

Recent developments on APN functions and related topics

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Most modern cryptosystems use S-boxes that are based on Boolean functions.

There are situations (encrypting credit card numbers or social security numbers, for example) where non-binary data is a natural part of the application and one might use non-binary functions in the cryptosystem. The SAFER family of cryptosystems, proposed by Jim Massey, uses non-binary functions.

In fact they use a mixture of binary and non-binary arithmetic to increase the confusion.

Many modern ciphers are (roughly speaking) a series of ROUNDS, where each round consists of an S-box and a P-box.

$$x \longrightarrow \underbrace{S(x) \longrightarrow P(S(x))}_{\text{one round}} \longrightarrow S(P(S(x))) \longrightarrow \dots$$

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The S-box has to satisfy certain criteria to be secure against certain attacks. Some are

- 1 The PN or APN property provides resistance of the S-box to differential cryptanalysis.
- 2 High nonlinearity provides resistance of the S-box to linear cryptanalysis
- 3 The permutation property (i.e. S being invertible) makes it easier to invert (to decrypt).

S-Boxes Criteria

(see M-Alvarez, Proc. NATO workshop)

- 1 **Balanced.**
- 2 **Resilience.**
- 3 **Nonlinearity.**
- 4 **XOR Table.**
- 5 **Avalanche.**
- 6 **Propagation.**
- 7 **Bit Independence.**
- 8 **Linear Structures.**
- 9 **Linear Redundancy.**
- 10 **Fixed Points.**
- 11 **Algebraic Degree.**
- 12 **Degree.**
- 13 **Algebraic Immunity.**
- 14 **Cube.**
- 15 **Branch Number.**

Three binary digits can represent eight items; 2^3 equals eight. The substitution device consists of two switches. The first converts a sequence of three

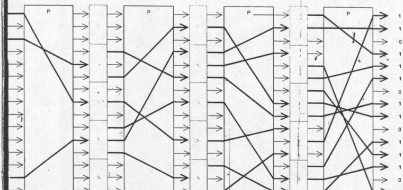
seem to be an incredibly large number of keys, but the cipher produced must still be regarded as glaringly weak: it could not resist letter-frequency analysis. The weakness is not intrinsic; the device described is mathematically the most general possible. It includes, for any given input-output dimension, any possible reversible cipher that has been or ever could be invented, mathematicians would say it represents the full symmetric group. It is completely "nonsystematic": one permutation connection tells an opponent nothing at all about any other connection. The problem is not intrinsic, then, but is related to size. In

Perhaps one could find a device that is easy to realize for a large number of inputs. One might, for example, build a box with, say, 128 input and 128 output terminals that are connected internally by ordinary wire crossings (see illustrations at left below). Such a "permutation box" with $n!$ terminals would have $n!$ possible wire crossings, each of which could be set by a different key. It could be built easily for $n = 128$. Although this provides a usefully large number of keys (128!), we are now faced with a new difficulty. By the use of special trick messages it is possible to read out the complete key to such a system in only $n - 1$ din this case 127 trials. The only

Between World War I and World War II interest in product ciphers almost totally disappeared because of the successful development of rotor, or wired-wheel machines, which belong to the general

The manner in which the principles of confusion and diffusion interact to provide cryptographic strength can be described as follows. We have seen that general substitution cannot be realized for large values of n , say $n = 128$, and so we must settle for a substitution scheme of practical size. In the IBM system named Lucifer we have chosen

One measure of strength is depicted a device in which for simplicity the boxes have $n = 15$ and the S boxes have $n = 3$ [see illustration on this page]. If we imagine this sandwich boxes being "ticked" by addressing with a specially selected input, which might consist of a number made up



