

# Codes in classical association schemes

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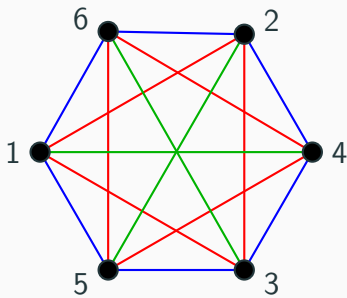
- Symmetric matrices,
- Hermitian matrices,
- Alternating matrices,
- Quadratic forms (or cosets of alternating matrices),
- ... and connections to other objects.

# Association schemes

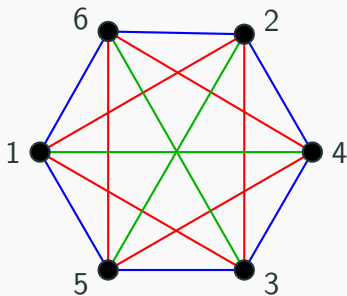
*In coding theory and related subjects, an association scheme (such as the Hamming scheme) should mainly be viewed as a “structured space” in which the objects of interest (such as codes, or designs) are living.*

— Delsarte & Levenshtein, 1998

# A simple example



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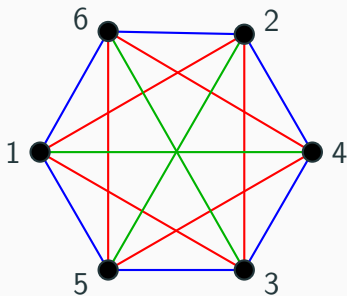


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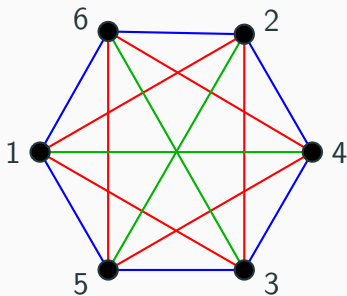
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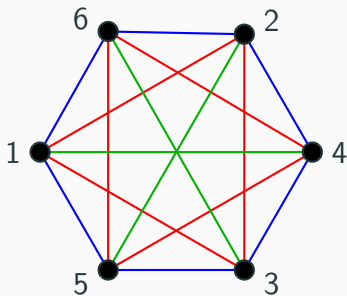
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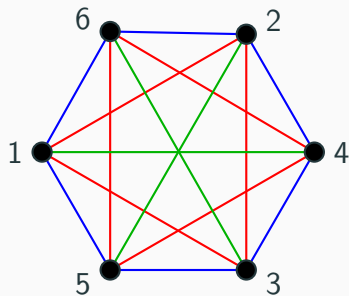
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$$D_1 D_2 = 1 \cdot D_1 + 0 \cdot D_2 + 2 \cdot D_3$$

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The matrices  $I, D_1, D_2, D_3$  generate a commutative algebra:

$$D_1 D_2 = D_2 D_1 = D_1 + 2D_3$$

$$D_1 D_3 = D_3 D_1 = D_2$$

$$D_2 D_3 = D_3 D_2 = D_1$$

$$D_1^2 = 2I + D_2$$

$$D_2^2 = 2I + D_2$$

$$D_3^2 = I$$



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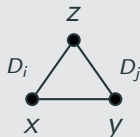
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## Combinatorial definition

The tuple  $(D_0 = I, D_1, \dots, D_n)$  forms an **association scheme** on  $X$  if the number of triangles depends only on the graph containing  $(x, y)$ .



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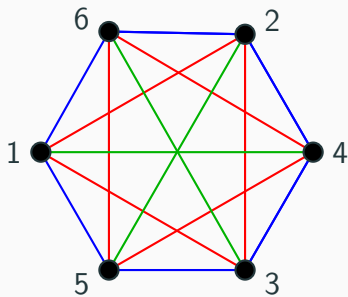
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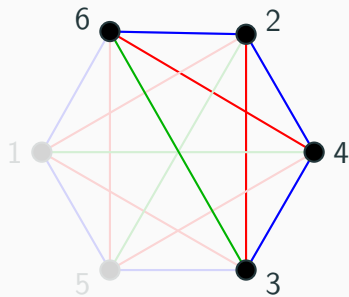
The number  $P_i(k)$  is an eigenvalue of  $D_i$  whose eigenspace is the column space of  $E_k$ .



