

## Sharp Homogeneity in Affine Planes, and in some Affine Generalized Polygons

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*Abstract.* Let  $G$  be a collineation group of a generalized  $(2n + 1)$ -gon  $\Gamma$  and let  $L$  be a line such that every symmetry  $\sigma$  of any ordinary  $(2n + 1)$ -gon in  $\Gamma$  containing  $L$  with  $\sigma(L) = L$  extends uniquely to a collineation in  $G$ . We show that  $\Gamma$  is then a Desarguesian projective plane. We also describe the groups  $G$  that arise. As a corollary, we treat the analogous problem without the restriction  $\sigma(L) = L$ .

### 1 Introduction

The classification of geometries satisfying homogeneity conditions has a rich history. Often transitivity conditions alone are not restrictive enough to allow a classification (see [9, 14, 12]); one needs also some kind of rigidity. In this paper, we continue earlier investigations [4, 5, 18] by considering affine planes  $\Gamma$  with collineation groups  $G$  which act sharply transitively on the triangles in  $\Gamma$ ; we also consider affine generalized polygons with collineation groups satisfying an analogous condition (see Section 2). In contrast to the situation in [5] (and also to a certain extent in [4]), all Desarguesian planes arise here.

We recall some definitions. Let  $m \geq 2$  be a positive integer. A (*thick*) *generalized  $m$ -gon* is a point-line incidence geometry  $\Gamma = (\mathcal{P}, \mathcal{L}, \mathbf{I})$  with point set  $\mathcal{P}$ , line set  $\mathcal{L}$  and symmetric incidence relation  $\mathbf{I}$ , whose incidence graph (i.e., the graph with vertex set  $\mathcal{P} \cup \mathcal{L}$  and adjacency relation  $\mathbf{I}$ ) has diameter  $m$  (the maximal distance between two vertices) and girth  $2m$  (the length of the smallest cycle), and which contains an ordinary  $(m + 1)$ -gon as a subgeometry (see the monograph [16]). In this paper, we consider only the case where  $m$  is odd, because it is difficult to control the involutive collineations if  $m$  is even (cp. the results in [18] for  $m = 4$  and  $m = 6$ ), in particular, there are too many possibilities for the fixed point structure of an involution. If  $m = 3$ , then  $\Gamma$  is a projective plane in the usual sense. Distances in  $\Gamma$  refer to the distances measured in the incidence graph of  $\Gamma$  and the distance function is denoted with  $\delta$ .

An ordinary  $m$ -gon (viewed as a subgeometry) of  $\Gamma$  is called an *apartment* of  $\Gamma$ . An *ordered ordinary  $k$ -gon* of  $\Gamma$  (with  $k \geq m$ ) is a cycle  $(x_0, x_1, \dots, x_{2k-1}, x_0)$  in

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the incidence graph of  $\Gamma$ , with  $x_0 \in \mathcal{P}$ , with  $x_i \perp x_{i+1}$  (indices to be considered modulo  $2k$ ), and such that  $x_i \neq x_j$  for  $i \not\equiv j \pmod{2k}$ . We emphasize that we view a cycle (and hence an ordered ordinary  $k$ -gon) as a closed path with a distinguished origin ( $x_0$ ) and a distinguished direction (from  $x_0$  to  $x_1$  and *not* to  $x_{2k-1}$ ). A *simple path* in  $\Gamma$  of length  $k$  is a sequence  $(x_0, x_1, \dots, x_k)$  of points and lines, with  $x_0$  a point, such that  $x_{i-1} \perp x_i$  for all  $i \in \{1, 2, \dots, k\}$ , and such that  $x_{i-1} \neq x_{i+1}$ , for all  $i \in \{1, 2, \dots, k-1\}$ .

A *collineation* of  $\Gamma$  is a pair of permutations, one of  $\mathcal{P}$  and one of  $\mathcal{L}$ , such that two elements are incident if and only if their images are incident. The set of all collineations forms a group, the *full collineation group* of  $\Gamma$ . Every subgroup of that full collineation group will be called a *collineation group*. An *axial collineation* of a generalized  $m$ -gon  $\Gamma$ , with  $m = 2n + 1$  odd, is a collineation of  $\Gamma$  fixing all elements at distance  $\leq n$  from some point  $p$  and fixing all elements at distance  $\leq n$  from some line  $L$ . If  $p \perp L$ , then we call this collineation an *axial elation*. If it is not the identity, then  $p$  and  $L$  are unique with the above defining property and we call them *center* and *axis*, respectively. Finally, a *Baer involution* is defined to be a collineation of order 2 the fixed points of which form the point set of a thick subpolygon of  $\Gamma$ .

For each alternative division ring  $K$  there is a unique projective plane  $\text{PG}(2, K)$  constructed using coordinates (see e.g. [7]). If  $K$  is a skew field, then  $\text{PG}(2, K)$  arises from a 3-dimensional vector space  $V$  over  $K$  by taking as points all vector lines of  $V$  and as lines all vector planes of  $V$ , with natural incidence relation. This plane is often referred to as the *Desarguesian projective plane over  $K$* .

An *affine plane*  $\Gamma$  is an incidence structure obtained from a projective plane by deleting a fixed line (called the *line at infinity*) and all points incident with it. The projective plane can be uniquely and canonically recovered from  $\Gamma$  and is called the *projective completion* of  $\Gamma$ , denoted  $\Gamma^c$ . The points of  $\Gamma^c$  not belonging to  $\Gamma$  are called the *points at infinity* of  $\Gamma$ . The affine plane  $\text{AG}(2, K)$  over a skew field  $K$  has the point set  $K^2 = K \times K$ . The projective completion of  $\text{AG}(2, K)$  is isomorphic to  $\text{PG}(2, K)$ .

