

# An algebraic approach to partial spreads of hermitian varieties

ULB-UGent-VUB-Seminar on Incidence Geometry

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- There are six types of nonsingular classical polar spaces:

$\mathcal{P}$	$\epsilon$	$d$
$Q^-(2n+1, q)$	+1	$n-1$
$H(2n, q^2)$	+1/2	$n-1$
$Q(2n, q)$	0	$n-1$
$W(2n+1, q)$	0	$n$
$H(2n+1, q^2)$	-1/2	$n$
$Q^+(2n+1, q)$	-1	$n$

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- Number of points in  $\mathcal{P}$ :  $(q^{d+1+\epsilon} + 1)(q^{d+1} - 1)/(q - 1)$ .
- Generators* in a polar space  $\mathcal{P}$  = subspaces of max. projective dimension  $d$ .

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## Questions

- When does a polar space  $\mathcal{P}$  have a spread?
- If it doesn't, what size can its partial spreads still have?

## Results on spreads in non-Hermitian polar spaces

$\mathcal{P}$	Existence of spreads
$Q^-(2n+1, q)$	$q$ even: YES
$Q(2n, q)$	$q$ odd, $n$ even: NO; $q$ even: YES
$W(2n+1, q)$	YES
$Q^+(2n+1, q)$	$n$ even: NO; $q$ even, $n$ odd: YES

## Hermitian variety $H(2n + 1, q^2)$

Our main focus is on the Hermitian variety  $H(2n + 1, q^2)$ :

Subspaces in  $PG(2n + 1, q^2)$ , all points  $(X_0, \dots, X_{2n+1})$  of which satisfy:  $X_0^{q+1} + \dots + X_{2n+1}^{q+1} = 0$ .

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satisfy:  $X_0^{q+1} + \dots + X_{2n+1}^{q+1} = 0$ .

### Properties

- $d = n$  and  $\epsilon = -1/2$ .
- Ovoid number:  $q^{2n+1} + 1$ .

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We will give a generalisation of the last result...

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by use of algebraic combinatorics!

## Definition

$D$ -class *association scheme* on finite set  $\Omega$ :

Set of symmetric relations  $\mathcal{R} = \{R_0, R_1, \dots, R_D\}$  on  $\Omega$  s.t.:

- (i)  $R_0$  is the identity relation,
- (ii)  $\mathcal{R}$  is a partition of  $\Omega^2$ ,
- (iii) there are *intersection numbers*  $p_{ij}^k$  such that for  $(x, y) \in R_k$ , the number of elements  $z$  in  $\Omega$  for which  $(x, z) \in R_i$  and  $(z, y) \in R_j$  equals  $p_{ij}^k$ .

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Every relation  $R_i$  is regular, with valency  $p_{ij}^0$ .

## Example 1

Hamming scheme  $H(n, q)$  on a set  $\Gamma$  of size  $q$ :

- $\Omega: \Gamma^n$ , i.e. all words of length  $n$  over  $\Gamma$ ,
- $(\alpha, \beta) \in R_i \iff \alpha$  and  $\beta$  differ in  $i$  positions,  $0 \leq i \leq n$ .

## Example 2: Three association schemes derived from $H(5, q^2)$

- $\Omega = \text{Set of points of } H(5, q^2)$ :
  - $(p, p') \in R_0 \iff p = p'$ ,
  - $(p, p') \in R_1 \iff \langle p, p' \rangle$  is a line of  $H(5, q^2)$ ,
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### ■ $\Omega$ =Set of lines of $H(5, q^2)$ :

- $(l, l') \in R_0 \iff l = l'$ ,
- $(l, l') \in R_1 \iff l \cap l' \neq \emptyset, \langle l, l' \rangle$  is a plane of  $H(5, q^2)$ ,
- $(l, l') \in R_2 \iff l \cap l' \neq \emptyset, \langle l, l' \rangle$  is not a plane of  $H(5, q^2)$ ;
- $(l, l') \in R_3 \iff l \cap l' = \emptyset, \langle l, l' \rangle$  contains a plane of  $H(5, q^2)$ ,
- $(l, l') \in R_4 \iff l \cap l' = \emptyset, \langle l, l' \rangle$  contains no plane of  $H(5, q^2)$ .

