

Extension Sets, Affine Designs, and Hamada's Conjecture

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1. Background: Designs with Classical Parameters
2. Background: Hamada's Conjecture
3. Extension Sets and Affine Designs
4. Examples: Near-pencils
5. Examples: Line Ovals
6. Linear Extension Sets
7. Problems

Let V be a set of cardinality v , and let \mathcal{B} be a subset of $\mathcal{P}(V)$. One calls

- the elements of V *points*, and
- the elements of \mathcal{B} *blocks*.

The pair (V, \mathcal{B}) is said to be a (v, k, λ) -*design* provided that:

- Each block contains exactly k points.
- Given any two distinct points, there are exactly λ blocks containing both points.

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The *Gaussian coefficient* $\begin{bmatrix} n \\ i \end{bmatrix}_q$ is the number of i -dimensional subspaces of an n -dimensional vector space over $GF(q)$:

$$\begin{bmatrix} n \\ i \end{bmatrix}_q = \frac{(q^n - 1)(q^{n-1} - 1) \cdots (q^{n-i+1} - 1)}{(q^i - 1)(q^{i-1} - 1) \cdots (q - 1)}$$

Let $\Sigma = AG(n, q)$ be the n -dimensional affine space over $GF(q)$.

The points and d -spaces of Σ form a resolvable 2 -(v, k, λ) design $\mathcal{D} = AG_d(n, q)$ with parameters

$$v = q^n, \quad k = q^d, \quad \lambda = \begin{bmatrix} n-1 \\ d-1 \end{bmatrix}_q,$$

$$r = \begin{bmatrix} n \\ d \end{bmatrix}_q, \quad b = r \cdot q^{n-d}.$$

These designs – and their projective analogues $PG_d(n, q)$ – are called *classical* or *geometric*.

Theorem. (DJ 1984,2011, DJ & VDT 2009, 2011, DJ & KM 2016)
Let q be any prime power and d an integer in the range $1 \leq d \leq n - 1$.
If we fix either d or $n - d$, then the number of (resolvable)
non-isomorphic designs having the same parameters as $AG_d(n, q)$ or
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Example. ($n = 3$, $q = 4$, $d = 1, 2$)

■ There are at least

$$2^{19} \cdot 3^{12} \cdot 5^7 \cdot 7^7 \cdot 143^4 > 10^{30}$$

non-isomorphic resolvable $2 - (64, 4, 1)$ designs. (DJ & KM 2016)

■ There are at least 21,621,600 non-isomorphic resolvable
 $2 - (64, 16, 5)$ designs. (Harada, Lam & VDT 2003)

Let $\mathcal{D} = (V, \mathcal{B}, I)$ be a (v, k, λ) -design and label the points as p_1, \dots, p_v and the blocks as B_1, \dots, B_b . The matrix $M = (m_{ij})_{i=1, \dots, b; j=1, \dots, v}$ defined by

$$m_{ij} := \begin{cases} 1 & \text{if } p_j \in B_i \\ 0 & \text{otherwise} \end{cases}$$

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Now let F be some field. The F -vector space spanned by the rows of M is called the (*block*) *code* of \mathcal{D} over F and will be denoted by $\mathcal{C}_F(\mathcal{D})$.

For most fields, this notion is not interesting:

Proposition. Assume $v > k$. Then M has rank v over any field of characteristic 0 as well as over any field of characteristic p , where p is a prime not dividing any of the numbers r , k and $n := r - \lambda$.

Moreover, If p divides one of r or k , but not n , the rank of M over F is either v or $v - 1$.

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Assume that F has a prime characteristic p dividing n . One calls rank $M = \dim \mathcal{C}_F(\mathcal{D})$ the p -rank of \mathcal{D} .

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Explicit summation formulas for the p -rank of the incidence matrix of a geometric design were given by Hamada in 1968.

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Conjecture. Let \mathcal{D} be a design with the parameters of a geometric design $PG_d(n, q)$ or $AG_d(n, q)$, where q is a power of a prime p .

