suzuki-Tits spreads. Our claim follows.

Now since every two intersections of the same size can be mapped onto each other, while preserving S, and there are unique Suzuki-Tits ovoids corresponding with them, we conclude that the corresponding maximal arcs are isomorphic.

Hence we have shown:

**Theorem 15** There are exactly two isomorphism classes of partial geometries pg(C) in  $PG(2, 2^m)$ , where C is a Thas maximal arc in  $PG(2, q^2)$  corresponding to a Suzuki-Tits ovoid (with m > 1 odd). Each such partial geometry is self-dual and each collineation and duality of pg(C) is induced by a collineation or duality of the projective plane  $PG(2, q^2)$ . The size of the full correlation group is  $8m(2^m + \epsilon 2^{\frac{m+1}{2}} + 1)(2^m - 1)$ , with  $\epsilon \in \{+1, -1\}$ .

**Remark 16** If q = 2, then any maximal arc in PG(2, 4) is a hyperoval obtained by adding the nucleus to a conic. The corresponding partial geometry is the unique generalized quadrangle of order (2, 2), which is isomorphic to W(2). Also in this case, the full collineation group and correlation group is induced by the collineation and correlation group of PG(2, 4), see for instance [9].

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