# Characterizations for classical finite hexagons

Leen Brouns

Hendrik Van Maldeghem<sup>\*</sup>

#### Abstract

We characterize some classical finite hexagons as the only generalized hexagons containing ovoidal subspaces all of whose points are spanregular.

# 1 Introduction

A generalized n-gon  $\Gamma = (\mathcal{P}, \mathcal{B}, \mathbf{I})$  of order (s, t) is an incidence structure of points and lines with s+1 points incident with a line and t+1 lines incident with a point,  $s, t \geq 1$ , such that  $\Gamma$  has no ordinary k-gons for any  $2 \leq k < n$ , and any two elements are inside some ordinary n-gon. Distances are measured in the incidence graph.

If two points x, y are at distance 2, we call them *collinear* and write  $x \sim y$ .

If two points x, y are at distance 4 and n > 4, the unique point at distance 2 from x and at distance 2 from y is denoted by  $x \bowtie y$ .

If two elements u, v are at distance k < n, we denote the unique element at distance 1 from x and at distance k - 1 from y by  $\operatorname{proj}_x y$ , and call this the *projection of* y *onto* x.

The set of all elements at distance i from an element u is denoted by  $\Gamma_i(u)$ .

The trace  $x^y$ , with x and y opposite elements (= at maximal distance n), is the set of all elements (t+1 if x is a point) at distance 2 from x and distance n-2 from y. The point x is said to be regular, if  $\forall y, z$  opposite x,  $|x^y \cap x^z| \ge 2 \Rightarrow x^y = x^z$ . This implies that two traces  $x^{y_1}$  and  $x^{y_2}$  with x regular have 0, 1 or t+1 points in common.

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<sup>\*</sup>The second author is a Research Director of the Fund for Scientific Research – Flanders (Belgium)

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The point x is said to be spannegular, if x is regular and for all points p, a, b with d(x, p) = 2, d(p, a) = n,  $d(p, b) = n : x \in p^a \cap p^b$ ,  $|p^a \cap p^b| \ge 2 \Rightarrow p^a = p^b$ . One could give the following interpretation: x is spannegular if x is regular and every point collinear with x behaves as a regular point in the neighbourhood of x.

Given some trace  $p^a$  with  $u, v \in p^a$ , we have the equivalent notations  $p^a = (u \bowtie v)^a = \langle u, v \rangle_a$ . The trace  $\langle u, v \rangle_a$  through u and v and defined by a is called an *ideal line*, if every trace  $\langle u, v \rangle_b$  through u and v coincides with  $\langle u, v \rangle_a$ . So we can use the notation  $\langle u, v \rangle$  — independent of a — if this trace is an ideal line.

A sub-*n*-gon  $\Gamma'$  of order (s', t') of a generalized *n*-gon  $\Gamma$  of order (s, t) is a subgeometry of  $\Gamma$  which is itself a generalized *n*-gon of order (s', t'). If s' = s,  $\Gamma'$  is called *full*. If t' = t,  $\Gamma'$  is called *ideal*. A generalized *n*-gon of order (s, t) is called *thin*, whenever s or t is equal to 1, and is called *thick* whenever  $s, t \geq 2$ .

# 2 Definition of ovoidal subspace

An ovoidal subspace  $\mathcal{A}$  of a generalized 2m-gon  $\Gamma = (\mathcal{P}, \mathcal{L}, \mathbf{I})$  is a proper non-empty set of points  $\mathcal{A} \subset \mathcal{P}$ , with an induced set of lines  $\mathcal{A}' = \{L \in \mathcal{L} \mid \Gamma_1(L) \subset \mathcal{A}\}$ , such that all elements of  $\Gamma$  are at distance  $\leq m$  from a certain point of  $\mathcal{A}$ , and such that for all elements of  $\Gamma \setminus (\mathcal{A} \cup \mathcal{A}')$  at distance < m from a certain point p of  $\mathcal{A}$ , this point p is unique.

The notion 'ovoidal' is inspired by the ovoids, being special cases of ovoidal subspaces.

To show the likeness between the definition of  $\Gamma$  itself and the definition of an ovoidal subspace of  $\Gamma$ , we define the distance between a point b and a point set  $\mathcal{A}$  as  $d(b, \mathcal{A}) = \min\{d(b, a) | a \in \mathcal{A}\}$ . Then we can formally write their respective definitions as follows (disregarding the order (s, t)):

- $\begin{array}{c|c} \hline & (1) \text{ Given } a; \max\{d(a,b)|b \text{ element of } \Gamma\} = 2m \\ (2) \text{ Given } a; \forall b \text{ element of } \Gamma: d(a,b) < 2m \\ \Rightarrow \exists \text{ unique shortest path between } a, b \end{array}$
- $\begin{array}{|c|c|} \hline \mathcal{A} \end{array} (1) \text{ Given } \mathcal{A}; \max\{d(\mathcal{A}, b) | b \text{ element of } \Gamma\} = m \\ (2) \text{ Given } \mathcal{A}; \forall b \text{ element of } \Gamma \backslash (\mathcal{A} \cup \mathcal{A}') : d(\mathcal{A}, b) < m \end{array}$ 
  - $\Rightarrow \exists$  unique shortest path between  $\mathcal{A}, b$

For  $\Gamma$  a generalized quadrangle of order (s, t), an ovoidal subspace is the same as a geometric hyperplane  $\mathcal{A}$ , which is defined as a set of points such that every line intersects  $\mathcal{A}$  in exactly 1 or s+1 points. One can easily show that  $\mathcal{A}$  is an ovoid  $(\forall L : |L \cap \mathcal{A}| = 1)$ , the point set of a subquadrangle of order (s, t'), st' = t (called a grid if t' = 1), or the set of all points collinear with a given point.

For  $\Gamma$  a generalized quadrangle of order s (i.e. order (s, s)), it is known that all points are regular (and then  $\Gamma$  is known, i.e.  $\Gamma$  is the generalized quadrangle W(s) arising from a symplectic polarity of PG(3, s)) iff all points of a geometric hyperplane  $\mathcal{A}$  are (span-)regular. For these proofs we refer to Payne & Thas [3]: 5.2.5 ( $\mathcal{A}$  an ovoid), 5.2.6 ( $\mathcal{A}$  the point set of a grid), 1.3.6(iv) ( $\mathcal{A}$  the set of all points collinear with a given point x).

