

A proof of the linearity conjecture for k -blocking sets in $\text{PG}(n, p^3)$, p prime

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Abstract

In this paper, we show that a small minimal k -blocking set in $\text{PG}(n, q^3)$, $q = p^h$, $h \geq 1$, p prime, $p \geq 7$, intersecting every $(n-k)$ -space in $1 \pmod{q}$ points, is linear. As a corollary, this result shows that all small minimal k -blocking sets in $\text{PG}(n, p^3)$, p prime, $p \geq 7$, are \mathbb{F}_p -linear, proving the linearity conjecture (see [7]) in the case $\text{PG}(n, p^3)$, p prime, $p \geq 7$.

1 Introduction and preliminaries

Throughout this paper $q = p^h$, p prime, $h \geq 1$ and $\text{PG}(n, q)$ denotes the n -dimensional projective space over the finite field \mathbb{F}_q of order q . A k -blocking set B in $\text{PG}(n, q)$ is a set of points such that any $(n-k)$ -dimensional subspace intersects B . A k -blocking set B is called *trivial* when a k -dimensional subspace is contained in B . If an $(n-k)$ -dimensional space contains exactly one point of a k -blocking set B in $\text{PG}(n, q)$, it is called a *tangent $(n-k)$ -space* to B . A k -blocking set B is called *minimal* when no proper subset of B is a k -blocking set. A k -blocking set B is called *small* when $|B| < 3(q^k + 1)/2$.

Linear blocking sets were first introduced by Lunardon [3] and can be defined in several equivalent ways.

In this paper, we follow the approach described in [1]. In order to define a linear k -blocking set in this way, we introduce the notion of a Desarguesian spread. Suppose $q = q_0^t$, with $t \geq 1$. By "field reduction", the points of $\text{PG}(n, q)$ correspond to $(t-1)$ -dimensional subspaces of $\text{PG}((n+1)t-1, q_0)$, since a point of $\text{PG}(n, q)$ is a 1-dimensional vector space over \mathbb{F}_q , and so a t -dimensional vector space over \mathbb{F}_{q_0} . In this way, we obtain a partition \mathcal{D} of the pointset of $\text{PG}((n+1)t-1, q_0)$ by $(t-1)$ -dimensional subspaces. In general, a partition of the point set of a projective space by subspaces of a given dimension d is called a *spread*, or a *d -spread* if we want to specify the dimension. The spread obtained by field reduction is called a *Desarguesian spread*. Note that the Desarguesian spread satisfies the property that each subspace spanned by spread elements is partitioned by spread elements.

Let \mathcal{D} be the Desarguesian $(t-1)$ -spread of $\text{PG}((n+1)t-1, q_0)$. If U is a subset of $\text{PG}((n+1)t-1, q_0)$, then we define $\mathcal{B}(U) := \{R \in \mathcal{D} \mid |U \cap R| \neq \emptyset\}$, and we identify the elements of $\mathcal{B}(U)$ with the corresponding points of $\text{PG}(n, q_0^t)$. If U is subspace of $\text{PG}((n+1)t-1, q_0)$, then we call $\mathcal{B}(U)$ a *linear set* or an \mathbb{F}_{q_0} -linear

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set if we want to specify the underlying field. Note that through every point in $\mathcal{B}(U)$, there is a subspace U' such that $\mathcal{B}(U') = \mathcal{B}(U)$ since the elementwise stabiliser of the Desarguesian spread \mathcal{D} acts transitively on the points of a spread element of \mathcal{D} . If U intersects the elements of \mathcal{D} in at most a point, i.e. $|B(U)|$ is maximal, then we say that U is *scattered* with respect to \mathcal{D} ; in this case $\mathcal{B}(U)$ is called a *scattered linear set*. We denote the element of \mathcal{D} corresponding to a point P of $\text{PG}(n, q_0^t)$ by $\mathcal{S}(P)$. If U is a subset of $\text{PG}(n, q)$, then we define $\mathcal{S}(U) := \{\mathcal{S}(P) \mid P \in U\}$. Analogously to the correspondence between the points of $\text{PG}(n, q_0^t)$, and the elements \mathcal{D} , we obtain the correspondence between the lines of $\text{PG}(n, q)$ and the $(2t - 1)$ -dimensional subspaces of $\text{PG}((n + 1)t - 1, q_0)$ spanned by two elements of \mathcal{D} , and in general, we obtain the correspondence between the $(n - k)$ -spaces of $\text{PG}(n, q)$ and the $((n - k + 1)t - 1)$ -dimensional subspaces of $\text{PG}((n + 1)t - 1, q_0)$ spanned by $n - k + 1$ elements of \mathcal{D} . With this in mind, it is clear that any tk -dimensional subspace U of $\text{PG}(t(n + 1) - 1, q_0)$ defines a k -blocking set $\mathcal{B}(U)$ in $\text{PG}(n, q)$. A (k) -blocking set constructed in this way is called a *linear (k) -blocking set*, or an \mathbb{F}_{q_0} -*linear (k) -blocking set* if we want to specify the underlying field.

By far the most challenging problem concerning blocking sets is the so-called *linearity conjecture*. Since 1998 it has been conjectured by many mathematicians working in the field. The conjecture was explicitly stated in the literature by Sziklai in [7].

(LC) *All small minimal k -blocking sets in $\text{PG}(n, q)$ are linear.*

Various instances of the conjecture have been proved; for an overview we refer to [7]. In this paper we prove the linearity conjecture for small minimal k -blocking sets in $\text{PG}(n, p^3)$, $p \geq 7$, as a corollary of the following main theorem:

Theorem 1. *A small minimal k -blocking set in $\text{PG}(n, q^3)$, $q = p^h$, p prime, $h \geq 1$, $p \geq 7$, intersecting every $(n - k)$ -space in $1 \pmod{q}$ points is linear.*

1.1 Known characterisation results

In this section we mention a few results, that we will rely on in the sequel of this paper. First of all, observe that a subspace intersects a linear set of $\text{PG}(n, p^h)$ in $1 \pmod{p}$ or zero points. The following result of Szőnyi and Weiner shows that this property holds for all small minimal blocking sets.

Result 2. [8, Theorem 2.7] *If B is a small minimal k -blocking set of $\text{PG}(n, q)$, $p > 2$, p then every subspace intersects B in $1 \pmod{p}$ or zero points.*

Result 2 answers the linearity conjecture in the affirmative for $\text{PG}(n, p)$. For $\text{PG}(n, p^2)$, the linearity conjecture was proved by Weiner (see [9]). For 1-blocking sets in $\text{PG}(n, q^3)$, we have the following theorem of Polverino ($n = 2$) and Storme and Weiner ($n \geq 3$).

Result 3. [5][6] *A minimal 1-blocking set in $\text{PG}(n, q^3)$, $q = p^h$, $h \geq 1$, p prime, $p \geq 7$, $n \geq 2$, of size at most $q^3 + q^2 + q + 1$, is linear.*

In Theorem 8 we show that this implies the linearity conjecture for small minimal 1-blocking sets $\text{PG}(n, q^3)$, $p \geq 7$, that intersect every hyperplane in $1 \pmod{q}$ points.