A *planar collineation*  $\pi$  of  $\text{PG}(2, K)$ , or of  $\text{AG}(2, K)$ , is a collineation which fixes all points of a subplane; this means that  $\pi$  is conjugate to a collineation induced by a semilinear mapping with identity matrix and non-identity companion skew field automorphism. Note that it suffices to conjugate with *linear* collineations, i.e., collineations with trivial companion skew field automorphism.

## 2 Statement of the results

The following theorem is the main result of this paper. The stabilizer  $G_{\gamma, L}$  in Condition (\*) below is the subgroup of  $G$  leaving invariant the point set  $\{x_0, x_2, \dots, x_{2m}\}$  and the line set  $\{x_1, x_3, \dots, x_{2m+1}\}$  of

$$\gamma = (\{x_0, x_2, \dots, x_{2m}\}, \{x_1, x_3, \dots, x_{2m+1}\}, \mathbb{I})$$

and the set consisting of the two points of  $\gamma$  on  $L$ .

**Theorem 2.1.** *Let  $\Gamma = (\mathcal{P}, \mathcal{L}, \mathfrak{I})$  be a generalized  $m$ -gon, where  $m \geq 3$  is odd, let  $L \in \mathcal{L}$  be a line and let  $G$  be a collineation group of  $\Gamma$  fixing  $L$  with the following property:*

- (\*) *for every ordinary  $(m+1)$ -gon  $\gamma$  of  $\Gamma$  containing  $L$ , the stabilizer  $G_\gamma = G_{\gamma, L}$  has order 2 and acts faithfully on  $\gamma$ .*

*Then  $m = 3$  and the projective plane  $\Gamma$  is Desarguesian; hence the affine plane arising from  $\Gamma$  by taking  $L$  as the line at infinity is of the form  $\text{AG}(2, K)$  for some skew field  $K$ . The group  $G$  contains the affine group*

$$\text{ASL}^\pm(2, K) := \text{ASL}(2, K) \left\langle \begin{pmatrix} -1 & 0 \\ 0 & 1 \end{pmatrix} \right\rangle .$$

*Conversely, let  $K$  be any skew field, let  $\Gamma := \text{PG}(2, K)$  and let  $\text{AG}(2, K)$  be the affine plane arising from  $\text{PG}(2, K)$  by declaring any line  $L$  to be the line at infinity. Then the group  $\text{ASL}^\pm(2, K)$  and each larger group of collineations of  $\text{AG}(2, K)$  which contains no planar element except the identity satisfy the assumption on  $G$  in (\*).*

The group  $\text{ASL}^\pm(2, K)$  is the group of all affine maps

$$x \mapsto Mx + b \in \text{AGL}(2, K)$$

where the linear map  $M$  has determinant 1 or  $-1$ ; for non-commutative  $K$  one has to read this as the Dieudonné determinant, which takes values in the commutator factor group  $K^\times / [K^\times, K^\times]$ , compare ARTIN [1] Chapter 4 or DIEUDONNÉ [3] II.1. It is convenient to consider the determinant as a group homomorphism defined on  $\text{AGL}(2, K)$  which is trivial on translations  $x \mapsto x + b$ .

In the following we also need the semilinear affine group  $\text{AFL}(2, K) = \{(A, \phi) \mid A \in \text{AGL}(2, K), \phi \in \text{Aut } K\}$ ; here a pair  $(A, \phi)$  denotes the composition of  $A$  with the map  $K^2 \rightarrow K^2 : (x, y) \mapsto (x^\phi, y^\phi)$ .

Let  $K$  be a skew field. A mapping  $\alpha : K^\times \rightarrow \text{Aut } K$  is called a *Dickson map* if  $\alpha(x)\alpha(y) = \alpha(yx^{\alpha(y)})$  for  $x, y \in K^\times$ . Then  $\{x \mapsto ax^{\alpha(a)} \mid a \in K^\times\}$  is a subgroup of  $\Gamma\text{L}(1, K)$  which is sharply transitive on  $K^\times$ . Thus Dickson maps describe the (not necessarily normal) subgroups of  $\Gamma\text{L}(1, K) = \text{GL}(1, K) \cdot \text{Aut } K$  which are complementary to  $\text{Aut } K$ ; in other terminology, these groups are the multiplicative groups of the Dickson nearfields constructed from  $K$ , compare [8, 19].

**Corollary 2.2.** *Let  $\Gamma = (\mathcal{P}, \mathcal{L}, \mathfrak{I})$  be a generalized  $m$ -gon, where  $m \geq 3$  is odd, and let  $G$  be a collineation group of  $\Gamma$  which fixes a distinguished line  $L$  of  $\Gamma$  and acts sharply transitively on the set of all ordered ordinary  $(m+1)$ -gons of  $\Gamma$  of the form  $(x, L, \dots)$  with  $x \in \mathcal{P}$ . Then  $m = 3$  and the projective plane  $\Gamma$  is Desarguesian; hence the affine plane arising from  $\Gamma$  by taking  $L$  as the line at infinity is of the form  $\text{AG}(2, K)$  for some skew field  $K$ . The group  $G$  is one of the groups*

$$G_\alpha := \{(A, \alpha(\det A)) \mid A \in \text{AGL}(2, K)\} \leq \text{AFL}(2, K) ,$$

where  $\alpha : K^\times \rightarrow \text{Aut } K$  is a Dickson map which is trivial on the commutator subgroup  $[K^\times, K^\times]$ .

