# An Overview on Post-Quantum Cryptography with an Emphasis on Code based Systems

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#### Outline

- Basics on Public Key Crypto Systems
- 2 Research Directions in Post-Quantum Cryptography
- Variants of McEliece System
- 4 Distinguisher Attacks
- **5** McEliece for Rank Metric Codes



#### Where are Public Key Systems used:



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Public Key Crypto Systems appear in a wide variety of applications such as

• Exchange of a secret key over an insecure channel.



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- Digital Cash systems such as BitCoins.



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- Digital signatures and authentication protocols involve often a discrete logarithm problem over a finite field.





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- The best known algorithm for the DLP problem over an elliptic curve is exponential time.
- On a quantum computer both the factoring problem and the DLP problem have polynomial running time. [Sho97].



#### NSA and NIST

NSA: ([nis15]) (From Wikipedia) In August, 2015, NSA announced that it is planning to transition "in the not too distant future" to a new cipher suite that is resistant to quantum attacks. "Unfortunately, the growth of elliptic curve use has bumped up against the fact of continued progress in the research on quantum computing, necessitating a re-evaluation of our cryptographic strategy." NSA advised: "For those partners and vendors that have not yet made the transition to Suite B algorithms, we recommend not making a significant expenditure to do so at this point but instead to prepare for the upcoming quantum resistant algorithm transition."



#### NSA and NIST

NIST: ([nis16]) In February 2016 NIST released a "Report on Post-Quantum Cryptography". Quote: "It is unclear when scalable quantum computers will be available, however in the past year or so, researchers working on building a quantum computer have estimated that it is likely that a quantum computer capable of breaking RSA - 2048 in a matter of hours could be built by 2030 for a budget of about a billion dollars. This is a serious long - term threat to the cryptosystems currently standardized by NIST"



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- Latttice Based Cryptography
- Multivariate Cryptography

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- As public key serves a lattice basis which does not contain the short vector.



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- Solving systems of polynomial equations over a finite field can be a hard problem.
- There are many systems in some reduced form which can be readily solved.
- It is possible to transform an 'easy system' into a 'hard system' without a huge increase in the equation size.



# Traditional McEliece Crypto System

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- Public will be  $\tilde{G}:=SGP$  where S is a random invertible matrix and P a permutation matrix. The matrices S,G,P are kept private.
- Encryption:  $m \mapsto m\tilde{G} + e$ , where e is an error vector with weight half the minimum distance. The designer has available the Berlekamp-Massey algorithm for decoding in polynomial time.

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- Positive: No polynomial time quantum algorithm is known to decode a general linear block code. Even better, it is known that decoding a general linear code is a NP-hard problem [BMvT78].
- Negative: The public key is fairly large. About 0.5
   Megabites compared to 0.1 Megabites for RSA and 0.02
   Megabites for elliptic curves.



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- Negative: Sidelnikov and Shestakov [SS92] were able to retrieve the underlying code structure in polynomial time.
- Puncturing and Subspace Constructions: There were many variants proposed when the starting code is a Reed-Solomon code and the code structure is further disguised through puncturing and adding extra parity check equations. There are powerful recent "distinguisher attacks" (Valérie Gauthier, Ayoub Otmani, Jean-Pierre Illichsity of Zurich and Alain Couvreur, Irene Marquez-Corbella, Rund Pellikaan)

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- Breaking: In 2007 Minder and Shokrollahi came up with an adaptation of the Sidelnikov and Shestakov attack and this resulted in polynomial time algorithm to recover the underlying code structure.

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- MDPC Codes: Medium Density Parity check codes are still a viable and one of the most promising proposals and research is ongoing.



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- Specifying the errors: Together with Baldi, Chiaraluce and Schipani [BBC+16] we showed that it is possible to do a transformation of the generator matrix (e.g. with low rank matrices) where encryption then requires that the error vectors have to lie in a specified variety.

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- Low weight transformations: Instead of using monomial transformations it is possible to use transformations where low weight vectors are mapped onto low weight vectors.

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#### Definition

Let  $\mathcal{C} \subset \mathbb{F}^n$  be a [n, k] block code. Then the square  $\mathcal{C}^2$  of  $\mathcal{C}$  is defined as the span of all vectors of the form

$$\{a \star b \mid a, b \in \mathcal{C}\}$$

where  $a \star b$  denotes the (component-wise) Hadamard product.



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#### Remark

**Nota Bene:** The dimension of  $C^2$  is invariant under an isometry transformation.

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Couvreur, Gauthier, Otmani, Tillich Marquez-Corbella and Pellikaan showed:

#### Theorem

When  $\mathcal{C} \subset \mathbb{F}^n$  be a [n,k] block code then

$$\dim(\mathcal{C}^2) \leq \frac{1}{2}k(k+1).$$

For an [n, k] Reed Solomon code one has:

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The small dimension of a disguised square code is often the basis to recover the hidden Reed-Solomon type structure. The square code also serves as **distinguisher** for algebraic geometric codes University of Zurich

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 When the average row weight of the transforming matrix is strictly less than 2 Couvreur e.al. extended their distinguisher attack [COTGU15]. Instead of using monomial transformations one can use transformations represented by some matrix having 'low row weight' everywhere. This idea has its origin in  $[BBC^+16]$ .

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- Violetta Weger derived further conditions which guarantee maximal dimension of the square code. In this situation the distingusiher is 'hidden'.