The following Result by Szőnyi and Weiner gives a sufficient condition for a blocking set to be minimal.

Result 4. [8, Lemma 3.1] Let B be a k -blocking set of $\text{PG}(n, q)$, and suppose that $|B| \leq 2q^k$. If each $(n - k)$ -dimensional subspace of $\text{PG}(n, q)$ intersects B in $1 \pmod{p}$ points, then B is minimal.

1.2 The intersection of a subline and an \mathbb{F}_q -linear set

The possibilities for an \mathbb{F}_q -linear set of $\text{PG}(1, q^3)$, other than the empty set, a point, and the set $\text{PG}(1, q^3)$ itself are the following: a subline $\text{PG}(1, q)$ of $\text{PG}(1, q^3)$, corresponding to the a line of $\text{PG}(5, q)$ not contained in an element of \mathcal{D} ; a set of $q^2 + 1$ points of $\text{PG}(1, q^3)$, corresponding to a plane of $\text{PG}(5, q)$ that intersects an element of \mathcal{D} in a line; a set of $q^2 + q + 1$ points of $\text{PG}(1, q^3)$, corresponding to a plane of $\text{PG}(5, q)$ that is scattered w.r.t. \mathcal{D} .

The following results describe the possibilities for the intersection of a subline with an \mathbb{F}_q -linear set in $\text{PG}(1, q^3)$, and will play an important role in this paper.

Result 5. [2] A subline $\cong \text{PG}(1, q)$ intersects an \mathbb{F}_q -linear set of $\text{PG}(1, q^3)$ in $0, 1, 2, 3$, or $q + 1$ points.

Result 6. [4, Lemma 4.4, 4.5, 4.6] Let q be a square. A subline $\text{PG}(1, q)$ and a Baer subline $\text{PG}(1, q\sqrt{q})$ of $\text{PG}(1, q^3)$ share at most a subline $\text{PG}(1, \sqrt{q})$. A Baer subline $\text{PG}(1, q\sqrt{q})$ and an \mathbb{F}_q -linear set of $q^2 + 1$ or $q^2 + q + 1$ points in $\text{PG}(1, q^3)$ share at most $q + \sqrt{q} + 1$ points.

2 Some bounds and the case $k = 1$

The Gaussian coefficient $\begin{bmatrix} n \\ k \end{bmatrix}_q$ denotes the number of $(k - 1)$ -subspaces in $\text{PG}(n - 1, q)$, i.e.,

$$\begin{bmatrix} n \\ k \end{bmatrix}_q = \frac{(q^n - 1)(q^{n-1} - 1) \cdots (q^{n-k+1} - 1)}{(q^k - 1)(q^{k-1} - 1) \cdots (q - 1)}.$$

Lemma 7. If B is a subset of $\text{PG}(n, q^3)$, $q \geq 7$, intersecting every $(n - k)$ -space, $k \geq 1$, in $1 \pmod{q}$ points, and π is an $(n - k + s)$ -space, $s \leq k$, then either

$$|B \cap \pi| < q^{3s} + q^{3s-1} + q^{3s-2} + 3q^{3s-3}$$

or

$$|B \cap \pi| > q^{3s+1} - q^{3s-1} - q^{3s-2} - 3q^{3s-3}.$$

Proof. Let π be an $(n - k + s)$ -space of $\text{PG}(n, q^3)$, and put $B_\pi := B \cap \pi$. Let x_i denote the number of $(n - k)$ -spaces of π intersecting B_π in i points. Counting the number of $(n - k)$ -spaces, the number of incident pairs (P, σ) with $P \in B_\pi, P \in \sigma, \sigma$ an $(n - k)$ -space, and the number of triples (P_1, P_2, σ) , with $P_1, P_2 \in B_\pi, P_1 \neq P_2, P_1, P_2 \in \sigma, \sigma$ an $(n - k)$ -space yields:

$$\sum_i x_i = \begin{bmatrix} n - k + s + 1 \\ n - k + 1 \end{bmatrix}_{q^3}, \quad (1)$$

$$\sum_i ix_i = |B_\pi| \begin{bmatrix} n - k + s \\ n - k \end{bmatrix}_{q^3}, \quad (2)$$

$$\sum i(i-1)x_i = |B_\pi|(|B_\pi| - 1) \begin{bmatrix} n - k + s - 1 \\ n - k - 1 \end{bmatrix}_{q^3}. \quad (3)$$

Since we assume that every $(n - k)$ -space intersects B in $1 \pmod{q}$ points, it follows that every $(n - k)$ -space of π intersect B_π in $1 \pmod{q}$ points, and hence $\sum_i (i - 1)(i - 1 - q)x_i \geq 0$. Using Equations (1), (2), and (3), this yields that

$$|B_\pi|(|B_\pi| - 1)(q^{3n-3k} - 1)(q^{3n-3k+3} - 1) - (q+1)|B_\pi|(q^{3n-3k+3s} - 1)(q^{3n-3k+3} - 1) \\ + (q+1)(q^{3n-3k+3s+3} - 1)(q^{3n-3k+3s} - 1) \geq 0.$$

Putting $|B_\pi| = q^{3s} + q^{3s-1} + q^{3s-2} + 3q^{3s-3}$ or $|B_\pi| = q^{3s+1} - q^{3s-1} - q^{3s-2} - 3q^{3s-3}$ in this inequality, with $q \geq 7$, gives a contradiction. Hence the statement follows. \square

Theorem 8. *A small minimal 1-blocking set in $\text{PG}(n, q^3)$, $p \geq 7$, intersecting every hyperplane in $1 \pmod{q}$ points, is linear.*

Proof. Lemma 7 implies that a small minimal 1-blocking set B in $\text{PG}(n, q^3)$, intersecting every hyperplane in $1 \pmod{q}$ points, has at most $q^3 + q^2 + q + 3$ points. Since every hyperplane intersects B in $1 \pmod{q}$ points, it is easy to see that $|B| \equiv 1 \pmod{q}$. This implies that $|B| \leq q^3 + q^2 + q + 1$. Result 3 shows that B is linear. \square

Corollary 9. *A small minimal 1-blocking set in $\text{PG}(n, p^3)$, p prime, $p \geq 7$, is \mathbb{F}_p -linear.*

Proof. This follows from Result 2 and Theorem 8. \square

For the remaining of this section, we use the following assumption:

- (B) B is small minimal k -blocking set in $\text{PG}(n, q^3)$, $p \geq 7$, intersecting every $(n - k)$ -space in $1 \pmod{q}$ points.

For convenience let us introduce the following terminology. A *full* line of B is a line which is contained in B . An $(n - k + s)$ -space S , $s < k$, is called *large* if S contains more than $q^{3s+1} - q^{3s-1} - q^{3s-2} - 3q^{3s-3}$ points of B , and S is called *small* if it contains less than $q^{3s} + q^{3s-1} + q^{3s-2} + 3q^{3s-3}$ points of B .