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- $\Omega$ =Set of planes of  $H(5, q^2)$ :

- $(\pi, \pi') \in R_0 \iff \pi = \pi'$ ,
- $(\pi, \pi') \in R_1 \iff \pi \cap \pi'$  is a line,
- $(\pi, \pi') \in R_2 \iff \pi \cap \pi'$  is a point,
- $(\pi, \pi') \in R_3 \iff \pi \cap \pi' = \emptyset$ .

## Real algebras over finite sets

- $\mathbb{R}\Omega$ : the real algebra over  $\Omega = \{\omega_1, \dots, \omega_\Omega\} : \{\sum_{i=1}^{|\Omega|} c_i \omega_i \mid c_i \in \mathbb{R}\}$ .

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- The set  $\{\omega_1, \dots, \omega_{|\Omega|}\}$  is a basis of  $\mathbb{R}\Omega$ .
- Every relation  $R \subseteq (\Omega \times \Omega)$  defines an endomorphism on  $\mathbb{R}\Omega$ :

$$R(\omega) := \sum_{(\omega, \omega') \in R} \omega' \quad , \forall \omega \in \Omega.$$

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$$R(\omega) := \sum_{(\omega, \omega') \in R} \omega', \quad \forall \omega \in \Omega.$$

- Matrix representation  $A$  of relation  $R$  with respect to basis  $\{\omega_1, \dots, \omega_{|\Omega|}\}$  of  $\mathbb{R}\Omega$ :

$$A_{ij} = \begin{cases} 1 & \text{if } (\omega_i, \omega_j) \in R, \\ 0 & \text{if } (\omega_i, \omega_j) \notin R. \end{cases}$$

$R$  is a symmetric relation  $\iff A$  is a symmetric matrix.



## The Bose-Mesner algebra of an association scheme

$$\begin{array}{ccc} \vec{u} & \vec{v} & \vec{w} \\ \left( \begin{array}{ccc} a & b & c \\ d & e & f \\ g & h & i \end{array} \right) \end{array}$$

- Every relation  $R_i$  in a  $D$ -class association scheme  $\mathcal{R} = \{R_0, \dots, R_D\}$  defines a symmetric endomorphism on  $\mathbb{R}\Omega$ .
- From Definition: If  $(x, y) \in R_k$ , there are  $p_{ij}^k$  vertices  $z \in \Omega$  s.t.  $(x, z) \in R_i$  and  $(z, y) \in R_j$ .

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 Hence:  $R_i(R_j(v)) = R_j(R_i(v)) = \sum_{k=0}^D p_{ij}^k R_k(v), \forall v \in \mathbb{R}\Omega$ .

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 Hence:  $R_i(R_j(v)) = R_j(R_i(v)) = \sum_{k=0}^D p_{ij}^k R_k(v), \forall v \in \mathbb{R}\Omega$ .
- The Bose-Mesner algebra of  $\mathcal{R}$  is the algebra generated by  $R_0, \dots, R_D$ .

## Orthogonal decomposition of Bose-Mesner algebra

If  $\mathcal{R}$  is a  $D$ -class association scheme on  $\Omega$ , then there is a unique orthogonal decomposition  $\mathbb{R}\Omega = V_0 \perp \dots \perp V_D$ , such that all  $V_i$  are eigenspaces of every relation  $R_j$ .

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### Eigenvalues

The *matrix of eigenvalues* is a  $(D + 1) \times (D + 1)$ -matrix  $P$ , with  $P_{ij}$  the eigenvalue of  $R_j$  for  $V_i$ .

### Example: Matrix of eigenvalues of Hamming scheme $H(3, q)$

$\Omega = \Gamma^3$  with  $|\Gamma| = q$ ,

$(\alpha, \beta) \in R_i \iff \alpha$  and  $\beta$  differ in  $i$  positions, with  $0 \leq i \leq 3$ .