*Conversely, let  $K$  be a skew field and let  $\alpha : K^\times \rightarrow \text{Aut } K$  be a Dickson map which is trivial on  $[K^\times, K^\times]$ . Then the group  $G_\alpha$  as above is a collineation group of*

the Desarguesian projective plane  $\Gamma = \text{PG}(2, K)$  fixing a line  $L$  and acting sharply transitively on the set of all ordered ordinary 4-gons of  $\Gamma$  of the form  $(x, L, \dots)$ .

Note that the trivial Dickson map gives the group  $\text{AGL}(2, K)$ . The group  $G_\alpha$  is the product of the two groups  $\text{ASL}(2, K)$  and

$$N_\alpha := \{(x, y) \mapsto (ax^{\alpha(a)}, y^{\alpha(a)}) \mid a \in K^\times\};$$

if  $K$  is commutative, then this is a semidirect product  $G_\alpha = \text{ASL}(2, K).N_\alpha$ .

**Corollary 2.3.** *Let  $\Gamma$  be an affine plane and let  $G$  be a collineation group of  $\Gamma$  acting sharply transitively on the set of ordered triangles of  $\Gamma$ . Then  $\Gamma$  is a Desarguesian affine plane and  $G$  is one of the groups  $G_\alpha$  as in Corollary 2.2.*

*Conversely, in every Desarguesian affine plane  $\Gamma$  each collineation group  $G_\alpha$  as in Corollary 2.2 acts sharply transitively on the set of ordered triangles of  $\Gamma$ .*

In the special case  $m = 3$ , Theorem 2.1 will be deduced from the following proposition on affine planes.

**Proposition 2.4.** *Let  $\Gamma$  be an affine plane and let  $G$  be a collineation group of  $\Gamma$  acting in such a way that, for each triangle  $\Delta$ , the stabilizer  $G_\Delta$  acts faithfully on  $\Delta$  as the symmetric group  $S_3$  of degree 3. Then  $\Gamma$  is a Desarguesian affine plane, i.e.  $\Gamma = \text{AG}(2, K)$  for some skew field  $K$ , and  $G$  contains the affine group  $\text{ASL}^\pm(2, K)$ .*

*Conversely, for every skew field  $K$ , the group  $\text{ASL}^\pm(2, K)$  and each larger group of collineations of  $\text{AG}(2, K)$  which contains no planar element except the identity induces faithfully the symmetric group  $S_3$  on each triangle of the Desarguesian plane  $\text{AG}(2, K)$ .*

Concerning the groups arising in Theorem 2.1 and in Proposition 2.4, we remark that each group  $G$  with  $\text{ASL}^\pm(2, K) \leq G \leq G_\alpha$ , with  $G_\alpha$  as in Corollary 2.2, satisfies the (equivalent) assumptions on  $G$  in Theorem 2.1 and Proposition 2.4. But there are also examples of groups  $G$  as in Theorem 2.1 (and Proposition 2.4) which are not contained in any of the groups  $G_\alpha$  from Corollary 2.2. For example, let  $p$  be a prime with  $p \equiv 1 \pmod{16}$ ; let  $q = p^4$ , choose a generator  $\sigma$  of  $\text{Aut GF}(q)$ , let  $a$  be a primitive 4th root of unity in  $\text{GF}(p) \subseteq \text{GF}(q)$ , and let  $b$  be a primitive 16th root of unity such that  $b^4 = a$ . We consider the group

$$G := \{(A, \sigma^{2i}) \mid A \in \text{AGL}(2, q), 0 \leq i < 4, \det A = a^i\} \leq \text{A}\Gamma\text{L}(2, q).$$

Since  $\sigma$  fixes  $a$ , it is easy to see that  $G$  is actually a group, of order  $4 \cdot |\text{ASL}(2, q)|$ , and  $G$  contains  $\text{ASL}^\pm(2, q)$ , since  $a^2 = -1$ . Furthermore we claim that the identity is the only planar element of  $G$ ; for this it suffices to show that no collineation  $(A, \sigma^2)$  with  $\det A = a$  is planar. If not, then  $B^{-1}AB^{[\sigma^2]} = \text{id}$ , for some  $B \in \text{AGL}(2, q)$ , where  $B^{[\sigma^2]}$  is obtained from  $B$  by applying  $\sigma^2$  to each entry of a coordinate description of  $B$ . Now taking the determinant gives a contradiction since  $\det A = a$  does not belong to the group  $\{b^{-1}b^{\sigma^2} \mid 0 \neq b \in \text{GF}(q)\}$  of order  $p^2 + 1 \equiv 2 \pmod{4}$ . The claim follows. Suppose  $G$  is contained in a collineation group  $G_\alpha$  which acts sharply transitively on the ordered triangles of  $\text{AG}(2, q)$ . Then

the Dickson map  $\alpha$  is an extension of the group homomorphism  $a^i \mapsto \sigma^{2i}$ . Now  $b^\sigma = b$  implies  $\alpha(b^4) = \alpha(b)^4 = 1$ , as  $\sigma^4 = 1$ . Hence  $\sigma^2 = \alpha(a) = \alpha(b^4) = 1$ , a contradiction.

We remark that Corollary 2.2 is the counterpart of the main result of [4] for affine planes. However, the counterpart of Theorem 2.1 for projective planes, and more generally generalized  $(2n + 1)$ -gons, has not yet been considered in the literature. For completeness' sake, we include it here. In fact, it follows as a further consequence of Theorem 2.1. We split it up in two corollaries.