Lemma 10. *Let L be a line such that $1 < |B \cap L| < q^3 + 1$.*

(1) *For all $i \in \{1, \dots, n - k\}$ there exists an i -space π_i on L such that $B \cap \pi_i = B \cap L$.*

(2) *Let N be a line, skew to L . For all $j \in \{1, \dots, k - 2\}$, there exists a small $(n - k + j)$ -space π_j on L , skew to N .*

Proof. (1) It follows from Result 2 that every subspace on L intersects $B \setminus L$ in zero or at least p points. We proceed by induction on the dimension i . The statement obviously holds for $i = 1$. Suppose there exists an i -space π_i on L such that $\pi_i \cap B = L \cap B$, with $i \leq n - k - 1$. If there is no $(i + 1)$ -space intersecting B only on L , then the number of points of B is at least

$$|B \cap L| + p(q^{3(n-i)-3} + q^{3(n-i)-6} + \dots + q^3 + 1),$$

but by Lemma 7 $|B| \leq q^{3k} + q^{3k-1} + q^{3k-2} + 3q^{3k-3}$. If $i < n - k - 1$ this is a contradiction. If $i = n - k - 1$ then in the above count we may replace the factor p by a factor q , using the hypothesis (B), and hence also in this case we get a contradiction. We may conclude that there exists an i -space π_i on L such that $B \cap L = B \cap \pi_i$, $\forall i \in \{1, \dots, n - k\}$.

(2) Part (1) shows that there is an $(n - k - 1)$ -space π_{n-k-1} on L , skew to N , such that $B \cap L = B \cap \pi_{n-k-1}$. If an $(n - k)$ -space through π_{n-k-1} contains an extra element of B , it contains at least q^2 extra elements of B , since a line containing 2 points of B contains at least $q + 1$ points of B . This implies that there is an $(n - k)$ -space π_{n-k} through π_{n-k-1} with no extra points of B , and skew to N .

We proceed by induction on the dimension i . Lemma 12(1) shows that there are at least $(q^{3k} - 1)/(q^3 - 1) - q^{3k-5} - 5q^{3k-6} + 1 > q^3 + 1$ small $(n - k + 1)$ -spaces through π_{n-k} which proves the statement for $i = 1$.

Suppose that there exists an $(n - k + t)$ -space π_{n-k+t} on L , skew to N , such that $B \cap \pi_{n-k+t}$ is a small minimal t -blocking set of π_{n-k+t} . An $(n - k + t + 1)$ -space through π_{n-k+t} contains at most $(q^{3t+4} - 1)(q - 1)$ or more than $q^{3t+4} - q^{3t+2} - q^{3t+1} - 3q^{3t}$ points of B (see Lemmas 7 and 13).

Suppose all $(q^{3k-3t} - 1)(q^3 - 1) - q^3 - 1$ $(n - k + t)$ -spaces through $\pi_{n-k+t-1}$, skew to N , contain more than $q^{3t+4} - q^{3t+2} - q^{3t+1} - 3q^{3t}$ points of B . Then the number of points in B is larger than $q^{3k} + q^{3k-1} + q^{3k-2} + 3q^{3k-3}$ if $t \leq k - 3$, a contradiction.

We may conclude that there exists an $(n - k + j)$ -space π_j on L such that $B \cap \pi_j$ is a small minimal i -blocking set, skew to N , $\forall j \in \{1, \dots, k - 2\}$. \square

Theorem 11. *A line L intersects B in a linear set.*

Proof. Note that it is enough to show that L is contained in a subspace of $\text{PG}(n, q^3)$ intersecting B in a linear set. If $k = 1$, then B is linear by Theorem 8, and the statement follows. Let $k > 1$, let L be a line, not contained in B , intersecting B in at least two points. It follows from Lemma 10 that there exists an $(n - k)$ -space π_L such that $B \cap L = B \cap \pi_L$. If each of the $(q^{3k} - 1)/(q^3 - 1)$ $(n - k + 1)$ -spaces through π_L is large, then the number of points in B is at least

$$\frac{q^{3k} - 1}{q^3 - 1}(q^4 - q^2 - q - 3 - q^3) + q^3 > q^{3k} + q^{3k-1} + q^{3k-2} + 3q^{3k-3},$$

a contradiction. Hence, there is a small $(n - k + 1)$ -space π through L , so $B \cap \pi$ is a small 1-blocking set which is linear by Theorem 8. This concludes the proof. \square

Lemma 12. *Let π be an $(n - k)$ -space of $\text{PG}(n, q^3)$, $k > 1$.*

- (1) *If $B \cap \pi$ is a point, then there are at most $q^{3k-5} + 4q^{3k-6} - 1$ large $(n - k + 1)$ -spaces through π .*
- (2) *If π intersects B in $(q\sqrt{q} + 1)$, $q^2 + 1$ or $q^2 + q + 1$ collinear points, then there are at most $q^{3k-5} + 5q^{3k-6} - 1$ large $(n - k + 1)$ -spaces through π .*
- (3) *If π intersects B in $q + 1$ collinear points, then there are at most $3q^{3k-6} - q^{3k-7} - 1$ large $(n - k + 1)$ -spaces through π .*

Proof. Suppose there are y large $(n - k + 1)$ -spaces through π . Then the number of points in B is at least

$$y(q^4 - q^2 - q - 3 - |B \cap \pi|) + ((q^{3k} - 1)/(q^3 - 1) - y)x + |B \cap \pi|, \quad (*)$$

where x depends on the intersection $B \cap \pi$.

(1) In this case, $x = q^3$ and $|B \cap \pi| = 1$. If $y = q^{3k-5} + 4q^{3k-6}$, then $(*)$ is larger than $q^{3k} + q^{3k-1} + q^{3k-2} + 3q^{3k-3}$, a contradiction.

(2) In this case $x = q^3$ and $|B \cap \pi| \leq q^2 + q + 1$. If $y = q^{3k-5} + 5q^{3k-6}$, then $(*)$ is larger than $q^{3k} + q^{3k-1} + q^{3k-2} + 3q^{3k-3}$, a contradiction.

(3) By Result 3 we know that an $(n - k + 1)$ -space π' through π intersects B in at least $q^3 + q^2 + 1$ points, since a $(q + 1)$ -secant in π' implies that the intersection of π' with B is non-trivial and not a Baer subplane, hence $x = q^3 + q^2 - q$, and $|B \cap \pi| = q + 1$. If $3q^{3k-6} - q^{3k-7}$, then $(*)$ is larger than $q^{3k} + q^{3k-1} + q^{3k-2} + 3q^{3k-3}$, a contradiction. \square

3 The proof of Theorem 1

In the proof of the main theorem, we distinguish two cases. In both cases we need the following two lemmas.