Then the p -rank of the incidence matrix of \mathcal{D} is greater than or equal to the p -rank of the corresponding geometric design. (*Weak Hamada Conjecture*)

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Moreover, equality holds if and only if \mathcal{D} is isomorphic to the geometric design. (*Strong Hamada Conjecture*)

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- It provides a computationally simple characterization of geometric designs.
- It implies that any finite projective plane of prime order is Desarguesian.

Hamada's Conjecture (in its strong version) is known to hold for the designs corresponding to the following cases:

- hyperplanes in a binary projective or affine space (Hamada & Ohmori 1975);
- lines in a binary projective or ternary affine space (Doyen, Hubaut & Vandensavel 1978);
- planes in a binary affine space (Teirlinck 1980).

Counterexamples to Hamada's Conjecture

- four $2-(31, 7, 7)$ designs with the same parameters as $PG_2(4, 2)$, all of 2-rank 16 (Tonchev 1986);
- four $3-(32, 8, 7)$ designs with the same parameters as $AG_3(5, 2)$, all of 2-rank 16 (Tonchev 1986);

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Theorem (DJ & VDT 2009). Let $q = p$ be a prime, and let $d \geq 2$. Then there exists a design with the same parameters and the same p -rank as, but not isomorphic to, the geometric design $PG_d(2d, p)$.

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Theorem (Clark, DJ & VDT 2010). Let $d \geq 2$. Then there exists a design with the same parameters and the same 2-rank as, but not isomorphic to, the geometric design $AG_{d+1}(2d + 1, 2)$.

- \mathcal{D} – an affine design with the parameters of $AG_2(3, q)$
- A block B is called *good* if the incidence structure $\mathcal{D}(B)$ induced on B by the intersections of non-parallel blocks is a q -**fold multiple** of an affine plane \mathcal{A} .

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- \mathcal{D}_B – the residual structure induced on the points not in B
- View blocks parallel to B as “groups” in \mathcal{D}_B
- \mathcal{D}_B becomes a resolvable GDD \mathcal{E} with parameters

$$m = q - 1, \quad n = q^2, \quad k = q^2 - q, \quad \lambda_1 = q, \quad \lambda_2 = q + 1$$

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- *bundle* – q blocks of \mathcal{D} intersecting B in a fixed line ℓ of \mathcal{A}
- The bundles give a second resolution of \mathcal{E} , orthogonal to the natural parallelism.

An *extension set* for \mathcal{A} is a collection \mathcal{F} of q^2 sets of lines of \mathcal{A} , called *factors*, such that

- (F1) Each factor in \mathcal{F} contains precisely one line from each parallel class of \mathcal{A} .
- (F2) Any two distinct factors in \mathcal{F} have precisely one line of \mathcal{A} in common.

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Examples.

- *pencil type*: Associate with each point p of \mathcal{A} the factor F_p consisting of the $q + 1$ lines through p .
- *translation type*: Choose a first factor F by selecting an arbitrary line from each parallel class of \mathcal{A} , and then apply the translation group T of \mathcal{A} to obtain q^2 factors.

Lemma.

Define a new incidence structure \mathcal{A}' as follows:

- The points are the factors in \mathcal{F} .
- The lines are the lines of \mathcal{A} .
- A point (= factor F) is incident with a line ℓ if and only if $\ell \in F$.

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Then \mathcal{A}' is an affine plane of order q with the same line set.

Two distinct lines are parallel in \mathcal{A}' if and only if they are parallel in \mathcal{A} .

Modify \mathcal{D} as follows:

- Consider the groups of \mathcal{E} again as blocks.
- Adjoin the factors in \mathcal{F} to \mathcal{E} as new points, forming a new block B' .
- Let a new point F be incident with the $q(q+1)$ blocks of \mathcal{E} in the $q+1$ bundles determined by the lines in F .