A quadrangle in a projective plane is a set of four points no three of which are contained in a line. This is not quite the same thing as an ordinary 4-gon, which has also four lines (and the dihedral group of order 8 as its automorphism group).

**Corollary 2.5.** *Let  $\Gamma$  be a projective plane and let  $G$  be a collineation group of  $\Gamma$  acting in such a way that, for each quadrangle  $Q$  of  $\Gamma$ , the set-wise stabilizer  $G_Q$  acts faithfully on  $Q$  as the symmetric group  $S_4$  of degree 4. Then  $\Gamma$  is a Pappian projective plane, i.e.  $\Gamma = \text{PG}(2, F)$  for some field  $F$ , and  $G$  contains the little projective group  $\text{PSL}(3, F)$ .*

*Conversely, for every field  $F$ , the group  $\text{PSL}(3, F)$  and each larger group of collineations of  $\text{PG}(3, F)$  which contains no planar element except the identity satisfy the assumptions on  $G$ .*

**Corollary 2.6.** *Let  $\Gamma$  be a generalized  $m$ -gon, where  $m \geq 3$  is odd. Suppose that  $\Gamma$  admits a collineation group  $G$  which has the following property.*

(\*\*) *For each ordinary  $(m + 1)$ -gon  $\gamma$  of  $\Gamma$ , the stabilizer  $G_\gamma$  acts faithfully on the point set of  $\gamma$  as the dihedral group of order  $2(m + 1)$  in its natural action of degree  $m + 1$ .*

*Then  $m = 3$  and  $\Gamma$  and  $G$  satisfy the assumptions and conclusions of Corollary 2.5.*

Concerning the groups arising in Corollary 2.5, we observe the following: each group  $G$  which contains  $\text{PSL}(3, F)$  and is contained in a collineation group of  $\text{PG}(2, F)$  acting sharply transitively on the set of all ordered quadrangles (as described in [4]) satisfies the stated assumptions. If  $F$  is finite, then all groups  $G$  as in Corollary 2.5 are of this type, because the index of  $\text{PSL}(3, F)$  in  $\text{PGL}(3, F)$  is then 1 or 3. But, just as in the affine plane case above, there are also (infinite) examples of groups  $G$  as in Corollary 2.5 which are not contained in any of the groups from [4]. Here we describe just one example. Let  $F = \mathbb{Q}(\sqrt[3]{2}, i)$  and let  $\sigma \in \text{Aut } F$  be the involution which fixes  $\sqrt[3]{2}$  and maps  $i$  to  $-i$ . The semilinear map  $F^3 \rightarrow F^3 : (x, y, z) \mapsto ((1 + i)x^\sigma, y^\sigma, z^\sigma)$  has the square  $(x, y, z) \mapsto (2x, y, z)$ , and 2 is a cube in  $F$ , hence the collineation  $g$  induced by that semilinear map satisfies  $g^2 \in \text{PSL}(3, F)$ . Thus  $G := \text{PSL}(3, F) \cup \text{PSL}(3, F)g$  is a (non-split) extension of  $\text{PSL}(3, F)$  by a cyclic group of order 2. This group  $G$  satisfies the assumptions in Corollary 2.5, because the coset  $\text{PSL}(3, F)g$  contains no planar collineation (otherwise some matrix  $M^{-1}SM^{|\sigma|}$  with  $\det S = 1 + i$  would be a multiple of the identity, where  $M^{|\sigma|}$  is obtained from  $M$  by applying  $\sigma$  to each entry of  $M$ , and then taking the norms with respect to  $\langle \sigma \rangle$  of the determinants would give a contradiction to the fact that 2 is not a cube of a norm). Furthermore,  $G$  is not contained in any of the

groups from [4] because the quotients of these groups modulo  $\text{PSL}(3, F)$  are finite 3-groups or infinite.

One could wonder why we do not consider as an analogue of Corollary 2.3 a regular action on ordered ordinary  $m$ -gons in the “affine” part of a generalized  $m$ -gon,  $m$  odd (the “affine” part would be the set of points and lines in general position with respect to a fixed line  $L$ ). The reason is that this set need not be connected, and there might even be no ordinary  $m$ -gon inside it. Hence the generalization we consider in Theorem 2.1 and Corollary 2.2 is the only natural and reasonable one.

In Section 3 we prove that necessarily  $m = 3$  in Theorem 2.1 and in Corollary 2.2. Using this fact, we start in Section 4 by reducing Theorem 2.1 and Corollary 2.2 to Proposition 2.4 and Corollary 2.3, respectively (note that the hypotheses of these results are not trivially equivalent: in Theorem 2.1 the group induced on the three lines distinct from  $L$  of an ordinary 4-gon containing  $L$  has order 2, while in Proposition 2.4, the group under consideration is assumed to have order 6). Then we prove Proposition 2.4 and Corollary 2.3 in Section 4. In Section 5 we prove Corollaries 2.5 and 2.6.

### 3 The case $m > 3$ is impossible

In this section we show that the assumptions of Theorem 2.1 and of Corollary 2.2 lead to a contradiction for  $m > 3$ . For this it suffices to prove Proposition 3.1 below, because the assumptions of Corollary 2.2 imply Condition (\*) in Theorem 2.1. Indeed, the points and lines of an ordinary  $(m + 1)$ -gon  $\gamma$  containing  $L$  yield precisely two ordered ordinary  $(m + 1)$ -gons of the form  $(x, L, \dots)$ , hence  $|G_\gamma| = 2$  for each group  $G$  as in Corollary 2.2.