We continue with the following assumption

(B) B is small minimal k -blocking set in $\text{PG}(n, q^3)$, $p \geq 7$, intersecting every $(n - k)$ -space in $1 \pmod{q}$ points;

and we consider the following properties:

(H₁) $\forall s < k$: every small minimal s -blocking set, intersecting every $(n - s)$ -space in $1 \pmod{q}$ points, not containing a $(q\sqrt{q} + 1)$ -secant, is \mathbb{F}_q -linear;

(H₂) $\forall s < k$: every small minimal s -blocking set, intersecting every $(n - s)$ -space in $1 \pmod{q}$ points, containing a $(q\sqrt{q} + 1)$ -secant, is $\mathbb{F}_{q\sqrt{q}}$ -linear.

Lemma 13. *If (H₁) or (H₂), and S is a small $(n - k + s)$ -space, $0 < s < k$, then $B \cap S$ is a small minimal linear s -blocking set in S , and hence $|B \cap S| \leq (q^{3s+1} - 1)/(q - 1)$.*

Proof. Clearly $B \cap S$ is an s -blocking set in S . Result 2 implies that $B \cap S$ intersects every $(n - k + s - s)$ -space of S in $1 \pmod{p}$ points, and it follows from Result 4 that $B \cap S$ is minimal. Now apply (H₁) or (H₂). \square

Lemma 14. *Suppose (H₁) or (H₂). Let $k > 2$ and let π_{n-2} be an $(n - 2)$ -space such that $B \cap \pi_{n-2}$ is a non-trivial small linear $(k - 2)$ -blocking set, then there are at least $q^3 - q + 6$ small hyperplanes through π_{n-2} .*

Proof. Applying Lemma 13 with $s = k - 2$, it follows that $B \cap \pi_{n-2}$ contains at most $(q^{3k-5} - 1)/(q - 1)$ points. On the other hand, from Lemmas 7 and 13 with $s = k - 1$, we know that a hyperplane intersects B in at most $(q^{3k-2} - 1)/(q - 1)$ points or in more than $q^{3k-2} - q^{3k-4} - q^{3k-5} - 3q^{3k-6}$ points. In the first case, a hyperplane H intersects B in at least $q^{3k-3} + 1 + (q^{3k-3} + q)/(q + 1)$ points, using a result of Szőnyi and Weiner [8, Corollary 3.7] for the $(k - 1)$ -blocking set $H \cap B$. If there are at least $q - 4$ large hyperplanes, then the number of points in B is at least

$$(q - 4)\left(q^{3k-2} - q^{3k-4} - q^{3k-5} - 3q^{3k-6} - \frac{q^{3k-5} - 1}{q - 1}\right) + \\ (q^3 - q + 5)\left(q^{3k-3} + 1 + \frac{q^{3k-3} + q}{q + 1} - \frac{q^{3k-5} - 1}{q - 1}\right) + \frac{q^{3k-5} - 1}{q - 1},$$

which is larger than $q^{3k} + q^{3k-1} + q^{3k-2} + 3q^{3k-3}$ if $q \geq 7$, a contradiction. Hence, there are at most $q - 5$ large hyperplanes through π_{n-2} . \square

3.1 Case 1: there are no $q\sqrt{q} + 1$ -secants

In this subsection, we will use induction on k to prove that small minimal k -blocking sets in $\text{PG}(n, q^3)$, intersecting every $(n-k)$ -space in $1 \pmod{q}$ points and not containing a $(q\sqrt{q} + 1)$ -secant, are \mathbb{F}_q -linear. The induction basis is Theorem 8. We continue with assumptions (H_1) and

(B_1) B is small minimal k -blocking set in $\text{PG}(n, q^3)$, $p \geq 7$, intersecting every $(n-k)$ -space in $1 \pmod{q}$ points, not containing a $(q\sqrt{q} + 1)$ -secant.

Lemma 15. *If B is non-trivial, there exist a point $P \in B$, a tangent $(n-k)$ -space π at the point P and small $(n-k+1)$ -spaces H_i , through π , such that there is a $(q+1)$ -secant through P in H_i , $i = 1, \dots, q^{3k-3} - 2q^{3k-4}$.*

Proof. Since B is non-trivial, there is at least one line N with $1 < |N \cap B| < q^3 + 1$. Lemma 10 shows that there is an $(n-k)$ -space π_N through N such that $B \cap N = B \cap \pi_N$. It follows from Theorem 11 and Lemma 12 that there is at least one $(n-k+1)$ -space H through π_N such that $H \cap B$ is a small minimal linear 1-blocking set of H . In this non-trivial small minimal linear 1-blocking set, there are $(q+1)$ -secants (see Result 3). Let M be one of those $(q+1)$ -secants of B . Again using Lemma 10, we find an $(n-k)$ -space π_M through M such that $B \cap M = B \cap \pi_M$.

Lemma 12(3) shows that through π_M , there are at least $\frac{q^{3k}-1}{q^3-1} - 3q^{3k-6} + q^{3k-7} + 1$ small $(n-k+1)$ -spaces. Let P be a point of M . Since in each of these intersections, P lies on at least $q^2 - 1$ other $(q+1)$ -secants, a point P of M lies in total on at least $(q^2 - 1)(\frac{q^{3k}-1}{q^3-1} - 3q^{3k-6} + q^{3k-7} + 1)$ other $(q+1)$ -secants. Since each of the $\frac{q^{3k}-1}{q^3-1} - 3q^{3k-6} + q^{3k-7} + 1$ small $(n-k+1)$ -spaces contains at least $q^3 + q^2 - q$ points of B not on M , and $|B| < q^{3k} + q^{3k-1} + q^{3k-2} + 3q^{3k-3}$ (see Lemma 7), there are less than $2q^{3k-2} + 6q^{3k-3}$ points of B left in the large $(n-k+1)$ -spaces. Hence, P lies on less than $2q^{3k-5} + 6q^{3k-6}$ full lines.

Since B is minimal, P lies on a tangent $(n-k)$ -space π . There are at most $q^{3k-5} + 4q^{3k-6} - 1$ large $(n-k+1)$ -spaces through π (Lemma 12(1)). Moreover, since at least $\frac{q^{3k}-1}{q^3-1} - (q^{3k-5} + 4q^{3k-6} - 1) - (2q^{3k-5} + 6q^{3k-6})$ $(n-k+1)$ -spaces through π contain at least $q^3 + q^2$ points of B , and at most $2q^{3k-5} + 6q^{3k-6}$ of the small $(n-k+1)$ -spaces through π contain exactly $q^3 + 1$ points of B , there are at most $2q^{3k-2} + 23q^{3k-3}$ points of B left. Hence, P lies on at most $2q^{3k-3} + 23q^{3k-4}$ $(q+1)$ -secants of the large $(n-k+1)$ -spaces through π . This implies that there are at least $(q^2 - 1)(\frac{q^{3k}-1}{q^3-1} - 3q^{3k-6} + q^{3k-7} + 1) - (2q^{3k-3} + 23q^{3k-4})$ $(q+1)$ -secants through P left in small $(n-k+1)$ -spaces through π . Since in a small $(n-k+1)$ -space through π , there can lie at most $q^2 + q + 1$ $(q+1)$ -secants through P , this implies that there are at least $q^{3k-3} - 2q^{3k-4}$ $(n-k+1)$ -spaces H_i through π such that P lies on a $(q+1)$ -secant in H_i . \square