We extend this theorem to generalized hexagons.

# 3 Main Result

We will use the following notations for the known finite generalized hexagons:

- H(q) the split Cayley hexagon (of order (q, q)) over the finite field GF(q), cfr. [6], par. 2.
- $T(q, \sqrt[3]{q})$  the triality hexagon (of order  $(q, \sqrt[3]{q})$ ) over the finite field GF(q) with field automorphism  $\sigma : x \mapsto x^3$ .

## Main Result

Let  $\Gamma$  be a generalized hexagon of order (s,t) having an ovoidal subspace  $\mathcal{A}$ , satisfying

(\*) any 2 opposite points of  $\Gamma$  are contained in a thin ideal subhexagon  $\mathcal{D}$ , then all points of  $\mathcal{A}$  are spanregular  $\Leftrightarrow \Gamma \cong H(q)$  or  $T(q, \sqrt[3]{q})$ .

From the proof, it will follow that the condition  $(\star)$  becomes superfluous in certain cases.

## 4 Preparations for the proof of the Main Result

### 4.1 Equivalent definition of ovoidal subspaces in generalized hexagons

**Lemma 1** Let  $\Gamma = (\mathcal{P}, \mathcal{L}, I)$  be a generalized hexagon of order (s, t). An ovoidal subspace  $\mathcal{A}$  is a set of points such that each point of the hexagon not in  $\mathcal{A}$ , is collinear with a unique point of  $\mathcal{A}$ .

**Proof.**  $\implies$  Take  $x \in \Gamma \setminus \mathcal{A}$ . As the distance between 2 points is even, x is at distance 2 from a certain point p of  $\mathcal{A}$ . By the second condition, this point p is unique.

 $\overleftarrow{\in}$  Take  $x \in \Gamma$ . If  $x \in \mathcal{A}$ , it is at distance  $0 \leq 3$  from a point of  $\mathcal{A}$ . If  $x \notin \mathcal{A}$ , it is at distance 2 < 3 from a unique point of  $\mathcal{A}$ .

We will use the following properties of ovoidal subspaces of generalized hexagons frequently.

- Whenever a line meets  $\mathcal{A}$  in 2 points, all points of the line belong to  $\mathcal{A}$  because they are collinear with two different points of  $\mathcal{A}$ .
- Whenever two points x, y at distance 4 belong to  $\mathcal{A}, x \boxtimes y$  belongs also to  $\mathcal{A}$  (in the other case,  $x \boxtimes y$  would be collinear with 2 points of  $\mathcal{A}, x \boxtimes y$  being off  $\mathcal{A}$ ).

## 4.2 Classification of ovoidal subspaces in generalized hexagons

**Theorem 1** An ovoidal subspace of a generalized hexagon of order (s,t) is either an ovoid, or the set of all points at distance 1 or 3 from a given line L, or the point set of a full generalized subhexagon of order  $(s, \sqrt{\frac{t}{s}})$ .

## Proof.

- 1. If every point, lying inside or outside  $\mathcal{A}$ , is collinear with exactly one point of  $\mathcal{A}$ , the subspace  $\mathcal{A}$  is an ovoid by definition.
- 2. Suppose there is a point in  $\mathcal{A}$ , collinear with a second point of  $\mathcal{A}$ ; this means, suppose  $\mathcal{A}$  contains a line L.
  - (a) We show that for 2 points of  $\mathcal{A}$ , their distance  $d_{\mathcal{A}}$  measured in  $\mathcal{A}$  will be the same as their distance  $d_{\Gamma}$  measured in  $\Gamma$ , provided we add to  $\mathcal{A}$  all lines N of  $\Gamma$  with  $\Gamma_1(N) \subseteq \mathcal{A}$ . Say  $x, y \in \mathcal{A}$ . If  $d_{\Gamma}(x, y) < 6$ , the unique path of length  $d_{\Gamma}$  between x and y also belongs

to  $\mathcal{A}$ . It follows that  $d_{\Gamma}(x, y) = d_{\mathcal{A}}(x, y)$ . Suppose  $d_{\Gamma}(x, y) = 6$ . 1 Suppose  $d_{\Gamma}(x, L) = 5 = d_{\Gamma}(y, L)$ . Draw the

unique path  $(x, x_2, x_2, x_2x_3, x_3, L)$ . As  $d(x, x_3) = 4$  and  $x, x_3 \in \mathcal{A}$ , we know that all points of this path belong to  $\mathcal{A}$ . As  $d_{\Gamma}(y, xx_2) = 5$ , we can project y onto  $xx_2$ , and call this projection y'. As d(y, y') = 4 and  $y, y' \in \mathcal{A}$ , all points of the path between y and y' belong to  $\mathcal{A}$ . So we constructed a path in  $\mathcal{A}$  of length 6 between x and y:  $d_{\Gamma}(x, y) = d_{\mathcal{A}}(x, y)$ . 2 For  $d_{\Gamma}(x, L) \neq 5$  or  $d_{\Gamma}(y, L) \neq 5$ , the proof is completely similar.

- (b) Now we claim that there are two points of  $\mathcal{A}$  at distance 6 from each other. Take a point p of  $\Gamma$ , at distance 5 of L and denote the joining path by  $(p, pp_2, p_2, p_2p_3, p_3, L)$ . 1 If  $p \in \mathcal{A}$ , one can find s pairs (p, u),  $u \in L$ , with u at distance 6 from p. 2 If  $p \notin \mathcal{A}$ , p is collinear with a unique point x of  $\mathcal{A}$ . a If  $x = p_2$ , then take a point q collinear with p, but not on  $pp_2$ . This point q does not belong to  $\mathcal{A}$  (as p is collinear with p, but not on  $pp_2$ . This point q does not belong to  $\mathcal{A}$  (as p is collinear  $(x, xp_2, p_2, p_2p_3, p_3, L)$ ) belongs to  $\mathcal{A}$ , and so does p, a contradiction. C If  $x \notin pp_2$ , then  $d(x, p_3) = 6$ .
- (c) At this point, we know 2 points of  $\mathcal{A}$  at distance 6 (in  $\mathcal{A}$ ), say x and y. So  $\mathcal{A}$  contains at least one path (x, xx', x', M, y', y'y, y) between x and y (by (a)).