PRODUCT-CIPHER SYSTEM combines *P* boxes and *S* boxes. The *P* boxes have a large number of inputs (represented by 15 in the illustration) and the *S* boxes a number that is manageable for each

devices—three in this case. The *P* boxes shuffle the digits, providing "diffusion." The *S* boxes provide nonlinear substitution and thus "confusion." In this simplified example the input includes:

single 1 and 14 0's. Because the *S* boxes are nonlinear, they can potentially increase the number of 1's; meanwhile the *P* boxes mask the 1's around. The result can be an unpredictable avalanche of 1

Definition (Perfect Nonlinear function)

Let A, B be finite abelian groups, written additively, of the same cardinality. We say $f : A \rightarrow B$ is a perfect nonlinear (PN) function iff $f(x + a) - f(x) = b$ has at most one solution for all $a \in A$, $a \neq 0$, and all $b \in B$.

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The definition implies that $f(x + a) - f(x) = b$ has exactly one solution, or equivalently, the function $f(x + a) - f(x)$ is bijective, or equivalently,

$$f(x + a) - f(x) = f(y + a) - f(y) \implies a = 0 \text{ or } x = y.$$

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Example: $f(x) = x^2$ on a finite field of odd characteristic.

PN functions do not exist in characteristic 2, because if x is a solution to $f(x + a) - f(x) = b$ then so is $x + a$ ☹

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This is why the following definition is made.

Definition (Almost Perfect Nonlinear function)

Let A, B be finite abelian groups, written additively, of the same cardinality. We say $f : A \rightarrow B$ is an almost perfect nonlinear (APN) function iff $f(x + a) - f(x) = b$ has at most two solutions for all $a \in A$, $a \neq 0$ and all $b \in B$.

Example: $f(x) = x^3$ on any finite field.

Theorem

PN permutations do not exist.

Proof: Let f be a PN function. Choosing b to be 0, for all nonzero a there must exist a solution to $f(x + a) - f(x) = 0$. Therefore, f cannot be a permutation. \square

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What about APN permutations? Do they exist?

APN Permutations

It depends on the group.

Big Open Problem: Do APN permutations exist on finite fields $GF(2^n)$ where n is even?
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Recent News:

On July 14, 2009, at the Fq 9 conference, John Dillon announced an APN permutation on $GF(64)$!! (Dillon-Wolfe example)

Alternative Definition of APN

The binary double-error-correcting BCH code is defined by parity check matrix

$$H = \begin{bmatrix} 1 & \alpha & \alpha^2 & \cdots & \alpha^{2^n-2} \\ 1 & \alpha^3 & \alpha^6 & \cdots & \alpha^{3(2^n-2)} \end{bmatrix}$$

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This code is cyclic, has minimum distance 5, dimension $2^n - 1 - 2n$.

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Definition: A function $f : K \longrightarrow K$ is called an APN function if the binary linear code with parity check matrix having columns

$$\begin{bmatrix} x \\ f(x) \end{bmatrix}, \quad x \in K^*$$

has minimum distance 5, and $f(0) = 0$.

An extended APN code has parity check matrix

$$H = \begin{bmatrix} 1 & 1 & 1 & \cdots & 1 & 1 \\ 1 & \alpha & \alpha^2 & \cdots & \alpha^{2^n-2} & 0 \\ 1 & f(\alpha) & f(\alpha^2) & \cdots & f(\alpha^{2^n-2}) & 0 \end{bmatrix}$$

and has minimum distance 6.

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and has minimum distance 6.

Definition: Two APN functions are said to be (CCZ) equivalent if their corresponding extended APN codes are equivalent (as binary codes).

Known APN Functions

Monomial functions: x^d where d is $2^k + 1$, $4^k - 2^k + 1$, $2^r - 2$, $2^{(r-1)/2} + 3$, $4^t + 2^t - 1$, $2^{4t} + 2^{3t} + 2^{2t} + 2^t - 1$

Non-monomial APN functions: Sporadic examples, Edel
Kyureghyan Pott, Browning-Dillon et al, Edel-Pott non-quadratic,
Cannon et al.