**Proposition 3.1.** *Let  $\Gamma = (\mathcal{P}, \mathcal{L}, \mathbb{I})$  be a generalized  $m$ -gon, where  $m \geq 3$  is odd, let  $L \in \mathcal{L}$  be a line and let  $G$  be a collineation group of  $\Gamma$  fixing  $L$  with the following property:*

- (\*) *for every ordinary  $(m + 1)$ -gon  $\gamma$  of  $\Gamma$  containing  $L$ , the stabilizer  $G_\gamma = G_{\gamma, L}$  has order 2 and acts faithfully on  $\gamma$ .*

*Then  $m = 3$ , thus  $\Gamma$  is a projective plane.*

We proceed indirectly, so we assume that  $m > 3$ , and we write  $m = 2n + 1$  with  $n > 1$ .

*Claim 1:* Let  $x, y$  be two elements of  $\Gamma$  at distance  $i$  from  $L$ , with  $1 \leq i \leq n + 1$ . Then there exists an element  $\theta \in G$  taking  $x$  to  $y$ .

To prove this, let  $x_L, y_L$  be the points of  $L$  closest to  $x, y$ , respectively. Since each line is incident with at least three points, there is some point  $z' \in L$  distinct from both  $x_L$  and  $y_L$ . Let  $\Sigma_x$  be an arbitrary apartment containing  $x$  and  $z'$ . Note that  $L$  is contained in  $\Sigma_x$ . Let  $z$  be the unique element of  $\Sigma_x$  with  $\delta(L, z) = i$  and  $\delta(z, z') = i - 1$ . The unique simple path connecting  $z$  with  $y$  via  $L$  has length  $2i \leq m + 1$ , hence it is contained in an apartment  $\Sigma_y$  of  $\Gamma$ . Now we replace in  $\Sigma_x$  the simple path of length  $2m - 2i$  between  $x$  and  $z$  by a simple path of length  $2m - 2i + 2$  having only  $x$  and  $z$  in common with the simple path of length  $2m - 2i$  in  $\Sigma_x$  connecting  $x$  with  $z$ . This way we obtain an ordinary  $(m + 1)$ -gon  $\gamma_x$  containing

$x, z, L$ . Likewise, we construct an ordinary  $(m + 1)$ -gon  $\gamma_y$  containing  $y, z, L$ . Multiplying the unique involutions in  $G$  which stabilize these  $(m + 1)$ -gons in  $\Gamma$ , we see that we can map  $x$  to  $y$ , and Claim 1 is established.

The replacement procedure of the last arguments in the previous paragraph actually proves the following assertion.

*Claim 2:* Each apartment containing  $L$  is stabilized by an involution in  $G$ .

Now let  $\Sigma$  be an arbitrary ordinary  $(m + 1)$ -gon containing  $L$ . Let  $\sigma$  be the unique involution in  $G$  stabilizing  $\Sigma$ . According to Theorem 3.2 of [17], there are three possibilities for  $\sigma$ . One of these is that  $\sigma$  is a Baer involution. This is impossible here since this would mean that  $\sigma$  fixes an ordinary  $(m + 1)$ -gon containing  $L$ , contradicting our main assumption. A second possibility is that  $\sigma$  fixes a non-thick *solid* subpolygon  $\Gamma'$  pointwise, where “solid” means that, whenever an element of  $\Gamma'$  is incident in  $\Gamma'$  with at least three elements of  $\Gamma'$ , then it is incident with all elements of  $\Gamma$  incident with it in  $\Gamma$ , and  $\Gamma$  does not consist of just one apartment. If we call an element of  $\Gamma'$  *thick* if it is incident with at least three elements of  $\Gamma'$ , then it follows from the fact that  $m$  is odd, that thick elements of  $\Gamma$  have odd minimal distance from each other (see Theorem 1.6.2 of [16]). Hence, if  $\Sigma'$  is an apartment of  $\Gamma'$  containing  $L$ , then no involution  $\sigma'$  of  $G$  stabilizing  $\Sigma'$  (and such involutions exist by Claim 2) maps a thick element of  $\Gamma'$  belonging to  $\Sigma'$  onto a thick element of  $\Gamma'$  (indeed, considering a shortest path to  $L$  from these elements, this would mean that the ones nearest to  $L$  lie symmetrically with respect to  $L$ , and hence that the minimal distance between thick elements is even). Hence conjugating  $\sigma$  with  $\sigma'$  we obtain an involution  $\sigma''$  whose fixed point structure inside the thick generalized polygon naturally associated with  $\Gamma'$  contains an apartment without thick elements. This contradicts the fact that  $\sigma''$  is an involution in this polygon and the classification of types of involutions stated in Theorem 3.2 of [17].