If  $\mathcal{A}$  contains an appartment, it is a full subhexagon of order (s, t'). By Thas [5], we know  $st'^2 = t$ . (Using the notations of the article mentioned, we know  $P' = \text{point set of } \mathcal{A}, V = P$  and  $W = \phi$ . So |W| = d = 0, hence  $t = st'^2$  if s = s'.) If  $\Gamma$  has order  $s, \mathcal{A}$  will be of order (s, 1).

If  $\mathcal{A}$  does not contain any appartment, we show that  $\mathcal{A} = \Gamma_1(M) \cup \Gamma_3(M)$ . 1] We show that every point of  $\mathcal{A}$  is at distance  $\leq 3$  from M.

Suppose  $z \in \mathcal{A}, z \in \Gamma_5(M)$ ,  $\operatorname{proj}_M z = z'$ . Without loss of generality,  $z' \neq y'$ , so d(z, yy') = 5. As  $\operatorname{proj}_{yy'} z = y''$  belongs to  $\mathcal{A}$ , there are 2

paths of length 6 joining z and y'. This is an appartment, and hence a contradiction.

[2] We show that every point of  $\Gamma$  at distance  $\leq 3$  from M belongs to  $\mathcal{A}$ . Suppose  $u \notin \mathcal{A}, u \in \Gamma_3(M)$ ,  $\operatorname{proj}_M u = u'$ . Take a point z collinear with u, at distance 5 from M. As  $z \notin \mathcal{A}$  (by the previous section), z is collinear with a unique point z' of  $\mathcal{A}$ . If  $z' \in \Gamma_3(M)$ , then there is a pentagon with edges  $\{z', z, u, u', u' \bowtie z'\}$  (if d(u', z') = 4) or a quadrangle (if d(u', z') = 2). If  $z' \in \Gamma_1(M)$ , it's even worse: a quadrangle or a triangle arises.

# 5 Proof of the Main Result

## 5.1 Organization

By the previous classification, we distinguish 3 different types of ovoidal subspaces in a generalized hexagon. We will consider each of them separately in the proof of the Main Result. Our proof is organized as follows:

1. To start with, we let  $\mathcal{A}$  be an ovoid. As for all known finite generalized hexagons, it are only the ones with order s = t which possibly possess an ovoid, we first consider this particular case. In fact, this proof is already known. The main idea is to count the thin ideal subhexagons  $\mathcal{D}$  of the given hexagon  $\Gamma$ . This counting argument (1) can be written as follows:

$$X \le \beta \le Y$$

with

- X the number of pairs of opposite points through which there exists a  $\mathcal{D}$  containing 2 points of  $\mathcal{A}$ ;
- $\beta$  the number of pairs of opposite points through which there exists a  $\mathcal{D}$ ;
- Y the number of pairs of opposite points.

Whenever  $\boxed{1} X = \beta$ , each  $\mathcal{D}$  contains 2 points of  $\mathcal{A}$ . Whenever  $\boxed{2} \beta = Y$ , we know that through each  $x, y \in \mathcal{P}$ , there is a  $\mathcal{D}$ .

For  $\mathcal{A}$  being an ovoid in  $\Gamma$  of order s, condition 1 as well as condition 2 will be satisfied. Hence the Main Result holds without condition (\*).

2. Then we consider  $\mathcal{A} = \Gamma_1(L) \cup \Gamma_3(L)$ ,  $\Gamma$  of order s. In lemma 2 we do approximately the same counting as mentioned before, and — as s = t — we conclude that 1 and 2 are satisfied. Hence the second part of the proof of the Main Result is completely similar to the first part. Here, too, the condition (\*) is redundant.

- Let A be the point set of a full subhexagon in the third part. Here we can prove that Γ should be of order s, while A has order (s, 1). Indeed, if Γ of order (s, t) contains a subhexagon A of order (s, t'), we know t' ≤ s ≤ t (see [6] 1.8.8). As Γ has spanregular points, we know t ≤ s (see [6] 1.9.5). So t = s, and t' = 1 ([6]). Unfortunately, we cannot use the same counting argument (1), as X is never equal to Y if A is a thin full subhexagon. Nevertheless, we are able to rearrange the proof with only half of the countingargument: we assume that 2 β = Y (this is exactly condition (\*)), and we don't use the (wrong) assumption 1 that X = β.
- 4. But by now, we can also re-arrange the proof in case of  $\mathcal{A} = \Gamma_1(L) \cup \Gamma_3(L)$ : we don't require s to be equal to t, but we assume condition ( $\star$ ). Only using condition 2, we are still able to complete the proof.
- 5. At last, we can technically do the same for  $\mathcal{A}$  being an ovoid. Suppose you don't know anything of the order (s, t) of  $\Gamma$ , then assuming condition  $(\star)$  the Main Result is still true. (However, it is known  $T(q, \sqrt[3]{q})$  does not have an ovoid.)

## **5.2** $\mathcal{A}$ an ovoid, $\Gamma$ of order s

**Theorem 2** Let  $\Gamma$  be a finite generalized hexagon of order s containing an ovoid  $\mathcal{A}$ . Every point of  $\mathcal{A}$  is spanregular  $\Leftrightarrow \Gamma$  is isomorphic to H(q), q = s.

**Proof.** This proof is given by V. De Smet and H. Van Maldeghem in [2]. ■

## **5.3** $\mathcal{A} = \Gamma_1(L) \cup \Gamma_3(L)$ , $\Gamma$ of order *s*.

For this part of the proof, we will use a similar counting argument (lemma 2) as used in [2].

