

# Hemisystem-like constructions of classical distance-regular graphs

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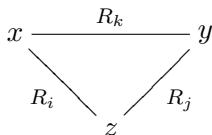
## Outline

- Introduction:  
association schemes, distance-regular graphs, classical parameters
- Construction methods for schemes
- Properties/characterizations of hemisystem-like construction
- Another scheme
- Feasibility hemisystem-like construction?

## Association schemes

$(\Omega, \{R_0, \dots, R_d\})$ , with  $\Omega \neq \emptyset$  finite set, is an association scheme if:

- $\{R_0, \dots, R_d\}$  partitions  $\Omega \times \Omega$ ,
- $R_0$  is identity relation,
- $(\omega_1, \omega_2) \in R_i \iff (\omega_2, \omega_1) \in R_i$ ,
- there are *intersection numbers*  $p_{ij}^k$ :  
if  $(x, y) \in R_k$ , the number of elements  $z$  in  $\Omega$   
for which  $(x, z) \in R_i$  and  $(z, y) \in R_j$  is  $p_{ij}^k$ .



## Definition of matrices $A_i$

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For each  $R_i$ , define real  $(|\Omega| \times |\Omega|)$ -matrix  $A_i$ :

$$\begin{cases} (A_i)_{rs} &= 1 \text{ if } (\omega_r, \omega_s) \in R_i, \\ (A_i)_{rs} &= 0 \text{ if } (\omega_r, \omega_s) \notin R_i. \end{cases}$$

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## Properties

- $A_0$  is identity matrix.
- $A_0 + \dots + A_d$  is all-one matrix.
- $A_i$  is symmetric.
- $A_i A_j = \sum_k p_{ij}^k A_k$ .

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*Bose-Mesner algebra*: algebra with basis  $\{A_0, \dots, A_d\}$ .

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$$\mathbb{R}^\Omega = V_0 \perp V_1 \perp \dots \perp V_d,$$

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## Idempotents

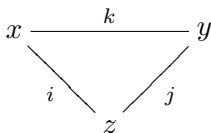
- Orthogonal projection  $E_j$  onto  $V_j$  is also in  $\langle A_0, \dots, A_d \rangle$ .
- These *minimal idempotents* form second basis  $\{E_0, \dots, E_d\}$ .

## Distance-regular graphs

- Consider graph  $\Gamma = (\Omega, E)$  of diameter  $d$ .
- Write  $\Gamma_i(x)$  for vertices at distance  $i$  from  $x$ .
- Define  $R_i$  as  $\{(x, y) \in \Omega \times \Omega \mid d(x, y) = i\}$ .

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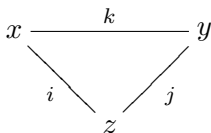
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- $\Gamma$  is *distance-regular* if  $(\Omega, \{R_0, \dots, R_d\})$  is association scheme.



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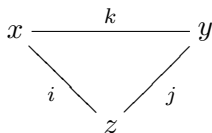
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Convention:

$$c_k = |\Gamma_1(x) \cap \Gamma_{k-1}(y)|, a_k = |\Gamma_1(x) \cap \Gamma_k(y)|, b_k = |\Gamma_1(x) \cap \Gamma_{k+1}(y)|.$$

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Such association schemes are called *P-polynomial* or *metric*.

## $Q$ -polynomial association schemes

- Bose-Mesner algebra  $\langle A_0, \dots, A_d \rangle = \langle E_0, \dots, E_d \rangle$  is also closed under entrywise multiplication “ $\circ$ ”.
- $E_0, \dots, E_d$  is a  $Q$ -polynomial or cometric ordering if:

$$|\Omega|(E_1 \circ E_i) = b_{i-1}^* E_{i-1} + a_i^* E_i + c_{i+1}^* E_{i+1},$$

with  $c_i^* \neq 0$  for  $i \in \{1, \dots, d\}$ .