Lemma 16. *Let π be an $(n-k)$ -dimensional tangent space of B at the point P . Let H_1 and H_2 be two $(n-k+1)$ -spaces through π for which $B \cap H_i = \mathcal{B}(\pi_i)$, for some 3-space π_i through $x \in \mathcal{S}(P)$, $\mathcal{B}(x) \cap \pi_i = \{x\}$ ($i = 1, 2$) and $\mathcal{B}(\pi_i)$ not contained in a line of $\text{PG}(n, q^3)$. Then $\mathcal{B}(\pi_1, \pi_2) \subseteq B$.*

Proof. Since $\langle \mathcal{B}(\pi_i) \rangle$ is not contained in a line of $\text{PG}(n, q^3)$, there is at most one element Q of $\mathcal{B}(\pi_i)$ such that $\langle \mathcal{S}(P), Q \rangle$ intersects π_i in a plane. If there is such a plane, then we denote its pointset by μ_i , otherwise we put $\mu_i = \emptyset$.

Let M be a line through x in $\pi_1 \setminus \mu_1$, let $s \neq x$ be a point of $\pi_2 \setminus \mu_2$, and note that $\mathcal{B}(s) \cap \pi_2 = \{s\}$.

We claim that there is a line T through s in π_2 and an $(n-2)$ -space π_M through $\langle \mathcal{B}(M) \rangle$ such that there are at least 4 points $t_i \in T, t_i \notin \mu_2$, such that $\langle \pi_M, \mathcal{B}(t_i) \rangle$ is small and hence has a linear intersection with B , with $B \cap \pi_M = M$ if $k=2$ and $B \cap \pi_M$ is a small minimal $(k-2)$ -blocking set if $k > 2$.

If $k=2$, the existence of π_M follows from Lemma 10(1), and we know from Lemma 12(1) that there are at most $q+3$ large hyperplanes through π_M . Denote the set of points of $\mathcal{B}(\pi_2)$, contained in one of those hyperplanes by F . Hence, if Q is a point of $\mathcal{B}(\pi_2) \setminus F$, $\langle Q, \pi_M \rangle$ is a small hyperplane.

Let T_1 be a line through s in $\pi_2 \setminus \mu_2$ and not through x , and suppose that $\mathcal{B}(T_1)$ contains at least $q-3$ points of F .

Let T_2 be a line in $\pi_2 \setminus \mu_2$, through s , not in $\langle x, T_1 \rangle$, not through x . There are at most $q+3 - (q-3)$ reguli through x of $\mathcal{S}(F)$, not in $\langle x, T_1 \rangle$, and if $\mu \neq \emptyset$ one element of $\mathcal{B}(\mu_2)$ is contained $\mathcal{B}(T_2)$. Since it is possible that $\mathcal{B}(s)$ is an element of F , this gives in total at most 8 points of $\mathcal{B}(T_2)$ that are contained in F . This implies, if $q > 11$, that at least 5 of the hyperplanes $\{\langle \pi_M, \mathcal{B}(t) \rangle \mid t \in T_2\}$ are small.

If $q=11$, it is possible that $\mathcal{B}(T_2)$ contains at least 8 points of F . If T_3 is a line in $\pi_2 \setminus \mu_2$, through s , $\langle x, T_1 \rangle, \langle x, T_2 \rangle$ and not through x , then there are at least 5 points t of T_3 such that $\langle \pi_M, \mathcal{B}(t) \rangle$ is a small hyperplane.

If $q=7$ and if $\mathcal{B}(s) \in \mathcal{B}(F)$, it is possible that $\mathcal{B}(T_2), \mathcal{B}(T_3)$, and $\mathcal{B}(T_4)$, with T_i a line through s in $\pi_2 \setminus \mu_2$, not in $\langle x, T_j \rangle, j < i$, not through x , contain 4 points of F . A fifth line T_5 through s in $\pi_2 \setminus \mu_2$, not in $\langle x, T_j \rangle, j < i$, not through x , contains at least 5 points t such that $\langle \pi_M, \mathcal{B}(t) \rangle$ is a small hyperplane.

If $k > 2$, let T be a line through s in $\pi_2 \setminus \mu_2$, not through x . It follows from Lemma 10(2) that there is an $(n-2)$ -space π_M through $\langle \mathcal{B}(M) \rangle$ such that $B \cap \pi_M$ is a small minimal $(k-2)$ -blocking set of $\text{PG}(n, q^3)$, skew to $\mathcal{B}(T)$. Lemma 14 shows that at most $q-5$ of the hyperplanes through π_M are large. This implies that at least 5 of the hyperplanes $\{\langle \pi_M, \mathcal{B}(t) \rangle \mid t \in \mathcal{B}(T)\}$ are small. This proves our claim.

Since $B \cap \langle \mathcal{B}(t_i), \pi_M \rangle$ is linear, also the intersection of $\langle \mathcal{B}(t_i), \mathcal{B}(M) \rangle$ with B is linear, i.e., there exist subspaces $\tau_i, \tau_i \cap \mathcal{S}(P) = \{x\}$, such that $\mathcal{B}(\tau_i) = \langle \mathcal{B}(t_i), \mathcal{B}(M) \rangle \cap B$. Since $\tau_i \cap \langle \mathcal{B}(M) \rangle$ and M are both transversals through x to the same regulus $\mathcal{B}(M)$, they coincide, hence $M \subseteq \tau_i$. The same holds for $\tau_i \cap \langle \mathcal{B}(t_i), \mathcal{S}(P) \rangle$, implying $t_i \in \tau_i$. We conclude that $\mathcal{B}(\langle M, t_i \rangle) \subseteq \mathcal{B}(\tau_i) \subseteq B$.

We show that $\mathcal{B}(\langle M, T \rangle) \subseteq B$. Let L' be a line of $\langle M, T \rangle$, not intersecting M . The line L' intersects the planes $\langle M, t_i \rangle$ in points p_i such that $\mathcal{B}(p_i) \in B$. Since $\mathcal{B}(L')$ is a subline intersecting B in at least 4 points, Result 5 shows that $\mathcal{B}(L') \subseteq B$. Since every point of the space $\langle M, T \rangle$ lies on such a line L' , $\mathcal{B}(\langle M, T \rangle) \subseteq B$.

