

The saddle-point method for general partition functions

Gregory Debruyne

Universiteit Gent

September 1, 2020

Joint work with Gérald Tenenbaum

Question

Given a set of summands $\Lambda \subset \mathbb{N}$, in how many ways can a natural number n be represented as the sum of summands in Λ ?

Question

Given a set of summands $\Lambda \subset \mathbb{N}$, in how many ways can a natural number n be represented as the sum of summands in Λ ?

We do not care about the order of the summands. For instance, the sums $3 + 2$ and $2 + 3$ represent the same partition.

We denote the answer as $p_\Lambda(n)$.

Theorem (Hardy,Ramanujan, 1918)

$$p_{\mathbb{N}}(n) \sim \frac{e^{\pi\sqrt{2n/3}}}{4n\sqrt{3}}$$

Theorem (Hardy,Ramanujan, 1918)

$$p_{\mathbb{N}}(n) \sim \frac{e^{\pi\sqrt{2n/3}}}{4n\sqrt{3}}$$

In 1937, Rademacher obtained an exact formula, that is, a convergent series expansion.

Definition

Let $A \in \mathbb{R}$ and

$$L_\Lambda(z) := \sum_{m \in \Lambda} m^{-z},$$

we define the *class* $\mathcal{C}(A)$ comprising those subsets Λ fulfilling the following conditions:

- (a) $\gcd(\Lambda) = 1$;
- (b) L_Λ may be meromorphically continued to the closed half-plane $\operatorname{Re} z \geq -\varepsilon$ for suitable $\varepsilon > 0$;
- (c) this continuation presents a unique simple pole at $z = \sigma_c(\Lambda) > 0$ with residue A ;
- (d) we have $|L_\Lambda(-\varepsilon + it)| \ll e^{a|t|}$ ($t \in \mathbb{R}$) for some $a < \pi/2$.

An approximation for $p_\Lambda(n)$

Theorem (D., Tenenbaum, 2020)

Let $A \in \mathbb{R}$ and $\Lambda \in \mathcal{C}(A)$. Then

$$p_\Lambda(n) \sim \mathfrak{b} e^{cn^{\alpha/(\alpha+1)}} / n^{\mathfrak{h}} \quad (n \rightarrow \infty),$$

where $\alpha := \sigma_c(\Lambda)$, $\mathfrak{h} := (1 - L_\Lambda(0) + \alpha/2)/(\alpha + 1)$ and

$$\mathfrak{a} := \{A\Gamma(1 + \alpha)\zeta(1 + \alpha)\}^{1/(\alpha+1)}, \quad \mathfrak{b} := \frac{e^{L'_\Lambda(0)} \mathfrak{a}^{-L_\Lambda(0)+1/2}}{\sqrt{2\pi(1 + \alpha)}},$$

$$\mathfrak{c} := \mathfrak{a}(1 + 1/\alpha).$$

Definition

We define the *subclass* $\mathcal{D}(A)$ of $\mathcal{C}(A)$ comprising those subsets Λ satisfying the extra conditions:

(e) L_Λ may be meromorphically continued to \mathbb{C} ;

(f) for suitable $R_N \rightarrow \infty$ and some $a < \pi/2$, we have

$$|L_\Lambda(-R_N + it)| \ll \exp(a|t|) \quad (t \in \mathbb{R}, N \rightarrow \infty)$$

(g) for all $q \geq 2$ the set $\Lambda \setminus q\mathbb{N}$ is infinite.

Theorem (D, Tenenbaum, 2020)

Let $A \in \mathbb{R}$ and $\Lambda \in \mathcal{D}(A)$, then there exist constants $\gamma_{j,h}$ ($(j, h) \in \mathbb{N}^2$) such that for each $N \geq 1$,

$$p_{\Lambda}(n) = \frac{b e^{c n^{\alpha/(\alpha+1)}}}{n^h} \left\{ 1 + \sum_{\substack{j+h \geq 1 \\ j\alpha+h \leq N(\alpha+1)}} \frac{\gamma_{j,h}}{n^{(j\alpha+h)/(\alpha+1)}} + O\left(\frac{1}{n^N}\right) \right\},$$

with α, b, c and h as before.

Corollary (D., Tenenbaum, 2020)

For each $f \in \mathbb{Z}[x]$, for which $\Lambda := f(\mathbb{N} \cup \{0\}) \subseteq \mathbb{N}$, f is injective on $\mathbb{N} \cup \{0\}$ and such that f does not vanish identically mod p for any prime p , we have

$$p_{\Lambda}(n) \sim \frac{b_f e^{c_f n^{1/(k+1)}}}{n^{(k+1+2a_1/a_0)/(2k+2)}} \left\{ 1 + \sum_{h \geq 1} \frac{c_{f,h}}{n^{h/(k+1)}} \right\},$$

where

$$\alpha_f = \left\{ k^{-1} a_0^{-1/k} \Gamma(1 + k^{-1}) \zeta(1 + k^{-1}) \right\}^{k/(k+1)},$$

$$b_f = \frac{a_f^{a_1/a_0 k} a_0^{-1/2 + a_1/a_0 k} \prod_{j=1}^k \Gamma(-\alpha_j)}{(2\pi)^{(k+1)/2} \sqrt{1 + 1/k}}, \quad c_f = (k+1)\alpha_f,$$

for a polynomial f of degree k , where a_0 is the coefficient of the dominant term, a_1 that of n^{k-1} and the α_j are the zeros of f .