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Every block of \mathcal{D}_B is a point set of the form $C \setminus \ell$ for a unique block C of \mathcal{D} , where the intersection $\ell = C \cap B$ of the good block B with C is a line of the affine plane \mathcal{A} induced on B .

Then $C \setminus \ell$ is extended to a block C' of the new incidence structure \mathcal{D}' by adjoining the q points of the line ℓ considered as a line of \mathcal{A}' , that is, by adjoining the q factors in \mathcal{F} containing ℓ .

Theorem.

The new incidence structure $\mathcal{D}' = \mathcal{D}'(\mathcal{F})$ is an affine design with the same parameters as \mathcal{D} .

B' is a good block of \mathcal{D}' such that

- $\mathcal{D}'(B')$ is the q -fold multiple of \mathcal{A}' ;
- $\mathcal{D}'_{B'} = \mathcal{D}_B$.

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If $\mathcal{D} = AG_2(3, q)$, where $q \geq 3$, and if \mathcal{F} is not of pencil type, then \mathcal{D}' is not isomorphic to \mathcal{D} .

Assume that \mathcal{F} is of translation type. Then the lines in \mathcal{D}' are as follows:

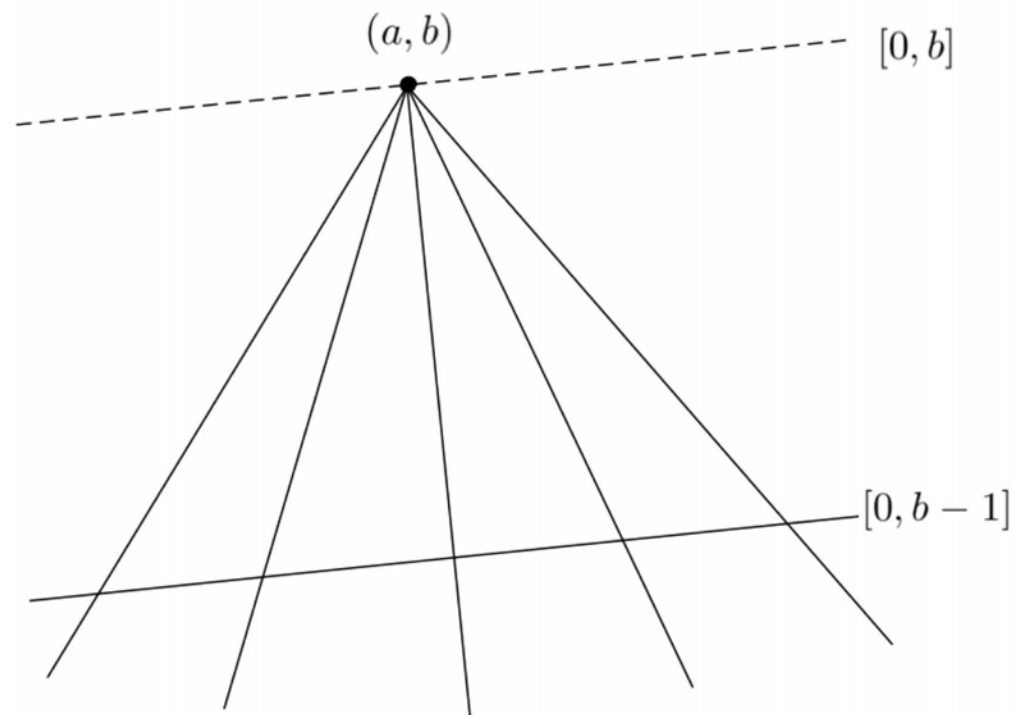
- A line ℓ of \mathcal{D} which is not parallel to B loses the point $B \cap \ell$ in \mathcal{D}' and becomes a line of size $q - 1$.
- The lines in B' have size q in \mathcal{D}' .
- The lines of \mathcal{D} parallel to, but not in, B remain unchanged in \mathcal{D}' .
- A point in B' and a point of \mathcal{D}_B always determine a line of size 2 in \mathcal{D}' .