Infinite families since discovered are:

(due to Budeghyan, Leander, Carlet, Felke, Pott, McGuire, Byrne, Bracken, Markin,...apologies...)

$$x^{2^i+1} + ux^{2^{k+i}+2^{k(r-1)}} \text{ (BCFL) (BCL)}$$

$$ux^{2^{-k}+2^{k+s}} + u^{2^k}x^{2^s+1} + vx^{2^{k+s}+2^s} \text{ (BBMM)}$$

$$bx^{2^s+1} + b^{2^k}x^{2^{k+s}+2^k} + cx^{2^k+1} \text{ (BBMM)}$$

$$x^3 + \text{Tr}(x^9) \text{ (BCL)}$$

$$u^{2^k}x^{2^{-k}+2^{k+s}} + ux^{2^s+1} + vx^{2^{k+s}+2^s} \text{ (BBMM)}$$

$$u^{2^k}x^{2^{-k}+2^{k+s}} + ux^{2^s+1} + vx^{2^{-k}+1} + wu^{2^k+1}x^{2^{k+s}+2^s} \text{ (BBMM)}$$

Could it be that there are a finite number of sporadic APN functions, and some infinite families ?

The study of APN functions is a Goldilocks story...

There are not too many APNs, not too few APNs, the number is just right!

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There are not too many APNs, not too few APNs, the number is just right!

The topic continues to surprise us.

The Equivalence Problem

If you find an APN function, how do you know it is new?

Proving by hand the equivalence (or inequivalence) of two APN functions seems to be very difficult.

We have no good theoretical techniques.

Computing code invariants such as the weight distribution, automorphism group, is not always possible theoretically. Can be done for small n by computer.

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Results:

Bracken-Byrne-Markin-M (2007): $x^3 + \text{Tr}(x^9)$ has same weight distribution as x^3 .

Bracken-Byrne-Markin-M (2007): The binomials of Budaghyan, Carlet, Felke, Leander have same weight distribution as x^3 .

Bracken-Byrne-Markin-M (2007): The trinomials of BBMM have same weight distribution as x^3 .

$2^{24} \times 2^{24}$ matrices

Family	Function	Delta-Rank
Gold	x^3	7550
Gold	x^{33}	7550
Kasami-Welch	x^{993}	62550
1	$u^{16}x^{768} + ux^{33}$	7816
2	$x^3 + u^7x^{528}$	7822
5	$x^3 + x^{65} + ux^{129} + u^{64}x^{66} + u^3x^{130} + x^{192}$	7550
6	$x^3 + \text{Tr}(x^9)$	7846
7	$u^{16}x^{768} + ux^{33} + u^{290}x^{544}$	7900
8	$u^{16}x^{768} + ux^{33} + x^{257}$	7900
9	$u^{16}x^{768} + ux^{33} + x^{257} + u^{290}x^{544}$	7900

Equivalence Results

First - all the infinite families have been confirmed to be pairwise inequivalent by computer. Not proved by hand generally.

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First - all the infinite families have been confirmed to be pairwise inequivalent by computer. Not proved by hand generally.

Second - we have one recent theoretical result.

Theorem (Bracken-Byrne-M-Nebe)

The APN trinomial functions

$$bx^{2^s+1} + b^{2^k}x^{2^{k+s}+2^k} + cx^{2^k+1}$$

are not equivalent to Gold functions.

Proof uses the automorphism groups of both codes.

Now I'll give more details.

Conjecture (Edel)

If two quadratic APN functions are CCZ equivalent, then they are EA equivalent.

Equivalence Results

Conjecture (Edel)

If two quadratic APN functions are CCZ equivalent, then they are EA equivalent.

Theorem (Bracken-Byrne-M-Nebe)

True if one of the functions is a Gold function.

In other words, if a quadratic APN function is CCZ equivalent to a Gold function, then it is EA equivalent to that Gold function.

This is proved for some functions “directly” in some papers (e.g. Budaghyan Carlet Leander binomials).