**Lemma 2** Let  $\Gamma$  be a finite generalized hexagon of order (s,t), which contains a set  $\mathcal{A} = \Gamma_1(M) \cup \Gamma_3(M)$  for which all points are spannegular. Then every thin ideal subhexagon of  $\Gamma$  contains 2 collinear points of  $\mathcal{A}$  if and only if s = t.

## Proof.

- 1. First we count the thin ideal subhexagons containing M. There are  $\frac{(s+1)s^3t^2}{2}$  sets  $\{u, v\}$  of opposite points in  $\mathcal{A}$ . As u is spanregular, there is a thin ideal subhexagon through u and v, named  $\Gamma(u, v)$ , containing M (see [6] 1.9.10). But in every ideal subhexagon  $\Gamma(u, v)$ , one can find  $t^2$  sets  $\{u', v'\}$  of opposite points in  $\mathcal{A}$ . So there are  $\frac{s^3(s+1)}{2}$  thin ideal subhexagons containing M and hence containing 2 + 2t points of  $\mathcal{A}$ .
- 2. Now we count the thin ideal subhexagons  $\mathcal{D}$  containing two collinear points u, v of  $\Gamma_3(M)$ . Hence M is not a line of  $\mathcal{D}$ , as there are only 2 points on the line uv in  $\mathcal{D}$ . We count in 2 different ways the couples  $(\{u, v\}, \mathcal{D})$ , with  $\{u, v\}$

a set of collinear points in  $\Gamma_3(M)$ , and  $\mathcal{D}$  a thin ideal subhexagon containing u and v (as u is spanregular, there will be an ideal subhexagon through u). Denoting the number of  $\mathcal{D}$ 's by X, it follows that

$$\frac{(s+1)s(s-1)t}{2} \cdot s^2 = 1 \cdot X$$

3. Now we compare these 2 quantities with the total number of thin ideal subhexagons in  $\Gamma$ . We count the pairs  $(\{u, v\}, \mathcal{D})$  with  $\{u, v\}$  a set of opposite points in  $\Gamma$ , and  $\mathcal{D}$  a thin ideal subhexagon containing u and v. Denoting the total number of  $\mathcal{D}$ 's by  $\alpha$ , and noting that for each set  $\{u, v\}$  there is at most 1 subhexagon  $\mathcal{D}$ , we know

$$\frac{(1+s)(1+st+s^2t^2)s^3t^2}{2} \cdot 1 \ge \frac{2(1+t+t^2)t^2}{2} \cdot \alpha$$

The total number of thin ideal subhexagons containing 2 (collinear) points of  $\mathcal{A}$  will be less than or equal to  $\alpha$ :

$$\frac{(s+1)s^3}{2} + \frac{(s+1)s^3t(s-1)}{2} \le \alpha \le \frac{(1+s)(1+st+s^2t^2)s^3}{2(1+t+t^2)} \tag{1}$$

Equality in both cases is satisfied if and only if  $t^2(t-s)(s-1) = 0$ .

For s = t, we can conclude two things: the equality between the first and second quantity expresses that every  $\mathcal{D}$  contains 2 collinear points of  $\mathcal{A}$ ; while the second equality expresses that through every 2 points of  $\Gamma$ , there is a thin ideal subhexagon  $\mathcal{D}$ .

## Corollary

Let  $\Gamma$  be a finite generalized hexagon of order (s,t), which contains a set  $\mathcal{A} = \Gamma_1(M) \cup \Gamma_3(M)$  for which all points are spanregular. Then, through every 2 points at distance 6, there exists 1 thin ideal subhexagon; through every 2 points at distance 4, there are s thin ideal subhexagons; through every 2 points at distance 2, there are  $s^2$  thin ideal subhexagons; through every point, there are  $s^3$  thin ideal subhexagons.

**Theorem 3** Let  $\Gamma = (\mathcal{P}, \mathcal{L}, I)$  be a finite generalized hexagon of order s. Consider the set  $\mathcal{A}$  consisting of all points at distance 1 or 3 of a certain line. Every point of  $\mathcal{A}$  is spannegular  $\Leftrightarrow \Gamma$  is isomorphic to H(q), q = s.

#### Proof.

- $\leftarrow$  This follows from Ronan [4].
- ⇒ Due to Ronan [4] we have to prove all traces of  $\Gamma$  are ideal lines. So, for 2 points  $x, y \in \mathcal{P}$  with  $d(x, y) = 4, z = x \bowtie y$  we must prove that  $z^w$ ,  $w \in \Gamma_4(x) \cap \Gamma_4(y) \cap \Gamma_6(z)$ , is independent of w.

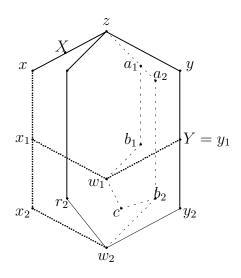


Figure 1:  $X \neq Y, X = Z$ 

From the corollary, it follows that there are s thin ideal subhexagons  $\mathcal{D}_i$  containing x and y. They can be obtained by choosing a point  $y_i$  on a line through y at distance 5 from x and they all contain 2 collinear points of  $\mathcal{A}$ . Since there is only one trace  $z^w$  in  $\mathcal{D}_i$  (there are only 2 points on a line),  $z^w = z^{w'}, \forall w, w' \in \Gamma_4(x) \cap \Gamma_4(y) \cap \mathcal{D}_i$ . So we have to prove that  $z^{w_1} = \ldots = z^{w_s}$ with  $w_i \in \mathcal{D}_i$ .

If  $x \notin \mathcal{A}$ , we denote the unique point of  $\mathcal{A}$  collinear with x by a capital letter X, possibly with some index i (depending on the thin ideal subhexagon  $\mathcal{D}_i$  where this point belongs to). The same for  $y \sim Y$  and  $z \sim Z$ . We denote the line yY by L.

- (a) If  $x \in \mathcal{A}$  or  $y \in \mathcal{A}$  then it is immediate that  $z^w$  is ideal.
- (b) If X = Y = z then it is immediate that  $z^w$  is ideal.
- (c) Suppose  $X \neq Y, X = Z$ .