Consequently only the third possibility remains, and so  $\sigma$  is a central collineation. Let  $M$  be the line opposite to  $L$  in the ordinary  $(m + 1)$ -gon  $\Sigma$ . Then both  $L$  and  $M$  are fixed by  $\sigma$ , but there are points on these lines that are not fixed. Hence the unique element  $x_n$  at distance  $n$  from both  $L$  and  $M$  in  $\Gamma$  is the center or axis of  $\sigma$  (according whether  $n$  is odd or even), and the axis or center is an element  $x'_{n+1}$  of  $\Gamma$  incident with  $x_n$ , but not belonging to  $\Sigma$ . If  $n$  is even, then let  $x_{n/2}$  be the element of  $\Sigma$  at distance  $n/2$  from both  $L$  and  $x_n$ . Let  $x'_n$  be a line of  $\Gamma$  at distance  $n/2$  from  $x_{n/2}$  and at distance  $n$  from both  $x_n$  and  $L$  (it is easy to construct such an element using the thickness of  $\Gamma$ ). By Claim 1 there is an element  $\theta \in G$  taking  $x_n$  to  $x'_n$ . Since  $\delta(x_n, x'_n) = n$ , and clearly  $\delta(x'_{n+1}, x'_{n+1}{}^\theta) = n + 2$ , the coexistence of the central collineations  $\sigma$  and  $\sigma^\theta$  leads to a contradiction, as is shown in Section 3, Case 1 of [13].

If  $n$  is odd, then we consider an ordinary  $(m + 1)$ -gon  $\Sigma'$  in  $\Gamma$  sharing with  $\Sigma$  two points on  $L$  and exactly one point on  $M$ , and also  $M$  itself. The corresponding involution  $\sigma'$  has the same center  $x_n$  as  $\sigma$ , but a different axis. Indeed,  $\sigma$  and  $\sigma'$  have the same action on the points of  $\Sigma$  on  $L$ , hence if their axes were equal, they would be the same. So  $\sigma$  and  $\sigma'$  commute and the product  $\sigma\sigma'$  is again an involution. Clearly it must again be a central involution with axis  $x_n$ . But since this product

fixes at least three points incident with  $L$ , it fixes all points on  $L$ , and so the axis is the line  $x_{n-1}$  belonging to  $\Sigma$  at distance  $n-1$  from  $L$  and incident with  $x_n$ . We now consider the element  $y = x_{(n-1)/2}$  of  $\Sigma$  at distance  $(n-1)/2$  from  $L$  and at distance  $(n+1)/2$  from  $x_n$ . Exploiting the thickness of  $\Gamma$ , we see that there exist elements  $x'_n, x'_{n-1}$  at distance  $(n-1)/2$  and  $(n+1)/2$ , respectively, from  $y$ , and at distance  $n-1$  and  $n$ , respectively, from  $L$ , and at distance  $n$  and  $n+1$ , respectively, from  $x_n$ . Since  $\delta(x_n, x'_{n-1}) = n$  and  $\delta(x'_{n+1}, x'_n) = n+2$ , the coexistence of the central collineations  $\sigma$  and  $(\sigma\sigma')^\theta$ , where  $\theta \in G$  maps  $x_n$  to  $x'_n$  ( $\theta$  exists by Claim 1), and the assumption  $n > 1$  contradict Section 3, Case 1 of [13].

This completes the proof of Proposition 3.1.

#### 4 Affine planes

In this section we prove Theorem 2.1, Corollaries 2.2, 2.3 and Proposition 2.4. By Section 3 we have  $m = 3$  in Theorem 2.1 and in Corollary 2.2. Therefore Theorem 2.1 and Corollary 2.2 can be reduced to Proposition 2.4 and Corollary 2.3, respectively, by the following consideration.

Let  $\Delta$  be a triangle in an affine plane  $\Gamma$ , with points  $p_1, p_2, p_3$ . It suffices to show that, under the hypothesis that every ordinary 4-gon of  $\Gamma^c$  containing the line  $L$  at infinity is stabilized by just two elements of  $G = G_L$ , there exists a unique element in  $G_\Delta$  which exchanges  $p_1$  and  $p_2$ . But this follows immediately by considering the quadrangle  $p_1, p_2, L \cap p_2p_3, L \cap p_1p_3$ .

Now we prove Proposition 2.4. Let  $\Gamma = (\mathcal{P}, \mathcal{L}, \mathcal{I})$  be an affine plane and  $G$  a collineation group acting on  $\Gamma$  in such a way that, for every triangle  $\Delta$  of  $\Gamma$ , the stabilizer  $G_\Delta$  has order 6 and acts faithfully on the set of vertices of  $\Delta$ .

We claim that  $G$  acts 2-transitively on the point set  $\mathcal{P}$ . Indeed, the stabilizer  $G_x$  of a point  $x$  can map a point  $y \neq x$  onto any point  $z$  not on the line  $xy$  (this can be done using an involution in the triangle with vertices  $x, y, z$ ). Applying this twice, we can map  $y$  onto any point of the line  $xy$  distinct from  $x$ . The claim follows. In particular,  $G$  acts transitively on the line set  $\mathcal{L}$ .

We will freely talk about points at infinity and the line  $L_\infty$  at infinity of  $\Gamma$ , referring in fact to the points and line of the projective completion  $\Gamma^c$  of  $\Gamma$  that are not contained in  $\Gamma$ .

Let  $p$  be any point at infinity of  $\Gamma$ , and let  $L$  be any line of  $\Gamma$  incident with  $p$ . Let  $\Delta = \{a, b, c\}$  be a triangle of  $\Gamma$  chosen in such a way that both  $a$  and  $b$  are incident with  $L$ . There is a unique involution  $\sigma(a, b, c) \in G$  fixing  $c$  and interchanging  $a$  with  $b$ . This involution cannot be a Baer involution (otherwise it would fix a triangle pointwise), hence it has an axis and a center. Considering it as an involution in  $\Gamma^c$ , we see that, since the lines  $L, pc$  and  $L_\infty$  are fixed, the point  $p$  is the center. Hence the axis is some line  $M$  incident with the point  $c$ .