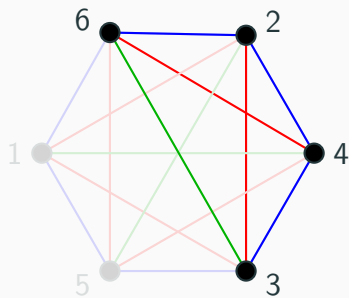
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Inner distribution:  $\frac{1}{4}(4, 6, 4, 2)^T$ .

# Subsets and duality

Take a subset  $Y$  of the vertex set  $X$  with characteristic vector  $u$ :

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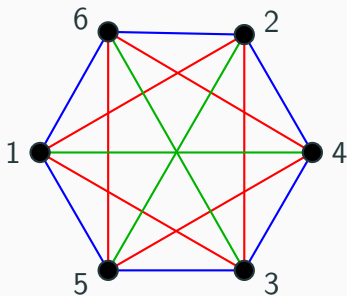
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Proof:  $E_k$  has eigenvalues 0 or 1, so is positive semidefinite.

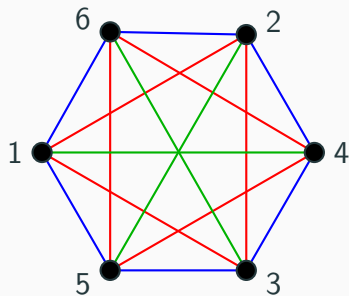


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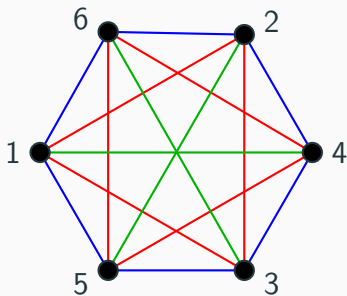
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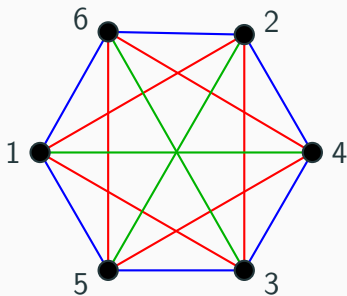
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**Unique solution:**  $\mathbf{a} = (1, 0, 2, 0)^T$ .

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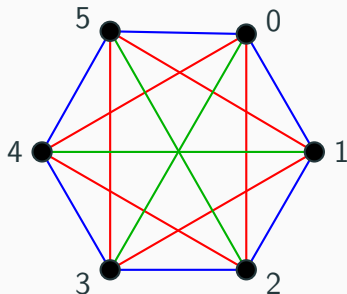
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A translation scheme on  $(\mathbb{Z}_6, +)$  with the partition

$$X_0 = \{0\}$$

$$X_1 = \{1, 5\}$$

$$X_2 = \{2, 4\}$$

$$X_3 = \{3\}.$$

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The role of the  $P$ - and the  $Q$ -numbers are swapped in the dual translation scheme.

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For additive subsets  $Y$ , we have the divisibility constraints

$$a_i \in \mathbb{Z}, \quad a'_k/|Y| \in \mathbb{Z}.$$

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## Alternating forms scheme

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$\text{Her}(n, q)$  on the set of  $n \times n$  Hermitian matrices over  $\mathbb{F}_{q^2}$ .

## Alternating forms scheme

$\text{Alt}(m, q)$  on the set of  $m \times m$  alternating matrices over  $\mathbb{F}_q$ .

Two matrices are  $i$ -th associates if their difference has rank  $i$  (or  $2i$  for  $\text{Alt}(m, q)$ ).