#### McEliece for Rank Metric Codes

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### Definition

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Gabidulin provided several constructions and decoding algorithms of linear rank metric codes with good distances.

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#### Gabidulin Codes

#### Definition

Let  $\alpha = (\alpha_1, ..., \alpha_n) \in \mathbb{F}_{q^m}^n$  be such that  $\alpha_i$  are independent over  $\mathbb{F}_q$ . The Gabidulin code  $\mathsf{Gab}_{n,k}(\alpha)$  is given by

$$\mathsf{Gab}_{n,k}(\alpha) = \{(f(\alpha_1), f(\alpha_2), ..., f(\alpha_n)) \mid f \in \mathcal{L}_{q,m,k}\}.$$



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- Gabidulin codes are maximum rank-distance (MRD) codes attaining the Singleton bound, d = n k + 1.



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- The general version also involves an enlargement of the matrix space.



Consider the generator matrix of an [n, k, t] Gabidulin code:

$$G := \begin{pmatrix} \alpha_1 & \alpha_2 & \dots & \alpha_n \\ \alpha_1^{[1]} & \alpha_2^{[1]} & \dots & \alpha_n^{[1]} \\ & & \vdots & \\ \alpha_1^{[k-1]} & \alpha_2^{[k-1]} & \dots & \alpha_n^{[k-1]} \end{pmatrix}$$

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Let  $S \in GL_k(\mathbb{F}_{q^m})$ , and  $X \in \mathbb{F}_{q^m}^{k \times n}$  a matrix of column rank t < t' over  $\mathbb{F}_q$ . The public key for the GPT system is given by:

$$\kappa_{\mathsf{pub}} = (SG + X, t' - t).$$



To encrypt a message m, one chooses an error vector e of rank weight at most t'-t and sends

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Since

$$\operatorname{wt}_{\mathsf{R}}(\boldsymbol{m}X + \boldsymbol{e}) \leq t + (t' - t) = t,$$

we can decode this to mS and recover m.



# Cryptanalysis by Overbeck[Ove08]

Let  $\varphi: \mathbb{F}_{q^m} \longrightarrow \mathbb{F}_{q^m}$  be the Frobenius automorphism. Let  $\mathcal{C} \subset \mathbb{F}_q^{m \times n} = (\mathbb{F}_{q^m})^n$  be an [n,k,t] rank metric code and let  $\varphi(\mathcal{C})$  denote the rank metric code when applying the Frobenius component-wise on the vectors in  $(\mathbb{F}_{q^m})^n$ . Overbeck observed that when  $\mathcal{C}$  is a Gabidulin code having generator matrix

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then

$$\varphi(\mathcal{C}) \cap \mathcal{C}$$

represents a Gabidulin code of dimension k-1. This was the basis of a polynomial time algorithm to retrieve the hidden Gabidulin

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Variants of rank metric McEliece Systems



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- **Loidreau** [Loi10] constructs a specific variant where  $G_{\text{ext}}$  of Overbeck's attack has a large dimensional kernel: The public generator matrix has the form:

$$S(G \mid Z)T, \tag{1}$$

for G a generator matrix of a  $\operatorname{Gab}_{n,k}(\alpha)$  code,  $S \in \operatorname{GL}_n(\mathbb{F}_{q^m})$ , Z a random  $k \times t$  matrix with entries in  $\mathbb{F}_{q^m}$  and  $T \in \operatorname{GL}_{n+t}(\mathbb{F}_q)$  an isometry of the rank metric.



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 Gabidulin, Rashwan and Honary [GRH09] proposed a column scrambler variant which is supposed to resist Overbeck's attack.

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## Distinguisher for rank metric McEliece Systems

The following result allows one to build distinguishers for Gabidulin variants of rank metric McEliece Systems.

### Theorem (Marshall-Trautmann 2015)

(Marshall-Trautmann 2015) An [n, k, d] (linear) rank metric code is isometrically equivalent to a Gabidulin code if and only if

$$\varphi(\mathcal{C}) \cap \mathcal{C}$$

has dimension equal to k-1.



# Distinguisher for rank metric McEliece Systems

#### Lemma

The set of [n, k, d] rank metric codes for which

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forms a generic set in the Grassmann variety.



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#### Remark

As we can see, using above distinguisher, many if not all published variants based on Gabidulin codes are insecure.



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  of rank metric and subspace codes, in particular orbit codes
  which come with decoding algorithm of polynomial time. Is it
  possible to come up with McEliece type systems.
- Variants of McEliece: Can one specify transformations which are "almost isometries" or which can correct certain error patterns.



Consider an orbit code

$$\mathcal{C} = \{ \mathcal{U} \cdot A \mid A \in \mathfrak{G} \},\$$

where  $\mathcal{U} \in \mathcal{G}(k, n)$  and  $\mathfrak{G} < GL_n(\mathbb{F}_q)$  and where we know that a polynomial time decoding algorithm exists.

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- Security: Is based on the hardness of decoding a general orbit code.



## Interesting Variants which might survive a quantum computer:

Medium Density Parity Check Codes: Baldi, Bambozzi
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  and are of the type 'medium density parity check code'.
- Near Isometries: As a Public key choose  $\tilde{G} := SGP$  where S is a random invertible matrix and P is a low weight transformation, i.e. 'near isometry'. Such variants were proposed in  $[BBC^+16]$ .

Basics on Public Key Crypto Systems Research Directions in Post-Quantum Cryptography Variants of McEliece System Distinguisher Attacks McEliece for Rank Metric Codes

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