With every point  $y_i \in L \setminus \{y\}$  (with  $y_1 = Y$ , without loss of generality), there corresponds a thin ideal subhexagon  $\mathcal{D}_i$  through x, y and  $y_i$ . First we look at  $\mathcal{D}_1$  and the hyperbolic line  $\langle x, y \rangle_1$  in  $\mathcal{D}_1$ . We will show that the hyperbolic lines  $\langle x, y \rangle_i$  in the other  $\mathcal{D}_i$ 's are the same.

Let  $y_2$  be a point of  $L \setminus \{y, y_1\}$  and let  $\mathcal{D}_2$  be the thin ideal subhexagon through x, y and  $y_2$ . By lemma 2, each  $\mathcal{D}_i$  contains 2 collinear points of  $\mathcal{A}$ , say  $r_i$  and  $s_i$ . If  $d(r_i s_i, z) = 5$  and  $\operatorname{proj}_{r_i s_i} z = r_i$ , then  $d(r_i, z) = 4$ . If  $d(r_i s_i, z) = 3$  and  $\operatorname{proj}_{r_i s_i} z = r_i$ , then  $d(s_i, z) = 4$ . If  $d(r_i s_i, z) = 1$ , then  $r_i = s_i$  or  $r_i = z$ , a contradiction. So z is at distance 4 from one of these 2 points; say at distance 4 from  $r_i$ . Let  $\mathcal{D}_i = \mathcal{D}_2$ . Since  $r_2$  and L are in  $\mathcal{D}_2$ , also the shortest path between them lies in  $\mathcal{D}_2$ . So the projection of  $r_2$  onto L should be  $y_2$  (as  $d(r_2, y) = 6$ ), and we denote  $r_2 \bowtie y_2$  by  $w_2$ . As  $d(w_2, xz) = 5$ , also the path between  $w_2$  and x belongs to  $\mathcal{D}_2$ . Say  $x_2 := w_2 \bowtie x$ .

Denote  $\operatorname{proj}_{xx_2}y_1$  by  $x_1$ , and  $x_1 \bowtie y_1$  by  $w_1$ . Suppose that  $\langle x, y \rangle_2 = z^{w_2}$  is

different from  $\langle x, y \rangle_1 = z^{w_1}$ . So there is a line N through z on which the point  $a_1$  at distance 4 from  $w_1$  is different from the point  $a_2$  at distance 4 from  $w_2$ . Denote  $a_i \bowtie w_i$  by  $b_i$ . One can show (see [6] 1.9.9) that whenever a trace contains a spanregular point, this trace is an ideal line. As  $y_1$  and  $r_2$  are spanregular, we have ideal lines  $\langle x_1, y_1 \rangle$  and  $\langle x_2, y_2 \rangle$ . So  $w_1^z = w_1^{w_2}$  and  $w_2^z = w_2^{w_1}$ . As  $b_2 \in w_2^z = w_2^{w_1}$ ,  $d(b_2, w_1) = 4$ . Denote  $b_2 \bowtie w_1$  by c. As  $c \in w_1^{w_2} = w_1^z$ , d(c, z) = 4. But d(c, z) = 6 as one supposed that  $(z, za_2, a_2, a_2b_2, b_2, b_2c, c)$  is a path of length 6. So this is a contradiction. To solve this,  $a_1$  should be  $a_2$ , and hence  $b_1 = c$ , and  $a_1, b_1, b_2$  are collinear.

- (d) Suppose  $X \neq Y, Y = Z$ . Similar to the previous case.
- (e) Suppose  $X \neq Y \neq Z \neq X$ . If  $Z \in z^w$  for some  $w \in \Gamma_4(x) \cap \Gamma_4(y) \cap \Gamma_6(z)$ then  $\langle x, y \rangle_w$  is ideal since it contains the spanregular point Z. If not, take a point  $w \in \Gamma_4(x) \cap \Gamma_4(y) \cap \Gamma_6(z)$  and put  $\operatorname{proj}_{zZ} w = t$ . By case (c) (with x replaced by t, and with X replaced by T = Z), we have that  $\langle t, y \rangle_w$  is ideal, so  $\langle x, y \rangle_w$  is ideal.

## 5.4 A a full subhexagon, and conditon (\*) is satisfied

**Theorem 4** Let  $\Gamma = (\mathcal{P}, \mathcal{L}, I)$  be a finite generalized hexagon of order (s, t). Consider a proper full subhexagon  $\mathcal{A}$  of  $\Gamma$ , and suppose there is a thin ideal subhexagon  $\mathcal{D}$  through any 2 points of  $\Gamma$ . Then every point of  $\mathcal{A}$  is spanregular  $\Leftrightarrow \Gamma$  is isomorphic to H(q), q = s = t, with q a power of 3.

#### Proof.

 $\leftarrow$  This follows from Ronan [4].

 $\implies$  By the preliminary remark in lemma 2, we know that  $\Gamma$  has order s, and  $\mathcal{A}$  is thin.

If s = 2, the result is trivially true by Cohen and Tits [1]. Hence we may assume s > 2.

Due to Ronan [4] we have to prove that  $\Gamma$  has ideal lines. So, for 2 points  $x, y \in \mathcal{P}$  with  $d(x, y) = 4, z = x \bowtie y$  we must prove that  $\langle x, y \rangle_w = z^w, w \in \Gamma_4(x) \cap \Gamma_4(y) \cap \Gamma_6(z)$ , is independent of w.

As we supposed that any 2 opposite points are contained in a thin ideal subhexagon  $\mathcal{D}$ , there are  $s \mathcal{D}_i$ 's containing x and y. They can be obtained by choosing a point  $y_i \neq y$  on a fixed line through y at distance 5 from x. Since there is only one trace  $z^w$  in  $\mathcal{D}_i$ ,  $z^w = z^{w'} \forall w, w' \in \Gamma_4(x) \cap \Gamma_4(y) \cap \Gamma_6(z) \cap \mathcal{D}_i$ . So we have to prove that  $z^{w_1} = \ldots = z^{w_s}$  with  $w_i \in \mathcal{D}_i$ .