Hence, $\mathcal{B}(\langle M, s \rangle) \subseteq B$ for all lines M through x , M in $\pi_1 \setminus \mu_1$, and all points $s \neq x \in \pi_2 \setminus \mu_2$, so $\mathcal{B}(\langle \pi_1, \pi_2 \rangle \setminus (\langle \mu_1, \pi_2 \rangle \cup \langle \mu_2, \pi_1 \rangle)) \subseteq B$. Since every point of $\langle \mu_1, \pi_2 \rangle \cup \langle \mu_2, \pi_1 \rangle$ lies on a line N with $q-1$ points of $\langle \pi_1, \pi_2 \rangle \setminus (\langle \mu_1, \pi_2 \rangle \cup \langle \mu_2, \pi_1 \rangle)$, Result 5 shows that $\mathcal{B}(N) \subseteq B$. We conclude that $\mathcal{B}(\langle \pi_1, \pi_2 \rangle) \subseteq B$. \square

Theorem 17. *The set B is \mathbb{F}_q -linear.*

Proof. If B is a k -space, then B is \mathbb{F}_q -linear. If B is non-trivial small minimal k -blocking set, Lemma 15 shows that there exists a point P of B , a tangent $(n-k)$ -space π at the point P and at least $q^{3k-3} - 2q^{3k-4}$ $(n-k+1)$ -spaces H_i through

π for which $B \cap H_i$ is small and linear, where P lies on at least one $(q+1)$ -secant of $B \cap H_i$, $i = 1, \dots, s$, $s \geq q^{3k-3} - 2q^{3k-4}$. Let $B \cap H_i = \mathcal{B}(\pi_i)$, $i = 1, \dots, s$, with π_i a 3-dimensional space.

Lemma 16 shows that $\mathcal{B}(\langle \pi_i, \pi_j \rangle) \subseteq B$, $0 \leq i \neq j \leq s$.

If $k = 2$, the set $\mathcal{B}(\langle \pi_1, \pi_2 \rangle)$ corresponds to a linear 2-blocking set B' in $\text{PG}(n, q^3)$. Since B is minimal, $B = B'$, and the Theorem is proven.

Let $k > 2$. Denote the $(n - k + 1)$ -spaces through π , different from H_i , by K_j , $j = 1, \dots, z$. It follows from Lemma 15 that $z \leq 2q^{3k-4} + (q^{3k-3} - 1)/(q^3 - 1)$. There are at least $(q^{3k-3} - 2q^{3k-4} - 1)/q^3$ different $(n - k + 2)$ -spaces $\langle H_1, H_j \rangle$, $1 < j \leq s$. If all $(n - k + 2)$ -spaces $\langle H_1, H_j \rangle$, contain at least $5q^2 - 49$ of the spaces K_i , then $z \geq (5q^2 - 49)(q^{3k-3} - 2q^{3k-4} - 1)/q^3$, a contradiction if $q \geq 7$. Let $\langle H_1, H_2 \rangle$ be an $(n - k + 2)$ -spaces containing less than $5q^2 - 49$ spaces K_i .

Suppose by induction that for any $1 < i < k$, there is an $(n - k + i)$ -space $\langle H_1, H_2, \dots, H_i \rangle$ containing at most $5q^{3i-4} - 49q^{3i-6}$ of the spaces K_i such that $\mathcal{B}(\langle \pi_1, \dots, \pi_i \rangle) \subseteq B$.

There are at least $\frac{q^{3k-3} - 2q^{3k-4} - (q^{3i} - 1)/(q^3 - 1)}{q^{3i}}$ different $(n - k + i + 1)$ -spaces $\langle H_1, H_2, \dots, H_i, H \rangle$, $H \not\subseteq \langle H_1, H_2, \dots, H_i \rangle$. If all of these contain at least $5q^{3i-1} - 49q^{3i-3}$ of the spaces K_i , then

$$z \geq (5q^{3i-1} - 49q^{3i-3} - 5q^{3i-4} + 49q^{3i-6}) \frac{q^{3k-3} - 2q^{3k-4} - (q^{3i} - 1)/(q^3 - 1)}{q^{3i}} + 5q^{3i-4} - 49q^{3i-6},$$

a contradiction if $q \geq 7$. Let $\langle H_1, \dots, H_{i+1} \rangle$ be an $(n - k + i + 1)$ -space containing less than $5q^{3i-1} - 49q^{3i-3}$ spaces K_i . We still need to prove that $\mathcal{B}(\langle \pi_1, \dots, \pi_{i+1} \rangle) \subseteq B$. Since $\mathcal{B}(\langle \pi_{i+1}, \pi \rangle) \subseteq B$, with π a 3-space in $\langle \pi_1, \dots, \pi_i \rangle$ for which $\mathcal{B}(\pi)$ is not contained in one of the spaces K_i , there are at most $5q^{3i-4} - 49q^{3i-6}$ 6-dimensional spaces $\langle \pi_{i+1}, \mu \rangle$ for which $\mathcal{B}(\langle \pi_{i+1}, \mu \rangle)$ is not necessarily contained in B , giving rise to at most $(5q^{3i-4} - 49q^{3i-6})(q^6 + q^5 + q^4)$ points t for which $\mathcal{B}(t)$ is not necessarily contained in B . Let u be a point of such a space $\langle \pi_{i+1}, \mu \rangle$. Suppose that each of the $(q^{3i+3} - 1)/(q - 1)$ lines through u in $\langle \pi_1, \dots, \pi_{i+1} \rangle$ contains at least $q - 2$ of the points t for which $\mathcal{B}(t)$ is not in B . Then there are at least $(q - 3)(q^{3i+3} - 1)/(q - 1) + 1 > (5q^{3i-4} - 49q^{3i-6})(q^6 + q^5 + q^4)$ such points t , if $q \geq 7$, a contradiction. Hence, there is a line N through t for which for at least 4 points $v \in N$, $\mathcal{B}(v) \in B$. Result 5 yields that $\mathcal{B}(t) \in B$. This implies that $\mathcal{B}(\langle \pi_1, \dots, \pi_{i+1} \rangle) \subseteq B$.

Hence, the space $\langle H_1, H_2, \dots, H_k \rangle$, which spans the space $\text{PG}(n, q^3)$, is such that $\mathcal{B}(\langle \pi_1, \dots, \pi_k \rangle) \subseteq B$. But $\mathcal{B}(\langle \pi_1, \dots, \pi_k \rangle)$ corresponds to a linear k -blocking set B' in $\text{PG}(n, q^3)$. Since B is minimal, $B = B'$. \square

Corollary 18. *A small minimal k -blocking set in $\text{PG}(n, p^3)$, p prime, $p \geq 7$, is \mathbb{F}_p -linear.*

Proof. This follows from Results 2 and Theorem 17. \square

3.2 Case 2: there are $(q\sqrt{q} + 1)$ -secants to B

In this subsection, we will use induction on k to prove that small minimal k -blocking sets in $\text{PG}(n, q^3)$, intersecting every $(n - k)$ -space in 1 (mod q) points and containing a $q\sqrt{q} + 1$ -secant, are $\mathbb{F}_{q\sqrt{q}}$ -linear. The induction basis is Theorem 8. We continue with assumptions (H_2) and

(B_2) B is small minimal k -blocking set in $\text{PG}(n, q^3)$ intersecting every $(n-k)$ -space in $1 \pmod{q}$ points, containing a $(q\sqrt{q}+1)$ -secant.