Our proof uses the fact that we know the exact automorphism group of the Gold codes (Berger, and classification of finite simple groups), and any quadratic APN function has the additive group of the field in its automorphism group.

Equivalence Results

Sketch of Proof:

Let E be the additive group of the field $K = GF(2^n)$.

Show that normalizer of E in $Sym(2^n)$ is $\mathcal{A} = E \cdot GL_n(\mathbb{F}_2)$.

Use (Cannon-Nebe)

Theorem

\mathcal{A} acts on $\{C_f \mid f : K \rightarrow K\}$. Functions f and g are EA equivalent functions if and only if the codes C_f and C_g are in the same \mathcal{A} -orbit.

Use uniqueness of E as subgroup of

$$\mathcal{G} := Aut(C_g) \cong (K, +) : K^* : Gal(K/\mathbb{F}_2).$$

(g is Gold function)

Equivalence Results

If f and h are CCZ-equivalent, there is $\pi \in \text{Sym}(2^n)$ such that $\pi(C_f) = C_h$.

The subgroup $E \leq \text{Aut}(C_f)$ is hence conjugated to $\pi E \pi^{-1} \leq \text{Aut}(C_h)$.

By uniqueness of E this implies that π normalizes E , and hence $\pi \in \text{Normalizer}(E) = \mathcal{A}$.

This means that the two functions are EA-equivalent.

More generally

Theorem

Let h be a quadratic APN-function such that $\text{Aut}(C_h)$ is isomorphic to a subgroup of \mathcal{G} . Then all quadratic APN-functions that are CCZ equivalent to h are indeed EA equivalent to h .

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This method will not generalize completely, because there are functions whose automorphism group is not contained in \mathcal{G} .

$$h_1 := x^3 + x^5 + u^{62}x^9 + u^3x^{10} + x^{18} + u^3x^{20} + u^3x^{34} + x^{40}$$

Then h_1 is APN on $GF(2^6)$ and $|\text{Aut}(C_{h_1})| = 2^6 \cdot 5$, which is not a divisor of $2^6(2^6 - 1)6$. (Dillon)

The Dillon-Wolfe example is very exciting.
Where did it come from ...

Theorem (Browning-Dillon-Kibler-McQuistan (2007))

The following are equivalent.

1. f is CCZ equivalent to an APN permutation
2. C_f^\perp is an extended double simplex code of dimension 6

So to find an APN permutation we want to write $C_f^\perp = W_1 \oplus W_2$ where each W_i is a simplex code.

This paper told us how to find APN permutations...

Classification Result

Call d exceptional if x^d is APN on infinitely many extensions of \mathbb{F}_2 . (Dillon)

Conjecture: the only exceptional exponents d are Gold and Kasami-Welch.

Building on work of van Lint, Wilson, Janwa, McGuire, Jedlicka, we have a proof:

Theorem (M, Fernando Hernando)

The conjecture is true.

Proof uses Weil bound.

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Conjecture: The Gold and Kasami-Welch are the only APN functions which are APN on infinitely many extensions of their field of definition.

Recall that f is a PN function iff

$$f(x + a) - f(x) = f(y + a) - f(y) \implies a = 0 \text{ or } x = y.$$

Definition (Costas permutation)

Let $[n] = \{0, \dots, n-1\}$, considered as a subset of \mathbb{Z} , and let $f : [n] \rightarrow [n]$ be a permutation. We say that f is a Costas permutation iff

$$f(i+k) - f(i) = f(j+k) - f(j) \implies k = 0 \text{ or } i = j$$

for all $i, j, k \in [n]$ such that $i+k, j+k \in [n]$.

Note that the implication is not required to hold if one of $i, j, k, i+k, j+k$ is outside the set $[n]$.

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The similarity between this definition and the definition of a PN function motivated the paper

"APN Permutations on \mathbb{Z}_n and Costas Arrays" Konstantinos Drakakis, Rod Gow, Gary McGuire, accepted Discrete Applied Mathematics.