## Classical parameters

- Problem by Bannai-Ito (1984):  
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many such schemes have *classical parameters*  $(d, b, \alpha, \beta)$ :

$$b_i = p_{1,i+1}^i = \left( \begin{bmatrix} d \\ 1 \end{bmatrix}_b - \begin{bmatrix} i \\ 1 \end{bmatrix}_b \right) \left( \beta - \alpha \begin{bmatrix} i \\ 1 \end{bmatrix}_b \right),$$

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with  $\begin{bmatrix} i \\ 1 \end{bmatrix}_b = 1 + \dots + b^{i-1}$ .

- $b$  must be an integer  $\notin \{-1, 0\}$ .
- Can we find all those schemes (or graphs) with  $b < -1$ ?

## Main idea

- Consider a “sufficiently nice association scheme”
- Find a very special subset of vertices.
- Use this to create new association scheme!

## Special subsets in scheme $(\Omega, \{R_0, \dots, R_d\})$

- Characteristic vector of subset  $S \subseteq \Omega$ :

$$\chi_S = (1, 1, 0, \dots, 1, 0)^T \in \mathbb{R}^\Omega,$$

with  $(\chi_S)_\omega = 1$  if  $\omega \in S$  and  $(\chi_S)_\omega = 0$  if not.

- Important observation: for  $S_1, S_2 \subseteq \Omega$ :  $(\chi_{S_1})^T \chi_{S_2} = |S_1 \cap S_2|$ .

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- Recall:  $E_0, \dots, E_d$  are orthogonal projections onto eigenspaces for every  $A_i$ .
- Delsarte theory: subsets are “special” if  $E_j(\chi_S) = 0$  for many  $j$ .

## Nice schemes

- Recall: algebra  $\langle A_0, \dots, A_d \rangle = \langle E_0, \dots, E_d \rangle$  is closed under  $\circ$ .
- $Q$ -polynomial ordering  $E_0, \dots, E_d$  is (almost) dual bipartite if:

$$|\Omega|(E_1 \circ E_i) = b_{i-1}^* E_{i-1} + c_{i+1}^* E_{i+1}, \forall i \in \{1, \dots, d-1\},$$

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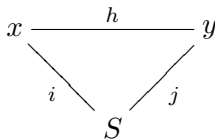
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- Terwilliger (1988): in that case,  $\forall (x, y) \in R_h \subseteq (\Omega \times \Omega)$ :

$$E_1 \chi_S = E_1 (r_{ij}^h \chi_{\{x\}} + r_{ji}^h \chi_{\{y\}}),$$

with  $S := \{s \mid (x, s) \in R_i, (y, s) \in R_j\}$ .



## Construction I : last subconstituents

- Suppose  $\Gamma$  is distance-regular,  
yielding (almost) dual bipartite scheme.
- Last subconstituent:  $\Gamma_d(p)$  for some vertex  $p$ .

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$$(\chi_{\{p\}})^T E_1 \left( \chi_S - (r_{ij}^h \chi_{\{x\}} + r_{ji}^h \chi_{\{y\}}) \right) = 0,$$

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- Cameron-Goethals-Seidel (1978): strongly regular graphs ( $d = 2$ )

## Construction II : regular partitions

- Suppose  $(\Omega, \{R_0, \dots, R_d\})$  is (almost) dual bipartite scheme.
- Suppose  $S \subseteq \Omega$  satisfies  $|S| = |\Omega|/2$  and  $\chi_S = (E_0 + E_1)\chi_S$ .

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- If  $x, y \in S$ , working out

$$\left(\chi_S - \frac{1}{2}\chi_\Omega\right)^T \left(\chi_S - (r_{ij}^h \chi_{\{x\}} + r_{ji}^h \chi_{\{y\}})\right) = 0,$$

yields parameters of scheme with vertex-set  $S$ .

## Construction II : Regular partitions (some examples)

- Higman-Sims graph  $\text{srg}(100, 22, 0, 1)$   
splits into Hoffman-Singleton graphs  $\text{srg}(50, 7, 0, 1)$ .
- 2nd subconstituent of McLaughlin graph,  $\text{srg}(162, 56, 10, 24)$ ,  
splits into Brouwer-Haemers graphs  $\text{srg}(81, 20, 1, 6)$ .
- Removing points from (classical) generalized quadrangle  ${}^2D_3(q)$   
yields dual bipartite 4-class scheme.  
Penttila-Williford (2011): nice half “relative hemisystem”  
yields  $Q$ -polynomial 3-class scheme.