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- A point in B' and a point of \mathcal{D}_B always determine a line of size 2 in \mathcal{D}' .

Hence the good blocks of \mathcal{D}' are B' and its parallel blocks.

Replacing the line $[0, b]$ of the pencil through the point (a, b) by $[0, b - 1]$ gives the *nearpencil* through (a, b) :



The q^2 nearpencils form an extension set of translation type.

Theorem. Let \mathcal{D} be a classical affine design $AG_2(3, q)$, where $q = p^n \geq 3$ and p is a prime. Let B be an arbitrary block of \mathcal{D} , and let \mathcal{F} be the extension set of nearpencil type.

Then the affine design $\mathcal{D}' = \mathcal{D}'(\mathcal{F})$ has the same parameters as \mathcal{D} , and its p -rank exceeds that of \mathcal{D} by an integer d satisfying

$$1 \leq d \leq q - p^{n-1} - 1.$$

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- The bounds coincide for $q \in \{3, 4\}$.
- The p -rank of \mathcal{D}' attains the upper bound for all $q \leq 19$.

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- Any line oval in \mathcal{A} determines a maximal arc of degree $q/2$, namely the $q(q-1)/2$ points which are not contained in any of its lines (and conversely).
- Let $\mathcal{A} = AG(2, q)$, and choose the line oval F as the affine part of a regular dual hyperoval in $PG(2, q)$. Then F is a *line conic*.

Theorem (Kantor 1975).

- An extension set of translation type determined by a line oval F is the set of blocks of a symmetric design \mathcal{S} with parameters

$$v = q^2, \quad k = \frac{1}{2}q(q+1) \quad \text{and} \quad \lambda = \frac{1}{4}q(q+2).$$

- Assume that $\mathcal{A} = AG(2, q)$ and that F is a line conic. Then \mathcal{S} admits a 2-transitive automorphism group G .

Theorem.

- Let B be a block of the affine design $\mathcal{D} = AG_2(3, 4)$, and let \mathcal{F} be an extension set determined by a line conic in the affine plane \mathcal{A} of order 4 induced on B .

Then the affine design $\mathcal{D}' = \mathcal{D}'(\mathcal{F})$ is not isomorphic to \mathcal{D} , but has the same parameters and the same 2-rank as \mathcal{D} .

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- Let \tilde{B} be one of the three good blocks of \mathcal{D}' distinct from B' , and let $\tilde{\mathcal{F}}$ be an extension set determined by a line conic in the affine plane $\tilde{\mathcal{A}}$ of order 4 induced on \tilde{B} .

Then the affine design $\mathcal{D}'' = \mathcal{D}''(\tilde{\mathcal{F}})$ is not isomorphic to either \mathcal{D} or \mathcal{D}' , but has the same parameters and the same 2-rank.

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- Iterating the construction two more times gives an affine design \mathcal{D}''' isomorphic to \mathcal{D}' , and then an affine design isomorphic to \mathcal{D} .

The case $q = 4$

Essential steps:

- \mathcal{D} has 2-rank 16.
- \mathcal{D}_B is *linearly embeddable* in \mathcal{D} : it has 2-rank $15 = 16 - 1$.

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Note: The residual designs of $AG_{n-1}(n, q)$ and of $PG_{n-1}(n, q)$ are always linearly embeddable. (Tonchev 2016)

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Note: The residual designs of $AG_{n-1}(n, q)$ and of $PG_{n-1}(n, q)$ are always linearly embeddable. (Tonchev 2016)
- An incidence matrix M for \mathcal{D} :

$$\begin{array}{ccccc}
 & 80 & & \tilde{B} & B \\
 & & & & \\
 \left(\begin{array}{ccccc}
 M(\mathcal{D}(B)) & \mathbf{0} & \mathbf{0} & \mathbf{0} & \mathbf{j} \\
 \hline
 & \mathbf{0} & \mathbf{0} & \mathbf{j} & \mathbf{0} \\
 M(\mathcal{E}) & \mathbf{0} & \mathbf{j} & \mathbf{0} & \mathbf{0} \\
 & \mathbf{j} & \mathbf{0} & \mathbf{0} & \mathbf{0}
 \end{array} \right) & \begin{array}{l} 16 \\ \\ 48 \end{array}
 \end{array}$$