If  $x \notin \mathcal{A}$ , we denote the unique point of  $\mathcal{A}$  collinear with x by a capital letter X and some index i (depending on the thin ideal subhexagon  $\mathcal{D}_i$  where this point belongs to). The same for  $y \sim Y$  and  $z \sim Z$ .

- 1. If  $x \in \mathcal{A}$  or  $y \in \mathcal{A}$  then it is immediate that  $z^w$  is ideal.
- 2. If X = Y = z then it is immediate that  $z^w$  is ideal.
- 3. Suppose  $X \neq Y \neq Z \neq X$  and d(X,Y) = 6, so  $\mathcal{D}_1 = \Gamma(y,X) \neq \Gamma(x,Y) = \mathcal{D}_2$ . Attaching indices, we get  $X = X_1, Y = Y_2$ . We denote  $\operatorname{proj}_{xX_1}Y_2$  by  $x_2$ ,  $\operatorname{proj}_{yY_2}X_1$  by  $y_1$ , and  $w_1 := X_1 \bowtie y_1, w_2 := x_2 \bowtie Y_2$ .

Take a point  $w_3 \in \Gamma_3(xX_1) \cap \Gamma_3(yY_2)$ ,  $w_3 \neq z$ , and suppose  $\Gamma(z, w_3) = \mathcal{D}_3$  not equal to  $\mathcal{D}_1$  or  $\mathcal{D}_2$ . We show that  $z^{w_1} = z^{w_2} = z^{w_3}$ . As  $X_1 \in w_1^z$  and  $Y_2 \in w_2^z$ , these traces are ideal lines. So  $w_1^z = w_1^{w_2} = w_1^{w_3}$  and  $w_2^z = w_2^{w_1} = w_2^{w_3}$ . Using the same arguments (and notations) as in the proof of theorem 3 case (c), we know that  $z^{w_1} = z^{w_2}$  and also  $w_3^{w_1} = w_3^{w_2}$ . Using this knowledge, we show that  $z^{w_1} = z^{w_3}$ .

Suppose  $z^{w_1} \neq z^{w_3}$ ; this means there is a line N through z on which the point  $a_1 = a_2$  at distance 4 from  $w_1$  (and  $w_2$ ) is different from the point  $a_3$  at distance 4 from  $w_3$ . Denote  $a_i \bowtie w_i$  by  $b_i$ . In the proof of theorem 3, we showed already that  $a_1, b_1$  and  $b_2$  are collinear. As  $b_1 \in w_1^z = w_1^{w_3}, d(b_1, w_3) = 4$ . Similarly  $d(b_2, w_3) = 4$ . But then we have a pentagon, a quadrangle or a triangle, unless  $w_3 \bowtie b_2 = w_3 \bowtie b_1$  and  $w_3 \bowtie b_i \sim b_i$ , i = 1, 2. Conclusion:  $d(b_1b_2, w_3) = 3$  and  $a_1 = a_2 = a_3$ .

4. Suppose  $X \neq Y \neq Z \neq X$  with d(X,Y) = 4, and suppose  $s \ge 4$ . So the path between  $X = X_1$  and  $Y = Y_1$  belongs also to  $\mathcal{A}$  and we can denote  $X_1 \bowtie Y_1$ by the capital letter  $W_1$ . Take a point  $w_3 \in (\Gamma_3(xX_1) \cap \Gamma_3(yY_1)) \setminus \mathcal{D}_1$  and say  $\operatorname{proj}_{yY_1}w_3 = y_3$ ,  $\operatorname{proj}_{xX_1}w_3 = x_3$ . Take a line through z, different from zx, zyor zZ, and project  $w_3$  onto this line. The projection is the point u. As  $u \notin \mathcal{A}$ (otherwise  $z \notin \mathcal{A}$  would be collinear with 2 points of the ovoidal subspace), u is collinear with a unique point U of  $\mathcal{A}$ . Suppose this spanregular point is also at distance 4 from  $X_1$  and  $Y_1$ . Then we take another line through z, we project  $w_3$  onto this line, denoting the projection and its unique collinear point of  $\mathcal{A}$  by v and V, respectively. Now we show that V is at distance 6 from at least one of the three points  $X_1, Y_1$  or U. The points  $X_1, Y_1, U$  define an ordinary sixgon in the thin full subhexagon  $\mathcal{A}$ . Suppose d(U, V) = 4 and  $T := U \bowtie V$ . As there are only 2 lines through one point in  $\mathcal{A}$ , T should be on the line  $\langle U, U \bowtie Y_1 \rangle$  or on the line  $\langle U, U \bowtie X_1 \rangle$ . Say  $T \in \langle U, U \bowtie Y_1 \rangle$ . If  $T \neq U \bowtie Y_1, d(V, Y_1) = 6$ . If  $T = U \bowtie Y_1, V$  should be on the line  $\langle U \bowtie Y_1, Y_1 \rangle$ (as there are only 2 lines through a point in  $\mathcal{A}$ ), hence  $d(V, X_1) = 6$ . So in this situation one can find a spanregular point V = V' at distance 6 from  $X_1$ ,  $Y_1$  or U. Suppose  $d(V', X_1) = 6$ . We now use case (3.) of this proof, for  $X_1 \neq V' \neq Z \neq X_1.$ 

First suppose  $d(w_3, V') = 6$ , and see figure 2. Put  $\operatorname{proj}_{xX_1} V' = x_2$ ,  $\operatorname{proj}_{vV'} X_1 = v'_1$ ,  $\operatorname{proj}_{vV'} x_3 = v'_3$ ,  $w_3 \bowtie v = v_3$ ,  $x_3 \bowtie v'_3 = w'_3$ ,  $X_1 \bowtie v'_1 = w'_1$ ,  $x_2 \bowtie V' = w'_2$ . By case (3.) of the proof,  $z^{w'_1} = z^{w'_2} = z^{w'_3} = z^a$ , for all  $a \in \Gamma_3(xx_3) \cap \Gamma_3(vv_3) \cap \Gamma_6(z)$ . As  $w_3$  and  $w'_3$  are in the same thin ideal subhexagon  $\mathcal{D}_3 = \Gamma(x_3, v)$ , we know that  $\langle x, v \rangle_{w_3} = \langle x, v \rangle_{w'_3}$ . As  $x, u, v, y \in \Gamma_2(z) \cap \mathcal{D}_3$ ,  $\langle x, v \rangle_{w_3} = \langle x, y \rangle_{w_3}$ . So  $\langle x, y \rangle_{w_3} = \langle x, v \rangle_{w'_3} = \langle x, v \rangle_{w'_3} = \langle x, v \rangle_a$ , for all  $a \in \Gamma_3(xx_3) \cap \Gamma_3(vv_3) \cap \Gamma_6(z)$ . This finishes the proof if  $d(w_3, V') = 6$ .