## Unitary dual polar graph ${}^2A_{2d-1}(q)$

Consider non-deg. Hermitian form on  $V(2d, q^2)$ .

- Vertices  ${}^2A_{2d-1}(q)$ : totally isotropic  $d$ -dimensional spaces
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## Properties

- Maximal cliques:  
 $q + 1$   $d$ -spaces through totally isotropic  $(d - 1)$ -space
- Number of vertices:  $(q + 1)(q^3 + 1) \dots (q^{2d-1} + 1)$
- Classical parameters  $(d, b, \alpha, \beta) = (d, q^2, 0, q)$  and  
 $(d, b, \alpha, \beta) = (d, -q, -q(q + 1)/(q - 1), -q((-q)^d + 1)/(q - 1))$ .  
 Ivanov-Shpectorov (1989): characterized by parameters for  $d \geq 3$ .
- Almost dual bipartite (w.r.t. “2nd  $Q$ -polynomial ordering”)

## Construction I : last subconstituent

Let  $\Gamma$  be  ${}^2A_{2d-1}(q)$ .

- $\Gamma_d(p)$  = set of vertices at distance  $d$  from  $p$   
= set of totally isotropic  $d$ -spaces intersecting  $d$  trivially
- Induced graph on  $\Gamma_d(p)$  is *Hermitian forms graph*  $\text{Her}(d, q)$ .

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- $\text{Her}(d, q)$  has classical parameters  $(d, -q, -q - 1, -(-q)^d - 1)$ .  
Ivanov-Shpectorov (1991): characterized by parameters for  $d \geq 3$ .

## Construction II : hemisystem-like

Let  $\Gamma$  be  ${}^2A_{2d-1}(q)$  with  $q$  odd.

- Suppose  $S$  is half of vertex set with  $\chi_S = (E_0 + E_1)\chi_S$ .
- Here:  $\iff$  every maximal clique of  $\Gamma$  has half its elements in  $S$ .

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- Open for  $d \geq 3$  (more to come)
- For  $d = 2$ : proved by Segre (1965), Cameron (1979), Thas (1981), halves are known as “hemisystems”

## The case $q = 3$

Suppose  $\Gamma$  has classical parameters  $(d, -q, -(q+1)/2, -((-q)^d + 1)/2)$ .

- Since  $a_1 = (q-3)/2$ ,  $\Gamma$  is triangle-free iff  $q = 3$ .
- For  $d = 2, q = 3$ , Gewirtz graph  $\text{srg}(56, 10, 0, 2)$  is only possibility.
- Miklavič (2004) :  
any  $Q$ -polynomial triangle-free graph is *1-homogeneous*.



The case  $q \geq 5$  for classical parameters

$$(d, -q, -(q+1)/2, -((-q)^d + 1)/2)$$

Here:  $a_1 = (q-3)/2 > 0$  and  $c_2 = (q-1)^2/2 > 1$ .

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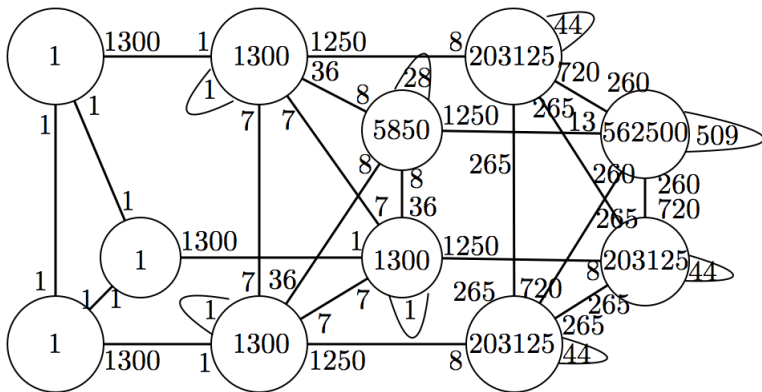
Weng (1999):