We claim that  $\Gamma$  is  $(p, L)$ -transitive. To show this, let  $R$  be any line of  $\Gamma$  through  $a$  distinct from  $L$ , and not parallel to  $bc$ . We show that there exists a  $(p, L)$ -perspectivity mapping the line  $ac$  to  $R$ . Indeed, let  $c'$  be the intersection of  $R$  with  $bc$  and consider the involution  $\sigma(a, b, c')$ , which has also center  $p$ . The collineation  $\theta := \sigma(a, b, c)\sigma(a, b, c')$  has center  $p$ , fixes both  $a$  and  $b$  (and so the axis of  $\theta$



is  $L$ ) and maps  $ac$  to  $R$ . The claim follows if we can show that there is a  $(p, L)$ -perspectivity mapping  $ac$  to the line  $R'$  through  $a$  parallel to  $bc$ . But this follows from a similar argument interchanging the roles of  $b$  with some point  $b'$  on  $L$ , where  $a \neq b' \neq b$ . For this, we need at least three points on every line of  $\Gamma$ . But if  $\Gamma$  has only two points per line, then it is automatically Desarguesian and the theorem holds. We have shown the claim.

Since  $L$  was chosen arbitrarily,  $\Gamma$  is  $(p, L)$ -transitive for every line  $L$  through  $p$ ,  $L \neq L_\infty$ . Hence  $p$  is a translation point (see for instance Theorem 4.19 of [7]). So all points at infinity of  $\Gamma$  are translation points of  $\Gamma^c$  and hence  $\Gamma^c$  is a Moufang plane (see e.g. [7], page 151, Theorem 6.18). This means that  $\Gamma$  is coordinatized by an alternative division ring  $K$  (compare [7], page 139, or [15], Chapter 19, or [10] 3.5 and 6.1). The shears

$$\sigma_a : (x, y) \mapsto (x, y + ax) \text{ and } \sigma'_a : (x, y) \mapsto (x + ay, y)$$

with  $a \in K$  are in  $G$ , as we have shown. The collineation

$$\tau_a := \sigma'_1 \sigma_{a-1} \sigma'_{-a-1} \sigma_{a-a^2} \in G,$$

with  $0 \neq a \in K$ , is the map

$$\tau_a(x, y) = (a^{-1}x, ay),$$

see [11] 12.14, page 37, for the computation.

**Lemma 4.1.** *Let  $K$  be an alternative division ring, define  $\tau_a : K^2 \rightarrow K^2$  by  $\tau_a(x, y) = (a^{-1}x, ay)$  for  $0 \neq a \in K$ , and let  $H := \langle \tau_a \mid 0 \neq a \in K \rangle$ . If the stabilizer  $H_{(1,1)}$  is trivial, then  $K$  is associative (hence a skew field).*

*Proof of Lemma 4.1.* Proceeding indirectly, we assume that  $K$  is not associative. Then  $K = B + eB$ , with  $B$  a quaternion skew field such that  $\bar{e} = -e$ ,  $be = e\bar{b}$  and  $(ea)(eb) = e^2(b\bar{a})$ , for all  $a, b \in B$ , where  $\bar{\cdot}$  denotes an involutory antiautomorphism of  $K$  stabilizing  $B$ , compare [15], page 196, or [10] Chapter 6, or [16] Appendix B; we will also use the fact that  $e^2 = -\bar{e}e$  is in the center of  $K$ .

We consider nonzero elements  $a, b \in B$  with  $\bar{a} = -a$  and  $\bar{b} = -b$  and the two collineations  $\alpha := \tau_b \tau_a \in H$  and  $\beta := \tau_{(ba)e} \tau_{e^{-1}} \in H$ . We compute

$$\begin{aligned} \beta(1, 1) &= (((ba)e)^{-1}e, ((ba)e)e^{-1}) = ((\bar{e}ba)^{-1}e, ba) = (((\bar{b}\bar{a})^{-1}e^{-1})e, ba) = \\ &= ((\bar{a}\bar{b})^{-1}, ba) = (((-a)(-b))^{-1}, ba) = ((ab)^{-1}, ba) = (b^{-1}a^{-1}, ba) = \\ &= \alpha(1, 1). \end{aligned}$$

From our assumption on  $H$  we conclude that  $\alpha = \beta$ , in particular  $\alpha(0, a) = \beta(0, a)$ , and this means that

$$ba^2 = ((ba)e)(e^{-1}a) = (\bar{e}ba)(ea)e^{-2} = e^2 \overline{abae}^{-2} = aba,$$

hence  $ba = ab$ .

Thus any two elements of the set  $\{a \in B \mid \bar{a} = -a\}$  commute. This set is a vector space of dimension 3 over the center of  $B$  (the latter coincides with the center of  $K$ ) and therefore a generating set of the algebra  $B$ . Hence the quaternion skew field  $B$  is commutative, a contradiction, which completes the proof of Lemma 4.1.  $\square$

The collineations  $\tau_a \in G$  fix the point  $(0, 0)$  and the two lines  $K \times \{0\}$  and  $\{0\} \times K$  of  $\Gamma$ , hence also the points at infinity of these two lines. Therefore  $H_{(1,1)} \leq G$  fixes the triangle  $(0, 0), (1, 0), (0, 1)$  of  $\Gamma$  pointwise. Now Lemma 4.1 together with our assumption on  $G$  implies that  $K$  is a skew field, hence  $\Gamma = \text{AG}(2, K)$  is Desarguesian.