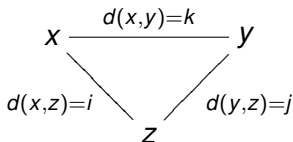
	$R_0$	$R_1$	$R_2$	$R_3$
$V_0$	1	$3(q-1)$	$3(q-1)^2$	$(q-1)^3$
$V_1$	1	$2q-3$	$q^2-4q+3$	$-(q-1)^2$
$V_2$	1	$q-3$	$-2q+3$	$q-1$
$V_3$	1	$-3$	3	$-1$

## Distance-regular graphs

- Consider a connected graph  $\Gamma$  on  $V$  with diameter  $D$ .
- Define graphs  $\Gamma_i$  on  $V$  for every  $i \in \{0, \dots, D\}$ :  
 $v_1 \sim v_2 \iff d(v_1, v_2) = i$ , and let  $R_i$  denote the corresponding symmetric relation on  $V$ .

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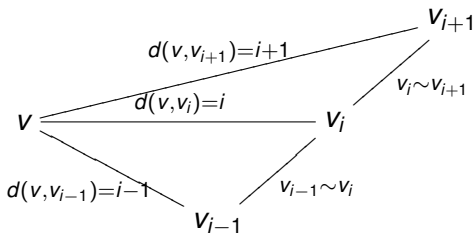
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 $v_1 \sim v_2 \iff d(v_1, v_2) = i$ , and let  $R_i$  denote the corresponding symmetric relation on  $V$ .
- $\Gamma$  is distance-regular if  $\{R_0, \dots, R_D\}$  is an association scheme on  $V$ , or hence if:  
 There are constants  $p_{ij}^k$  s.t.  $\forall x, y$  with  $d(x, y) = k$  there are  $p_{ij}^k$  vertices  $z$  with  $d(x, z) = i$  and  $d(z, y) = j$ .



## Weakening of axioms

A connected graph  $\Gamma$  is also distance-regular if there are constants  $k$ ,  $b_i$  and  $c_i$  s.t.:

- $\Gamma$  is regular with valency  $k$ .
- If  $d(v, v_i) = i$ , there are  $c_i$  neighbours  $v_{i-1}$  of  $v_i$  with  $d(v, v_{i-1}) = i - 1$ ,  $\forall i \in \{1, \dots, D\}$ .
- If  $d(v, v_i) = i$ , there are  $b_i$  neighbours  $v_{i+1}$  of  $v_i$  with  $d(v, v_{i+1}) = i + 1$ ,  $\forall i \in \{0, \dots, D - 1\}$ .



## Sequences of eigenvalues

With every eigenvalue  $\theta$  of  $\Gamma$  corresponds a sequence of eigenvalues  $v_0, v_1, \dots, v_D$  of  $\Gamma_0, \Gamma_1, \dots, \Gamma_D$ , with  $v_0 = 1, v_1 = \theta$  and  $\theta v_i = c_{i+1} v_{i+1} + (k - b_i - c_i) v_i + b_{i-1} v_{i-1}, \quad \forall i \in \{1, \dots, D-1\}$ .

Example: Distance-regular graph  $\Gamma$  on planes in  $H(5, q^2)$

$V =$  Set of planes in  $H(5, q^2)$ .

Two planes are connected in  $\Gamma$  if they meet in a line.

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- $\Gamma_0 : d(\pi, \pi') = 0 \iff \pi = \pi'$ ,
- $\Gamma_1 : d(\pi, \pi') = 1 \iff \pi \cap \pi'$  is a line,
- $\Gamma_2 : d(\pi, \pi') = 2 \iff \pi \cap \pi'$  is a point,
- $\Gamma_3 : d(\pi, \pi') = 3 \iff \pi \cap \pi' = \emptyset$ .

## Definition

*Dual polar graph*  $\Gamma$  on generators (or  $d$ -spaces) of polar space  $\mathcal{P}$ : two generators  $\pi_1, \pi_2$  are adjacent iff  $\dim(\pi_1 \cap \pi_2) = d - 1$ .