In this case, \mathcal{S} maps $\text{PG}(n, q^3)$ onto $\text{PG}(2n+1, q\sqrt{q})$ and the Desarguesian spread consists of lines.

Lemma 19. *If B is non-trivial, there exist a point $P \in B$, a tangent $(n-k)$ -space π at P and small $(n-k+1)$ -spaces H_i through π , such that there is a $(q\sqrt{q}+1)$ -secant through P in H_i , $i = 1, \dots, q^{3k-3} - q^{3k-4} - 2\sqrt{q}q^{3k-5}$.*

Proof. There is a $(q\sqrt{q}+1)$ -secant M . Lemma 10(1) shows that there is an $(n-k)$ -space π_M through M such that $B \cap M = B \cap \pi_M$.

Lemma 12(3) shows that there are at least $\frac{q^{3k}-1}{q^3-1} - q^{3k-5} - 5q^{3k-6} + 1$ small $(n-k+1)$ -spaces through π_M . Moreover, the intersections of these small $(n-k+1)$ -spaces with B are Baer subplanes $\text{PG}(2, q\sqrt{q})$, since there is a $(q\sqrt{q}+1)$ -secant M . Let P be a point of $M \cap B$.

Since in any of these intersections, P lies on $q\sqrt{q}$ other $(q\sqrt{q}+1)$ -secants, a point P of $M \cap B$ lies in total on at least $q\sqrt{q}(\frac{q^{3k}-1}{q^3-1} - q^{3k-5} - 5q^{3k-6} + 1)$ other $(q\sqrt{q}+1)$ -secants. Since any of the $\frac{q^{3k}-1}{q^3-1} - q^{3k-5} - 5q^{3k-6} + 1$ small $(n-k+1)$ -spaces through π_M contains q^3 points of B not in π_M , and $|B| < q^{3k} + q^{3k-1} + q^{3k-2} + 3q^{3k-3}$ (see Lemma 7), there are less than $q^{3k-1} + 4q^{3k-2}$ points of B left in the other $(n-k+1)$ -spaces through π_M . Hence, P lies on less than $q^{3k-4} + 4q^{3k-5}$ full lines.

Since B is minimal, there is a tangent $(n-k)$ -space π through P . There are at most $q^{3k-5} + 4q^{3k-6} - 1$ large $(n-k+1)$ -spaces through π (Lemma 12(1)). Moreover, since at least $\frac{q^{3k}-1}{q^3-1} - (q^{3k-5} + 4q^{3k-6} - 1) - (q^{3k-4} + 4q^{3k-5})$ small $(n-k+1)$ -spaces through π contain $q^3 + q\sqrt{q} + 1$ points of B , and at most $q^{3k-4} + 4q^{3k-5}$ of the small $(n-k+1)$ -spaces through π contain exactly $q^3 + 1$ points of B , there are at most $q^{3k-1} - q^{3k-2}\sqrt{q} + 4q^{3k-2}$ points of B left. Hence, P lies on at most $(q^{3k-1} - q^{3k-2}\sqrt{q} + 4q^{3k-2}) / (q\sqrt{q} + 1)$ different $(q\sqrt{q}+1)$ -secants of the large $(n-k+1)$ -spaces through π . This implies that there are at least $q\sqrt{q}(\frac{q^{3k}-1}{q^3-1} - q^{3k-5} - 5q^{3k-6} + 1) - (q^{3k-1} - q^{3k-2}\sqrt{q} + 4q^{3k-2}) / (q\sqrt{q} + 1)$ different $(q\sqrt{q}+1)$ -secants left through P in small $(n-k+1)$ -spaces through π . Since in a small $(n-k+1)$ -space through π , there lie $q\sqrt{q} + 1$ different $(q\sqrt{q}+1)$ -secants through P , this implies that there are certainly at least $q^{3k-3} - q^{3k-4} - 2\sqrt{q}q^{3k-5}$ small $(n-k+1)$ -spaces H_i through π such that P lies on a $(q\sqrt{q}+1)$ -secant in H_i . \square

Lemma 20. *Let π be an $(n-k)$ -dimensional tangent space of B at the point P . Let H_1 and H_2 be two $(n-k+1)$ -spaces through π for which $B \cap H_i = \mathcal{B}(\pi_i)$, for some plane π_i through $x \in \mathcal{S}(P)$, $\mathcal{B}(x) \cap \pi_i = \{x\}$ ($i = 1, 2$) and $\mathcal{B}(\pi_i)$ not contained in a line of $\text{PG}(n, q^3)$. Then $\mathcal{B}(\langle \pi_1, \pi_2 \rangle) \subseteq B$.*

Proof. Let M be a line through x in π_1 , let $s \neq x$ be a point of π_2 .

We claim that there is a line T through s , not through x , in π_2 and an $(n-2)$ -space π_M through $\langle \mathcal{B}(M) \rangle$ such that there are at least $q\sqrt{q} - q - 2$ points $t_i \in T$, such that $\langle \pi_M, \mathcal{B}(t_i) \rangle$ is small and hence has a linear intersection with B , with $B \cap \pi_M = M$ if $k = 2$ and $B \cap \pi_M$ is a small minimal $(k-2)$ -blocking set if $k > 2$. From Lemma 12(1), we know that there are at most $q + 3$ large hyperplanes through π_M if $k = 2$, and at most $q - 5$ if $k > 2$ (see Lemma 14).

Let T be a line through s in π_2 , not through x . The existence of π_M follows from Lemma 10(1) if $k = 2$, and Lemma 10(2) if $k > 2$. Since $\mathcal{B}(T)$ contains $q\sqrt{q} + 1$ spread elements, there are at least $q\sqrt{q} - q - 2$ points $t_i \in T$ such that $\langle \pi_M, \mathcal{B}(t_i) \rangle$ is small. This proves our claim.

Since $B \cap \langle \mathcal{B}(t_i), \pi_M \rangle$ is linear, also the intersection of $\langle \mathcal{B}(t_i), \mathcal{B}(M) \rangle$ with B is linear, i.e., there exist subspaces τ_i , $\tau_i \cap \mathcal{S}(P) = \{x\}$, such that $\mathcal{B}(\tau_i) = \langle \mathcal{B}(t_i), \mathcal{B}(M) \rangle \cap B$. Since $\tau_i \cap \langle \mathcal{B}(M) \rangle$ and M are both transversals through x to the same regulus $\mathcal{B}(M)$, they coincide, hence $M \subseteq \tau_i$. The same holds for $\tau_i \cap \langle \mathcal{B}(t_i), \mathcal{S}(P) \rangle$, implying $t_i \in \tau_i$. We conclude that $\mathcal{B}(\langle M, t_i \rangle) \subseteq \mathcal{B}(\tau_i) \subseteq B$.