We have seen that  $G$  contains all translations  $(x, y) \mapsto (a + x, y + b)$  and the shears  $\sigma_a, \sigma'_a$  as above. These collineations generate the special affine group  $\text{ASL}(2, K)$ , see ARTIN [1] Theorem 4.3, p. 156 or DIEUDONNÉ [3] II.1. Furthermore  $G$  contains axial involutions  $\sigma(a, b, c)$  as above; each involution  $\sigma(a, b, c)$  belongs to  $\text{AGL}(2, K)$  and has (Dieudonné) determinant  $-1$  (modulo  $[K^\times, K^\times]$ ), even if  $K$  has characteristic 2. Hence  $\text{ASL}^\pm(2, K) \leq G$ . Since  $\text{ASL}^\pm(2, K)$  induces  $S_3$  on each triangle of  $\text{AG}(2, K)$  (note that  $\begin{pmatrix} 0 & a^{-1} \\ a & 0 \end{pmatrix}$  exchanges  $(1, 0)$  and  $(0, a)$ ), this completes the proof of Proposition 2.4.

For Corollary 2.3, we can use Proposition 2.4 to conclude that  $\Gamma = \text{AG}(2, K)$  and  $\text{ASL}(2, K) \leq G$ . Now  $\text{ASL}(2, K)$  acts doubly transitively on  $K^2$  and on the line at infinity, hence  $G = \text{ASL}(2, K)G_{(0,0),(0,1),K \times \{0\}}$ . By our assumption on  $G$ , the stabilizer  $G_{(0,0),(0,1),K \times \{0\}} \leq \Gamma\text{L}(2, K)$  acts faithfully and sharply transitively on  $K^\times \times \{0\}$  as a subgroup of  $\Gamma\text{L}(2, K) = \{x \mapsto ax^\alpha \mid a \in K^\times, \alpha \in \text{Aut } K\}$ , hence  $G_{(0,0),(0,1),K \times \{0\}} = \{(x, y) \mapsto (ax^{\alpha(a)}, y^{\alpha(a)}) \mid a \in K^\times\} =: N_\alpha$  for a uniquely determined map  $\alpha : K^\times \rightarrow \text{Aut } K$ . In fact,  $\alpha$  is a Dickson map since  $G_{(0,0),(0,1),K \times \{0\}}$  is closed under multiplication. We obtain  $G = \text{ASL}(2, K)N_\alpha = \{(A, \alpha(\det A)) \mid A \in \text{AGL}(2, K)\} = G_\alpha$ . The intersection  $\text{ASL}(2, K) \cap G_{(0,0),(0,1),K \times \{0\}} = \text{SL}(2, K)_{(0,1),K \times \{0\}}$  consists of all matrices  $\begin{pmatrix} a & 0 \\ 0 & 1 \end{pmatrix}$  with  $a \in [K^\times, K^\times]$ , hence  $\alpha$  is trivial on  $[K^\times, K^\times]$ .

Conversely, each group  $G_\alpha$  acts sharply transitively on the set of ordered triangles in  $\text{AG}(2, K)$ , which completes the proof of Corollary 2.3.

Thus we have proved Theorem 2.1, Corollaries 2.2, 2.3 and Proposition 2.4.

## 5 Proofs of Corollaries 2.5 and 2.6

Concerning Corollary 2.6, we fix a line  $L$  of  $\Gamma$  and consider any ordinary  $(m + 1)$ -gon  $\gamma$  containing  $L$ . The stabilizer  $G_{\gamma,L}$  clearly has order 2. It follows from Theorem 2.1 (or more directly from Proposition 3.1) that  $m = 3$ . Let  $Q$  be a quadrangle of  $\Gamma$ . There are three ways to make  $Q$  into an ordinary 4-gon, hence Condition (\*\*\*) implies that  $G_Q$  contains three distinct dihedral subgroups of order 8, which generate the symmetric group  $S_4$  on  $Q$ . Thus we have reduced Corollary 2.6 to Corollary 2.5.

Now we prove Corollary 2.5. We apply Theorem 2.1 (or more directly, Proposition 2.4) to the stabilizer  $G_L$  of any line  $L$ , and we conclude that  $\Gamma$  is a Desarguesian projective plane, i.e.  $\Gamma \cong \text{PG}(2, K)$  for some skew field  $K$ , and that  $G$  contains all elations of  $\Gamma$ . Hence  $G$  contains the little projective group  $\text{PSL}(3, K)$ , see e.g. ARTIN [1] Theorem 4.3, p. 156 or DIEUDONNÉ [3] II.1. In particular,  $G$  contains all collineations which are induced by diagonal matrices  $\text{diag}(c, c, c)$  with  $c \in [K^*, K^*]$  (compare [1] Theorem 4.2, p. 155 or [3] II.1). These collineations fix a quadrangle, hence they are trivial by our assumption on  $G$ . This means that each commutator  $c \in [K^*, K^*]$  is contained in the center of  $K$ . According to a theorem of Hua [6], compare also [2] 3.9.1, p. 144, this implies that  $K$  is commutative, i.e. a field, and then  $\Gamma$  is Pappian.

For each field  $F$ , the group  $G = \text{PSL}(3, F)$  satisfies the assumptions of Corollaries 2.5 and 2.6, because the matrix  $\begin{pmatrix} 0 & -1 & 0 \\ -1 & 0 & 0 \\ 0 & 0 & -1 \end{pmatrix} \in \text{SL}(3, F)$  and its conjugates under  $\text{GL}(3, F)$  induce any given involution on any given quadrangle of  $\text{PG}(2, F)$ .

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