Suppose  $d(w_3, V') = 4$ . Then  $\langle x, v \rangle_{w'_2} = \langle x, v \rangle_{w_3}$  by case (3.) of this proof.

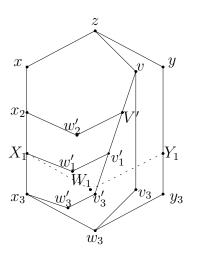


Figure 2:  $d(X_1, Y_1) = 4, d(w_3, V') = 6$ 

Using  $\langle x, y \rangle_{w_3} = \langle x, v \rangle_{w_3}$ , we have the same result as before. Suppose  $d(w_3, V') = 2$ . Then  $V' = v_3$ . As in case (c) of the proof of theorem 3, one shows that  $\langle x, v \rangle_{w_3} = \langle x, v \rangle_{w'_1}$ .

## 4.bis Suppose s = t = 3.

As we assumed the existence of five lines through a point in the previous section, we now investigate the case s = t = 3, for  $X \neq Y \neq Z \neq X$  and d(X,Y) = 4. So  $X_1, Y_1$  are in the same  $\mathcal{D}_1$ , and  $W_1 := X_1 \bowtie Y_1$ . Take  $w_3$  at distance 3 from  $xX_1$  and  $yY_1$ , and define  $x_3 := \operatorname{proj}_{xX_1} w_3$  and  $y_3 := \operatorname{proj}_{yY_1} w_3$ . As we must prove  $\langle x, y \rangle_{W_1}$  to be equal to  $\langle x, y \rangle_{w_3}$ , we suppose  $Z \notin \langle x, y \rangle_{w_3}$ (otherwise the proof is done). As Z is spanregular, x, Z define an ideal line  $\langle x, Z \rangle$ . If y would be in  $\langle x, Z \rangle$ , this would imply Z to be in  $\langle x, y \rangle_{w_3}$  — a contradiction. For the same reason,  $x \notin \langle y, Z \rangle$ .

Now we look at the fourth line through z, let's call it L. As  $\langle x, Z \rangle$  and  $\langle y, Z \rangle$  are different ideal lines, their intersection only contains the point Z. So their respective intersection points with L are different — and by this named  $t_x$  and  $t_y$ , respectively.

Now we consider again the traces  $\langle x, y \rangle_{W_1}$  and  $\langle x, y \rangle_{w_3}$ . If  $t_x$  would be in  $\langle x, y \rangle_{w_3}$ , the trace  $\langle x, y \rangle_{w_3}$  contains 2 points (x and  $t_x$ ) of the ideal line  $\langle x, Z \rangle$ , and hence  $\langle x, y \rangle_{w_3} = \langle x, Z \rangle$ . This is of course a contradiction. For the same reason,  $t_y \notin \langle x, y \rangle_{w_3}$ . We can conclude that  $|\langle x, y \rangle_{w_3} \cap L \cap \langle x, y \rangle_{W_1}| = 1$ , and we call this intersection point t. We put  $a_1 := t \bowtie W_1$  and  $a_3 := t \bowtie w_3$ .

As  $W_1^z$  contains spanregular points  $X_1$  and  $Y_1$ , this trace is ideal. As  $W_1^{w_3}$  intersects  $W_1^z$  in at least 2 points,  $W_1^{w_3}$  should be equal to  $W_1^z$ . So  $a_1 \in W_1^{w_3}$ , which means  $d(a_1, w_3) = 4$ . If  $a_1$  is not on the line  $ta_3$ , there arises an ordinary pentagon with edges  $t, a_1, a_1 \bowtie w_3, w_3, a_3$ . So  $a_1$  is on  $ta_3$ 

Now we construct  $s_1 := \operatorname{proj}_{zZ} W_1$ ;  $s_3 := \operatorname{proj}_{zZ} w_3$ ;  $b_1 := s_1 \bowtie W_1$ ;  $b_3 := s_3 \bowtie w_3$ . By a previous argument, neither  $s_1$  nor  $s_3$  coincide with Z (because  $\langle x, Z \rangle$  is ideal and doesn't contain y). We know that  $b_1 \in W_1^z = W_1^{w_3}$ , so  $d(b_1, w_3) = 4$ . As there are only 4 lines through  $w_3$ , and the lines  $w_3x_3, w_3a_3, w_3y_3$  already correspond to the respective points  $X_1, a_1, Y_1 \in W_1^{w_3}$ , we know that  $b_1 \bowtie w_3$  is on  $b_3w_3$ . But this results in an ordinary pentagon  $b_1, s_1, s_3, b_3, b_3 \bowtie b_1$  if  $b_1$  is not on  $s_3b_3$ . Conclusion:  $b_1$  is on  $s_3b_3$  and  $s_1 = s_3$ . So  $z^{W_1} = z^{w_3}$ , and this part of the proof is completed.