$$F(s) = \sum_{n=0}^{\infty} p(n)e^{-sn}$$

$$F(s) = \sum_{n=0}^{\infty} p(n)e^{-sn} = \prod_{m \in \Lambda} (1 - e^{-sm})^{-1}$$

$$F(s) = \sum_{n=0}^{\infty} p(n)e^{-sn} = \prod_{m \in \Lambda} (1 - e^{-sm})^{-1}$$

$$p(n) = \frac{1}{2\pi i} \int_{\sigma - i\pi}^{\sigma + i\pi} e^{sn} F(s) ds$$

$$F(s) = \sum_{n=0}^{\infty} p(n)e^{-sn} = \prod_{m \in \Lambda} (1 - e^{-sm})^{-1}$$

$$p(n) = \frac{1}{2\pi i} \int_{\sigma-i\pi}^{\sigma+i\pi} e^{sn} F(s) ds$$

Saddle-point method: We search for solutions of

$$n + F'(s)/F(s) = 0$$

Estimating the logarithm of the generating function

$$\log F(s) = - \sum_{m \in \Lambda} \log(1 - e^{-sm})$$

Estimating the logarithm of the generating function

$$\log F(s) = - \sum_{m \in \Lambda} \log(1 - e^{-sm}) = \sum_{m \in \Lambda} \sum_{k \geq 1} \frac{e^{-mks}}{k}$$

Estimating the logarithm of the generating function

$$\log F(s) = - \sum_{m \in \Lambda} \log(1 - e^{-sm}) = \sum_{m \in \Lambda} \sum_{k \geq 1} \frac{e^{-mks}}{k} = \sum_{n \geq 1} \frac{f(n)}{n} e^{-sn},$$

where

$$f(n) := \sum_{\substack{m \in \Lambda \\ m|n}} m.$$

Estimating the logarithm of the generating function

$$\log F(s) = - \sum_{m \in \Lambda} \log(1 - e^{-sm}) = \sum_{m \in \Lambda} \sum_{k \geq 1} \frac{e^{-mks}}{k} = \sum_{n \geq 1} \frac{f(n)}{n} e^{-sn},$$

where

$$f(n) := \sum_{\substack{m \in \Lambda \\ m|n}} m.$$

$$\log F(s) = \frac{1}{2\pi i} \int_{2-i\infty}^{2+i\infty} \Gamma(z) \sum_n \frac{f(n)}{n^{z+1}} \frac{dz}{s^z}$$

Estimating the logarithm of the generating function

$$\log F(s) = - \sum_{m \in \Lambda} \log(1 - e^{-sm}) = \sum_{m \in \Lambda} \sum_{k \geq 1} \frac{e^{-mks}}{k} = \sum_{n \geq 1} \frac{f(n)}{n} e^{-sn},$$

where

$$f(n) := \sum_{\substack{m \in \Lambda \\ m|n}} m.$$

$$\begin{aligned} \log F(s) &= \frac{1}{2\pi i} \int_{2-i\infty}^{2+i\infty} \Gamma(z) \sum_n \frac{f(n)}{n^{z+1}} \frac{dz}{s^z} \\ &= \frac{1}{2\pi i} \int_{2-i\infty}^{2+i\infty} \Gamma(z) \zeta(z+1) L(z) \frac{dz}{s^z}. \end{aligned}$$

Sketch of the rest of the proof

- 1 Use contour integration to get good asymptotics on $\log F(s)$ and its derivatives.

Sketch of the rest of the proof

- ① Use contour integration to get good asymptotics on $\log F(s)$ and its derivatives.
- ② Approximately solve the saddle-point equation, get a good approximation of the saddle point (on the real axis).

Sketch of the rest of the proof

- ① Use contour integration to get good asymptotics on $\log F(s)$ and its derivatives.
- ② Approximately solve the saddle-point equation, get a good approximation of the saddle point (on the real axis).
- ③ Use the saddle-point principle to get the contribution of the (neighborhood of) the saddle point to (the integral form) of $p_\Lambda(n)$.

Sketch of the rest of the proof

- 1 Use contour integration to get good asymptotics on $\log F(s)$ and its derivatives.
- 2 Approximately solve the saddle-point equation, get a good approximation of the saddle point (on the real axis).
- 3 Use the saddle-point principle to get the contribution of the (neighborhood of) the saddle point to (the integral form) of $p_\Lambda(n)$.
- 4 Show that the remaining integral is sufficiently small.

G. Debruyne, G. Tenenbaum, *The saddle-point method for general partition functions*, Indag. Math. 31 (2020), 728-738