Exponential Welch construction

Let \mathbb{Z}_p be the finite field of prime order p , $p > 2$, and let g be a primitive root of \mathbb{Z}_p .

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In order to get a (bijective) function from $[p-1]$ to $[p-1]$, we subtract 1 from the values of f . Denote the resulting function by f again. Finally, consider f as a function $f : \mathbb{Z}_{p-1} \rightarrow \mathbb{Z}_{p-1}$.

Exponential Welch construction

Example

Let $p = 7$, and we will use $g = 3$ as our primitive element, so $f(i) = 3^i$. The sequence 3^i modulo 7, $i = 0, 1, \dots, 5$, is

$$1, 3, 2, 6, 4, 5.$$

Subtracting 1 gives

$$0, 2, 1, 5, 3, 4$$

which we now consider as elements of \mathbb{Z}_6 .

The periodic differences $f(i+1) - f(i)$, $i = 0, 1, \dots, 5$ as *integers* are

$$2, -1, 4, -2, 1, -4.$$

These differences modulo 6 are

$$2, 5, 4, 4, 1, 2.$$

No number appears more than twice, by the APN property.

Theorem (Drakakis, Gow, M)

Exponential Welch Costas functions are APN permutations on \mathbb{Z}_{p-1} .

Choosing $p = 17$ gives an APN permutation on \mathbb{Z}_{16} .

(\mathbb{Z}_{16} used e.g. in GOST)

Choosing $p = 257$ gives an APN permutation on \mathbb{Z}_{256} .

(\mathbb{Z}_{256} used e.g. in SAFER)

Nonlinearity

Another requirement of an S-box is that it be resistant to linear cryptanalysis. This requires that the function have a high nonlinearity.

Let $f : A \longrightarrow B$ be a function between finite abelian groups. We use isomorphisms $\alpha \mapsto \chi_\alpha$ from A to \hat{A} (the group of characters of A) and $\beta \mapsto \psi_\beta$ from B to \hat{B} .

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We define the value of the Fourier transform of f at $\alpha \in A$ and $\beta \in B$ by

$$\hat{f}(\alpha, \beta) = \sum_{a \in A} (\psi_\beta \circ f)(a) \chi_\alpha(a) \quad \text{for all } \alpha \in A. \quad (1)$$

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We define the *linearity* of f by

$$\mathbb{L}(f) = \max_{\alpha \in A, \beta \in B^*} |\hat{f}(\alpha, \beta)|. \quad (2)$$

In the special but important for us case where $A = B = \mathbb{Z}_m$, the characters are the functions $\chi_j : \mathbb{Z}_m \rightarrow \mathbb{C}$, $j \in \mathbb{Z}_m$, where

$$\chi_j(k) = e^{\frac{2\pi ijk}{m}}, \text{ with } k \in \mathbb{Z}_m.$$

It follows then from (1) that

$$\hat{f}(\alpha, \beta) = \sum_{x \in \mathbb{Z}_m} e^{\frac{2\pi i}{m}(\beta f(x) + \alpha x)}. \quad (3)$$

Recall

$$\mathbb{L}(f) = \max_{\alpha \in A, \beta \in B^*} |\hat{f}(\alpha, \beta)|. \quad (4)$$

Theorem

If $f : \mathbb{Z}_m \rightarrow \mathbb{Z}_m$ then $\sqrt{m} \leq \mathbb{L}(f) \leq m$.

(This follows from Parseval's identity.)

We want functions with small linearity (highly nonlinear).

Theorem

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We want functions with small linearity (highly nonlinear).

Question: what is the linearity of the Exponential Welch Costas permutations?

"On the Nonlinearity of Exponential Welch Costas Functions,"
Konstantinos Drakakis, Verónica Requena, Gary McGuire, accepted
IEEE Transactions Info. Theory.