5. Suppose  $X \neq Y = Z$ .

Take  $w_3 \in \Gamma_3(xX) \cap \Gamma_4(y)$ , and say  $\operatorname{proj}_{xX} w_3 = x_3$ . Take a line N through z, different from zx or zy, and say  $\operatorname{proj}_N w_3 = v_3$ . As  $v_3 \notin \mathcal{A}, v_3$  is collinear with a unique point  $V \in \mathcal{A}, V \notin v_3 z$ . At this point, we can use parts (3.) and (4.) of the proof to conclude that  $\langle x, v_3 \rangle_{w_3} = \langle x, v_3 \rangle_{w_i}$ , i = 1, 2, 3. As  $x, y, v_3 \in \Gamma_2(z) \cap \mathcal{D}_3$ , we know  $\langle x, y \rangle_{w_3} = \langle x, v_3 \rangle_{w_3}$ , so  $\langle x, y \rangle_{w_3}$  is ideal.

By now, we know  $\Gamma \cong H(q)$ . As  $\Gamma$  contains a full as well as ideal subhexagons, q must be a power of 3 by [6] 3.5.7.

## 5.5 $\mathcal{A} = \Gamma_1(L) \cup \Gamma_3(L)$ , $\Gamma$ of order (s, t), and condition (\*) is satisfied

**Theorem 5** Let  $\Gamma = (\mathcal{P}, \mathcal{L}, I)$  be a finite generalized hexagon of order (s, t). Consider the set  $\mathcal{A}$  consisting of all points at distance 1 or 3 from a certain line L, and suppose there is a thin ideal subhexagon  $\mathcal{D}$  through any 2 points of  $\Gamma$ . Then every point of  $\mathcal{A}$  is spanregular  $\Leftrightarrow \Gamma$  is isomorphic to H(s) or to  $T(s, \sqrt[3]{s})$ .

**Proof.** If  $s \neq t$ , we cannot use lemma 2. But by assuming  $\beta = Y$  (see 5.1.1), we can re-arrange the (provisional) proof of theorem 3 in the same way as in proof 4: the new proof only uses the second equality in (1).

Where possible, we refer to the proof of theorem 4.

 $\Leftarrow$  This follows from Ronan [4].

 $\Rightarrow$  Due to Ronan [4] we have to prove that  $\Gamma$  has ideal lines.

For  $z^w = z^{w'} \forall w, w' \in \Gamma_4(x) \cap \Gamma_4(y) \cap \mathcal{D}_i$ : cfr. theorem 4. For  $z^{w_1} = \ldots = z^{w_s}$  with  $w_i \in \mathcal{D}_i$ : cfr. below.

- 1. cfr. theorem 4(1.)
- 2. cfr. theorem 4(2.)
- 3. cfr. theorem 4(3.)
- 4. cfr. theorem 4 (4.): Suppose  $X \neq Y \neq Z \neq X$  and d(X,Y) = 4. So the path between  $X = X_1$  and  $Y = Y_1$  belongs also to  $\mathcal{A}$  and we can denote  $X_1 \bowtie Y_1$ by the capital letter  $W_1$ . Take a point  $w_3 \in (\Gamma_3(xX_1) \cap \Gamma_3(yY_1)) \setminus \mathcal{D}_1$  and say  $\operatorname{proj}_{yY_1}w_3 = y_3$ ,  $\operatorname{proj}_{xX_1}w_3 = x_3$ . Take a line through z, different from zx, zyor zZ, and project  $w_3$  onto this line. The projection is the point  $u^1$ . As  $u^1 \notin \mathcal{A}$ (otherwise  $z \notin \mathcal{A}$  would be collinear with 2 point of the ovoidal subspace),  $u^1$ is collinear with a unique point  $U^1$  of  $\mathcal{A}$ .

New for this proof:

We can do the same for the remaining lines through z, to obtain the points  $U^1, \ldots, U^{t-2}$ .

(•) If we suppose that none of these points  $U^j$  is at distance 6 from  $X_1$  or at distance 6 from  $Y_1$ , then they should all be at distance 4 from  $X_1$  and  $Y_1$ , and hence at distance 2 from  $W_1$  (as  $\mathcal{A}$  contains no appartment). So  $W_1$  is a point of the 'central' line L of  $\mathcal{A}$ . None of the t lines  $W_1X_1, W_1Y_1, W_1U^j$  is equal to L. Indeed, suppose  $W_1U^1 = L$ . We know  $Z \in \mathcal{A} = \Gamma_1(L) \cup \Gamma_3(L)$ , so  $d(Z, W_1U^1) = 3$  (as Z doesn't belong to  $W_1U^1$ ). But this results in an ordinary pentagon. Conclusion: the line L is the projection of Z onto  $W_1$ , and this completes the linepencil  $\Gamma(W_1)$ . So  $d(W_1, Z) = 4$ . This means:  $Z \in z^{W_1} = \langle x, y \rangle_{W_1}$ . By this,  $\langle x, y \rangle_{W_1}$  contains a spanregular point and hence is ideal.

If on the other hand the assumption  $(\bullet)$  is false, i.e. if there is a point  $U^j$  at distance 6 from  $X_1$  or  $Y_1$ , then we refer to theorem 4 (4.) for the remaining part of the proof.

5. cfr. theorem 4(5.)

## 5.6 $\mathcal{A}$ an ovoid, $\Gamma$ of order (s, t), and condition (\*) is satisfied

**Theorem 6** Let  $\Gamma = (\mathcal{P}, \mathcal{L}, I)$  be a finite generalized hexagon of order (s, t) containing an ovoid  $\mathcal{A}$ . Suppose there is a thin ideal subhexagon  $\mathcal{D}$  through any 2 points of  $\Gamma$ . Then every point of  $\mathcal{A}$  is spanregular  $\Leftrightarrow \Gamma$  is isomorphic to H(q), q = s.

**Proof.** In a completely similar way as in the proof of theorem 4 — noting that all points of  $\mathcal{A}$  are at distance 6 from each other (and hence case (4.) of the proof of 4 cannot occur) —, we prove that  $\Gamma$  is classical. As it is known that  $T(q, \sqrt[3]{q})$  does not have an ovoid (see [6] 7.2.4),  $\Gamma$  is isomorphic to H(q), s = t = q.

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Leen Brouns and Hendrik Van Maldeghem Universiteit Gent Vakgroep Zuivere Wiskunde en Computeralgebra Galglaan 2 9000 Gent e-mail: lb@cage.rug.ac.be e-mail: hvm@cage.rug.ac.be