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## Properties

- $d(\pi_1, \pi_2) = i$  if and only if  $\pi_1 \cap \pi_2$  is a  $(d - i)$ -space.
- $\Gamma$  is a distance-regular graph with diameter  $d + 1$ .
- In  $\Gamma_0$ , every generator is connected only to itself.
- $\Gamma_1$  is just the dual polar graph itself.
- $\Gamma_{d+1}$  is the disjointness-graph: two generators are adjacent iff they are disjoint.

## Convention

The number of  $m$ -spaces in a projective space  $\text{PG}(n, q)$  is  $\begin{bmatrix} n+1 \\ m+1 \end{bmatrix}_q$ ,

where  $\begin{bmatrix} a \\ b \end{bmatrix}_q$  is the Gaussian coefficient:  $\begin{bmatrix} a \\ b \end{bmatrix}_q = \prod_{i=1}^b \frac{q^{a+1-i}-1}{q^i-1}$ .

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## Parameters of the dual polar graph

- The valency is  $k = q^{\epsilon+1} \begin{bmatrix} d+1 \\ 1 \end{bmatrix}_q$ .
- $b_i = q^{i+\epsilon+1} \begin{bmatrix} d+1-i \\ 1 \end{bmatrix}_q$  and  $c_i = \begin{bmatrix} i \\ 1 \end{bmatrix}_q$ .
- The  $d+2$  eigenvalues are:  $q^{\epsilon+1} \begin{bmatrix} d-r \\ 1 \end{bmatrix}_q - \begin{bmatrix} r+1 \\ 1 \end{bmatrix}_q$  with  $-1 \leq r \leq d$ .
- The graphs  $\Gamma_i$  have valencies  $q^{i(i+1+2\epsilon)/2} \begin{bmatrix} d+1 \\ i \end{bmatrix}_q$ .

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We will generalize this for generators in  $H(2n + 1, q^2)$ !

## Cliques

If  $R$  is a symmetric relation on  $\Omega$ , a set  $S \subseteq \Omega$  is a *clique* of  $R$  if  $(x, y) \in R, \forall x \neq y \in S$ .

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If  $R$  corresponds with a strongly regular graph on  $\Omega$ , this is known as Hoffman's bound.

## Proof

The *inner distribution vector*  $\mathbf{a} := (\mathbf{a}_0, \dots, \mathbf{a}_D)$  of  $X \subseteq \Omega$  ( $X \neq \emptyset$ ):

$$\mathbf{a}_i = \frac{1}{|X|} |\{(X \times X) \cap R_i\}|, \quad \text{for all } i \in \{0, \dots, D\}.$$

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If  $S$  is a clique of relation  $R_i$ :  $\mathbf{a} = (1, 0, \dots, |S| - 1, \dots, 0)$ .

- Theorem: if  $\mathbf{a}$  is inner distribution vector, and  $P$  matrix of eigenvalues of association scheme, then all entries of  $\mathbf{a}P^{-1}$  are non-negative (Delsarte, 1973).

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- Hence  $|S| \leq 1 - k/\lambda_j$  for every negative eigenvalue  $\lambda_j$  of relation  $R_j$ . □

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We must find eigenvalues  $\lambda$  of the disjointness-relation!

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- $\implies$  The disjointness-relation  $\Gamma_{d+1}$  has eigenvalue  $(-1)^{d+1} q^{d(d+1)/2}.$

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Disjointness-relation:

- Valency  $k = (q^2)^{(d+1)(d+2+2\epsilon)/2} = q^{(2n+1)^2}$ .
- Eigenvalue  $\lambda = (-1)^{d+1}(q^2)^{d(d+1)/2} = -q^{2n(2n+1)}$ .

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Hence the upper bound  $q^{2n+1} + 1$  in  $H(4n + 1, q^2)$  is tight.

## Association scheme on $m$ -spaces

- $\Omega$ : the set of  $m$ -spaces in  $\mathcal{P}$ .
- Relations  $R_{s,k}$ :  $(\pi_1, \pi_2) \in R_{s,k} \iff \dim(\pi_1 \cap \pi_2) = s$  and  $\text{index}(\langle \pi_1, \pi_2 \rangle) = k$  (i.e. the maximal dimension of subspaces of  $\mathcal{P}$  in  $\langle \pi_1, \pi_2 \rangle$  is  $k$ ).