We show that $\mathcal{B}(\langle M, T \rangle) \subseteq B$. Let L' be a line of $\langle M, T \rangle$, not intersecting M . The line L' intersects the planes $\langle M, t_i \rangle$ in points p_i such that $\mathcal{B}(p_i) \subseteq B$. Since $\mathcal{B}(L')$ is a subline intersecting B in at least $q\sqrt{q} - q - 2$ points, Result 6 shows that $\mathcal{B}(L') \subseteq B$. Since every point of the space $\langle M, T \rangle$ lies on such a line L' , $\mathcal{B}(\langle M, T \rangle) \subseteq B$.

Hence, $\mathcal{B}(\langle M, s \rangle) \subseteq B$ for all lines M through x in π_2 , and all points $s \neq x \in \pi_2$. We conclude that $\mathcal{B}(\langle \pi_1, \pi_2 \rangle) \subseteq B$. \square

Theorem 21. *The set B is $\mathbb{F}_{q\sqrt{q}}$ -linear.*

Proof. Lemma 19 shows that there exists a point P of B , a tangent $(n - k)$ -space π at the point P and at least $q^{3k-3} - q^{3k-4} - 2\sqrt{q}q^{3k-5}$ $(n - k + 1)$ -spaces H_i through π for which $B \cap H_i$ is a Baer subplane, $i = 1, \dots, s$, $s \geq q^{3k-3} - q^{3k-4} - 2\sqrt{q}q^{3k-5}$. Let $B \cap H_i = \mathcal{B}(\pi_i)$, $i = 1, \dots, s$, with π_i a plane.

Lemma 20 shows that $\mathcal{B}(\langle \pi_i, \pi_j \rangle) \subseteq B$, $0 \leq i \neq j \leq s$.

If $k = 2$, the set $\mathcal{B}(\langle \pi_1, \pi_2 \rangle)$ corresponds to a linear 2-blocking set B' in $\text{PG}(n, q^3)$. Since B is minimal, $B = B'$, and the Theorem is proven.

Let $k > 2$. Denote the $(n - k + 1)$ -spaces through π different from H_i by K_j , $j = 1, \dots, z$. There are at least $(q^{3k-3} - q^{3k-4} - 2\sqrt{q}q^{3k-5} - 1)/q^3$ different $(n - k + 2)$ -spaces $\langle H_1, H_j \rangle$, $1 < j \leq s$. If all $(n - k + 2)$ -spaces $\langle H_1, H_j \rangle$, contain at least $2q^2$ of the spaces K_i , then $z \geq 2q^2(q^{3k-3} - q^{3k-4} - 2\sqrt{q}q^{3k-5} - 1)/q^3$, a contradiction if $q \geq 49$. Let $\langle H_1, H_2 \rangle$ be an $(n - k + 2)$ -spaces containing less than $2q^2$ spaces K_i .

Suppose, by induction, that for any $1 < i < k$, there is an $(n - k + i)$ -space $\langle H_1, H_2, \dots, H_i \rangle$ containing at most $2q^{3i-4}$ of the spaces K_i , such that $\mathcal{B}(\langle \pi_1, \dots, \pi_i \rangle) \subseteq B$.

There are at least $\frac{q^{3k-3} - q^{3k-4} - 2\sqrt{q}q^{3k-5} - (q^{3i} - 1)/(q^3 - 1)}{q^{3i}}$ different $(n - k + i + 1)$ -spaces $\langle H_1, H_2, \dots, H_i, H \rangle$, $H \not\subseteq \langle H_1, H_2, \dots, H_i \rangle$.

If all of these contain at least $2q^{3i-1}$ of the spaces K_i , then

$$z \geq (2q^{3i-1} - 2q^{3i-4}) \frac{q^{3k-3} - q^{3k-4} - 2\sqrt{q}q^{3k-5} - (q^{3i} - 1)/(q^3 - 1)}{q^{3i}} + 2q^{3i-4},$$

a contradiction if $q \geq 49$. Let $\langle H_1, \dots, H_{i+1} \rangle$ be an $(n - k + i + 1)$ -space containing less than $2q^{3i-1}$ spaces K_i . We still need to prove that $\mathcal{B}(\pi_1, \dots, \pi_{i+1}) \subseteq B$.

Since $\mathcal{B}(\langle \pi_{i+1}, \pi \rangle) \subseteq B$, with π a plane in $\langle \pi_1, \dots, \pi_i \rangle$ for which $\mathcal{B}(\pi)$ is not contained in one of the spaces K_i , there are at most $2q^{3i-4}$ 4-dimensional spaces $\langle \pi_{i+1}, \mu \rangle$ for which $\mathcal{B}(\langle \pi_{i+1}, \mu \rangle)$ is not necessarily contained in B , giving rise to at most $2q^{3i-4}(q^6 + q^4\sqrt{q})$ points Q_i for which $\mathcal{B}(Q_i)$ is not necessarily in B . Let Q be a point of such a space $\langle \pi_{i+1}, \mu \rangle$.

There are $((q\sqrt{q})^{2i+2} - 1)/(q\sqrt{q} - 1)$ lines through Q in $\langle \pi_1, \dots, \pi_{i+1} \rangle \cong \text{PG}(2i + 2, q\sqrt{q})$, and there are at most $2q^{3i-4}(q^6 + q^4\sqrt{q})$ points Q_i for which

$\mathcal{B}(Q_i)$ is not necessarily in B . Suppose all lines through Q in $\langle \pi_1, \dots, \pi_{i+1} \rangle \cong \text{PG}(2i+2, q\sqrt{q})$ contain at least $q\sqrt{q} - q - \sqrt{q}$ points Q_i for which $\mathcal{B}(Q_i)$ is not necessarily in B , then there are at least $(q\sqrt{q} - q - \sqrt{q} - 1)((q\sqrt{q})^{2i+2} - 1)/(q\sqrt{q} - 1) + 1 > 2q^{3i-4}(q^6 + q^4\sqrt{q})$ points Q_i for which $\mathcal{B}(Q_i)$ is not necessarily in B , a contradiction.

Hence, there is a line N through Q in $\langle \pi_1, \dots, \pi_{i+1} \rangle$ with at most $q\sqrt{q} - q - \sqrt{q} - 1$ points Q_i for which $\mathcal{B}(Q_i)$ is not necessarily contained in B , hence, for at least $q + \sqrt{q} + 2$ points $R \in N$, $\mathcal{B}(R) \in B$. Result 6 yields that $\mathcal{B}(Q) \in B$. This implies that $\mathcal{B}(\langle \pi_1, \dots, \pi_{i+1} \rangle) \subseteq B$.

Hence, the space $\mathcal{B}(\langle H_1, H_2, \dots, H_k \rangle)$ is such that $\mathcal{B}(\langle \pi_1, \dots, \pi_k \rangle) \subseteq B$. But $\mathcal{B}(\langle \pi_1, \dots, \pi_k \rangle)$ corresponds to a linear k -blocking set B' in $\text{PG}(n, q^3)$. Since B is minimal, $B = B'$. \square

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