The case $q = 4$

- Let \mathcal{C} be the code spanned by the last 48 rows of M . Then the sum $\mathbf{r}_p + \mathbf{r}_{p'}$ of any two of the first 16 row vectors belongs to \mathcal{C} .
- It suffices to prove the analogous statement for any two of the first 16 row vectors of a corresponding incidence matrix M' for \mathcal{D}' .
- Check that the sum $\mathbf{s} = \mathbf{r}_F + \mathbf{r}_{F'}$ of any two “oval vectors” (with $F, F' \in \mathcal{F}$) can also be written as the sum $\mathbf{r}_p + \mathbf{r}_{p'}$ of two “pencil vectors”.

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- Show that the residual structure $\mathcal{D}_{\tilde{B}}$ is linearly embeddable in \mathcal{D}' .
- Then an analogous proof works for \mathcal{D}'' .

An extension set in $\mathcal{A} = AG(2, q)$ is called *linear* if it yields a non-classical linear embedding of the residual structure $RAG_2(3, q)$ of $AG_2(3, q)$.

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Example: The extension sets defined by a line conic in $AG(2, 8)$ and by a line conic or the Lunelli-Sce hyperoval in $AG(2, 16)$ are *not* linear:

- $\mathcal{D} = AG_2(3, 8)$ has 2-rank 64, but \mathcal{D}' has 2-rank 70;
- $\mathcal{D} = AG_2(3, 16)$ has 2-rank 256, but \mathcal{D}' has 2-rank 280 resp. 288.

Theorem.

Let $q \geq 3$ be a prime power, and let \mathcal{F} be a linear extension set for the classical affine plane $\mathcal{A} = AG(2, q)$ which is not of pencil type.

Then necessarily $q = 4$, and \mathcal{F} belongs to a line conic in $AG(2, 4)$.

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Corollary.

The class of affine designs with the parameters of some $AG_2(3, q)$, where $q \geq 5$, which arise from $RAG_2(3, q)$ and some extension set for $AG(2, q)$ satisfies Hamada's conjecture.

The essential tool:

Theorem. (Polverino and Zullo 2016)

Let \mathcal{C} be the block code of some classical symmetric design $PG_{d-1}(d, q)$.

Then the minimum weight of \mathcal{C} is $q^{d-1} + \dots + q + 1$, and the second smallest weight is $2q^{d-1}$.

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Moreover, all codewords of minimum weight belong to incidence vectors of hyperplanes,

and all codewords of weight $2q^{d-1}$ arise from the difference of the incidence vectors of two distinct hyperplanes (up to scalar multiples).

Corollary.

Let \mathcal{C} be the point code of some classical affine design $AG_{d-1}(d, q)$.

Then the minimum weight of \mathcal{C} is $q^{d-1} + \dots + q + 1$, and the second smallest weight is $2q^{d-1}$.

Moreover, all codewords of minimum weight belong to incidence vectors of points, and all codewords of weight $2q^{d-1}$ arise from the difference of the incidence vectors of two distinct points (up to scalar multiples).

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- Show that the extension set of nearpencil type for $AG(2, q)$, $q = p^n \geq 3$, always transforms $AG_2(3, q)$ into an affine design with p -rank raised by $q - p^{n-1} - 1$.
- Find (and prove) a formula for the 2-rank of affine designs with the parameters of $AG_2(3, q)$, q even, constructed from the extension set given by a line conic in $AG(2, q)$.

Thanks for your attention.