### Example

$\Omega$ =Set of lines in  $H(5, q^2)$ :

- $(l, l') \in R_{1,1} \iff l = l'$ ,
- $(l, l') \in R_{0,2} \iff l \cap l' \neq \emptyset, \langle l, l' \rangle$  is a plane of  $H(5, q^2)$ ,
- $(l, l') \in R_{0,1} \iff l \cap l' \neq \emptyset, \langle l, l' \rangle$  is not a plane of  $H(5, q^2)$ ,
- $(l, l') \in R_{-1,2} \iff l \cap l' = \emptyset, \langle l, l' \rangle$  contains a plane of  $H(5, q^2)$ ,
- $(l, l') \in R_{-1,1} \iff l \cap l' = \emptyset, \langle l, l' \rangle$  contains no plane of  $H(5, q^2)$ .



## Oppositeness

Two  $m$ -spaces  $\pi_1$  and  $\pi_2$  are *opposite* if  $(\pi_1, \pi_2) \in R_{-1,m}$ :

$\iff \pi_1 \cap \pi_2 = \emptyset$  and  $\langle \pi_1, \pi_2 \rangle$  contains no  $(m+1)$ -spaces of  $\mathcal{P}$ ,

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In particular: partial ovoids = partial 0-systems, partial spreads = partial  $d$ -systems.

## Oppositeness-relation on $m$ -spaces

- Valency:  $k = q^{(m+1)(2d+1+\epsilon-3m/2)}$ .
- Eigenvalues:

$$\lambda = (-1)^{r+i+1} q^{i(i+\epsilon)+(r+1)(r/2+d-i-m)+(m-r)(2d-r/2+1/2+\epsilon-3m/2)}$$

with  $-1 \leq r \leq m, 0 \leq i \leq \min(r+1, d-m)$ .

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## A general upper bound on size of cliques (=partial $m$ -systems)

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Here  $k = q^{(d+1)^2/2}, \lambda = -q^{(d+1)d/2}$ .

Upper bound:  $1 - k/\lambda = 1 + q^{(d+1)/2}$ .

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- 2**  $\epsilon = -1, r = m = d, i = 0$  and  $d$  is even, hence in  $Q^+(2d + 1, q)$  with  $d$  even.

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Upper bound:  $1 - k/\lambda = 1 + 1 = 2$ .

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- 1  $\epsilon = -1/2, r = m = d, i = 0$  and  $d$  is even, hence in  $H(2d + 1, q)$  with  $d$  even.

Here  $k = q^{(d+1)^2/2}, \lambda = -q^{(d+1)d/2}$ .

Upper bound:  $1 - k/\lambda = 1 + q^{(d+1)/2}$ .

Or:  $1 + q^{2n+1}$  in  $H(4n + 1, q^2)$ .

- 2  $\epsilon = -1, r = m = d, i = 0$  and  $d$  is even, hence in  $Q^+(2d + 1, q)$  with  $d$  even.

Here  $k = q^{d(d+1)/2}, \lambda = -q^{d(d+1)/2}$ .

Upper bound:  $1 - k/\lambda = 1 + 1 = 2$ .

Very silly result: two disjoint generators have to be of different type, and there are only 2 types!

## Theorem

Consider  $a$ -spaces and  $b$ -spaces in  $\text{PG}(n, q)$ , with  $\min(a, n - 1 - a) \leq \min(b, n - 1 - b)$  (hence with more possible sizes of intersections between  $b$ -spaces than between  $a$ -spaces).  
If  $S$  is a set of  $a$ -spaces s.t. every  $b$ -spaces is incident with  $\lambda$  elements of  $S \implies S$  is empty or the full set of  $a$ -spaces.

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## Example

There is no non-trivial set  $S$  of lines in  $\text{PG}(6, q)$  such that every plane contains a constant number of lines of  $S$ .

Thank you for your attention!