Nonlinearity of EWC Functions

We proved that the linearity is independent of the primitive root.

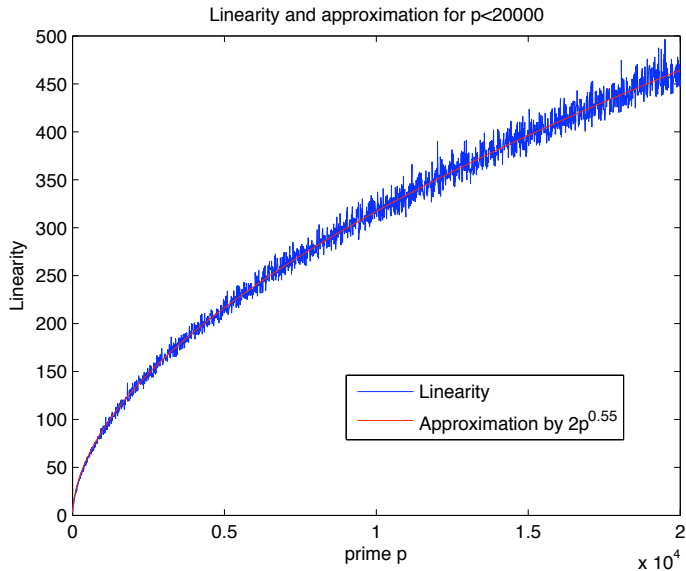
We computed the linearity of EWC functions for all primes up to 2,000. The results suggest the following conjecture:

Conjecture

A pair (α, β) that maximizes $|\hat{f}(\alpha, \beta)|$ always satisfies the condition that either $\alpha = \frac{n}{2}$ or $\beta = \frac{n}{2}$.

$(n = p - 1)$

Simulations



This assumes the conjecture.

Nonlinearity of EWC Functions

There are 40 primes less than 50,000 where the maximum occurs at a pair with both $\alpha = \frac{n}{2}$ and $\beta = \frac{n}{2}$, namely 3, 11, 59, 131, 251, 419, 971, 1091, 1811, 1979, 2939, 3251, 4091, 4259, 5099, 6299, 6971, 8291, 8819, 9539, 10139, 10331, 11171, 12011, 12899, 13859, and 19379, 20411, 22571, 23099, 26171, 27011, 28019, 28859, 31379, 31391, 41051, 48179, 48611, 49451

We relate this to the class number $h(-p)$ on the next slide, and this relation potentially implies that the linearity of EWC functions is a rather complicated quantity. Also we have:

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Theorem (Drakakis, Gow, M)

Let f be an EWC function; then, $\hat{f}(\alpha, \beta) = 0$ if $\beta = (p-1)/2$ and α is even.

Nonlinearity of EWC Functions

Theorem (Drakakis, Requena, M)

Let f be an EWC function. Then

$$\left| \hat{f} \left(\frac{p-1}{2}, \frac{p-1}{2} \right) \right| = \begin{cases} 0, & \text{if } p \equiv 1 \pmod{4}; \\ 2h(-p), & \text{if } p \equiv 7 \pmod{8}; \\ 6h(-p), & \text{if } p \equiv 3 \pmod{8}. \end{cases}$$

Proof uses a result from Drakakis-Gow-Rickard, "Parity properties of Costas arrays defined via finite fields" In *Advances in Mathematics of Communications*.

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This value is the actual nonlinearity for the 40 primes previously mentioned.

Nonlinearity of EWC Functions

So $6h(-p)$ is the actual nonlinearity for the following primes up to 50,000.

3, 11, 59, 131, 251, 419, 971, 1091, 1811, 1979, 2939, 3251, 4091,
4259, 5099, 6299, 6971, 8291, 8819, 9539, 10139, 10331, 11171,
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If you can see a pattern, let me know!

It would be interesting to know if there are infinitely many such primes. Asymptotics of $h(-p)$ compared to $2p^{0.55}$ might be relevant here.