If  $\Gamma$  has classical parameters  $(d, b, \alpha, \beta)$  with  $b < -1$  and  $d \geq 4$  and  $a_1 > 0, c_2 > 1$ :

- 1  $\Gamma \cong$  unitary dual polar graph  ${}^2A_{2d-1}(q)$ ,
- 2  $\Gamma \cong \text{Her}(d, q)$  (Construction I: last subconstituent of  ${}^2A_{2d-1}(q)$ )
- 3  $q$  is odd prime power and  
 $(d, b, \alpha, \beta) = (d, -q, -(q+1)/2, -((-q)^d + 1)/2)$   
 (none known for  $d \geq 3$ )  
 (as in Construction II: special half of  ${}^2A_{2d-1}(q)$ )

The case  $q \geq 5$  for classical parameters  
 $(d, -q, -(q+1)/2, -((-q)^d + 1)/2)$

- Miklavič (2005): “almost 1-homogeneous”: for any 2 neighbours: equitable partition of graph into  $4d - 1$  cells
- Example:  $d = 3$  and  $q = 5$ :



## Using both halves

- Suppose  $\Gamma$  unitary dual polar graph  ${}^2A_{2d-1}(q)$  with vertex set  $\Omega$ .
- If  $S_1$  is half satisfying  $\chi_{S_1} = (E_0 + E_1)\chi_{S_1}$ , then so is  $S_2 = \Omega \setminus \Omega_1$ .
- If  $d(x, y) = i$  in  $\Gamma$ , let  $(x, y) \in R_i^+$  if  $x, y$  in same half, in  $R_i^-$  if not.

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- If  $d(x, y) = i$  in  $\Gamma$ , let  $(x, y) \in R_i^+$  if  $x, y$  in same half, in  $R_i^-$  if not.
- Terwilliger's property (almost) dual bipartiteness  $\implies$   
 $(\Omega, \{R_0, R_1^+, \dots, R_d^+, R_1^-, \dots, R_d^-\})$  is  $2d$ -class scheme!
- For  $d = 2$ : Martin-Muzychuk-Van Dam (2010)

Example:  $d = 3$

- 6-class scheme on  $(q + 1)(q^3 + 1)(q^5 + 1)$  vertices
- $Q$ -polynomial with  $b_i^* = c_{d-i}^*$  ( $Q$ -antipodal).

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- $b_i^* + c_{i+1}^* = b_0^* + 1, \forall i \in \{0, \dots, d - 1\}$ ,  
Martin-Muzychuk-Williford (2007):  $\implies$   
scheme has *extended  $Q$ -bipartite double*,  
which is  $(2d + 1)$ -class scheme

## Necessary ingredient $S$ for hemisystem-like Construction II

- Vertex set unitary dual polar graph  ${}^2A_{2d-1}(q)$ ,  $q$  odd  
totally isotropic  $d$ -spaces  $V(2d, q^2)$  w.r.t non-deg. Hermitian form
- half  $S \subseteq \Omega$  satisfies  $\chi_S = (E_0 + E_1)\chi_S \iff$  every totally isotropic  $(d-1)$ -space in exactly  $(q+1)/2$  elements of  $S$

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- Hence: we look for *designs in regular semilattices*
- For fixed  $q$ : existence for  $d$  implies existence for lower diameter!

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totally isotropic  $d$ -spaces  $V(2d, q^2)$  w.r.t non-deg. Hermitian form
- half  $S \subseteq \Omega$  satisfies  $\chi_S = (E_0 + E_1)\chi_S \iff$  every totally isotropic  $(d-1)$ -space in exactly  $(q+1)/2$  elements of  $S$
- Hence: we look for *designs in regular semilattices*
- For fixed  $q$ : existence for  $d$  implies existence for lower diameter!
- For  $d=2$ : known as “hemisystems”:
- Segre (1965): unique example for  $d=2$ ,  $q=3$
- Cossidente-Penttila (2005): existence for  $d=2$ ,  $q$  odd prime power
- Bamberg-Giudici-Royle (2011): more constructions for  $d=2$

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- Cossidente-Penttila (2009): similar halving for  $d = 3$

# Thank you for your attention!

Slides (and more) on <http://cage.ugent.be/~fvanhove>