# $q$ -Hamming schemes

## Hamming scheme

$\text{Ham}_t(n)$  on the set of  $n$ -tuples over a set of size  $t$ .

Two tuples are  $i$ -th associates if their Hamming distance is  $i$ .

## Bilinear forms scheme

$\text{Mat}(m, n, q)$  on the set of  $m \times n$  matrices over  $\mathbb{F}_q$ .

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All are self-dual translation schemes.

# $P$ - and $Q$ -numbers

The  $P$ - and  $Q$ -numbers satisfy a three-term-recurrence, whose solution is determined by generalised Krawtchouk polynomials:

$$P_i(k) = Q_k(i) = \sum_{j=0}^k (-1)^{k-j} b^{\binom{k-j}{2}} \begin{bmatrix} n-j \\ n-k \end{bmatrix}_b \begin{bmatrix} n-i \\ j \end{bmatrix}_b (cb^n)^j,$$

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- $b = q^2$  and  $c = q$  or  $c = 1/q$  and  $n = \lfloor m/2 \rfloor$  in  $\text{Alt}(m, q)$  (Delsarte-Goethals 1975).

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If the condition does not hold, then the bound still holds for additive codes.

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## Theorem (S. 2017).

For **odd**  $d$ , every  $d$ -code  $Y$  in  $\text{Her}(n, q)$  satisfies

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The bounds are tight, except possibly when  $n$  and  $d$  are even.

# Constructions of optimal additive codes

Every Hermitian form  $H : \mathbb{F}_{q^{2n}} \times \mathbb{F}_{q^{2n}} \rightarrow \mathbb{F}_{q^2}$  can be uniquely written as

$$H(x, y) = \text{Tr}(y^q L(x)),$$

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- For even  $n$  and even  $d$ , I don't know, except when  $d \in \{2, n\}$ .

# Constructions in the non-additive case

## Theorem

(Gow-Lavrauw-Sheekey-Vanhove 2014, S. 2017).

Let  $n$  be even and let  $Z$  be a set of  $q^n$  matrices over  $\mathbb{F}_{q^2}$  of size  $n/2 \times n/2$  with the property that  $A - B$  is nonsingular for all distinct  $A, B \in Z$ . Let

$$Y = \left\{ \begin{pmatrix} I & A^* \\ A & AA^* \end{pmatrix} : A \in Z \right\} \cup \left\{ \begin{pmatrix} O & O \\ O & I \end{pmatrix} \right\},$$

Then  $Y$  is an  $n$ -code in  $\text{Her}(n, q)$  of size  $q^n + 1$ .

## Theorem (S. 2017).

For **even**  $d$ , every  $d$ -code  $Y$  in  $\text{Her}(n, q)$  satisfies

$$|Y| \leq q^{n(n-d+1)} \frac{q^n(q^{n-d+1} + (-1)^n) - (-1)^n(q^{n-d+2} - (-1)^n)}{q^{n-d+1}(q+1)}.$$

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Some numbers for 2-codes in  $\text{Her}(2, q)$ :

$q$	Largest add. code	Largest code	LP	SDP
2	4	5	6	5
3	9	15	21	17
4	16	24	52	43
5	25	47	105	89

# The unique 2-code in $\text{Her}(2, 3)$ of size 15

For every of the 15 pairs of matrices over  $\mathbb{F}_9$

$$\begin{bmatrix} 0 & 0 \\ 0 & 0 \end{bmatrix}, \begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix}, \begin{bmatrix} 1 & \theta^3 \\ \theta^2 & 0 \end{bmatrix}, \begin{bmatrix} 0 & \theta^2 \\ \theta^3 & 0 \end{bmatrix}, \begin{bmatrix} 1 & \theta^{-2} \\ \theta^{-3} & 0 \end{bmatrix}, \begin{bmatrix} 0 & \theta^{-3} \\ \theta^{-2} & 0 \end{bmatrix}$$

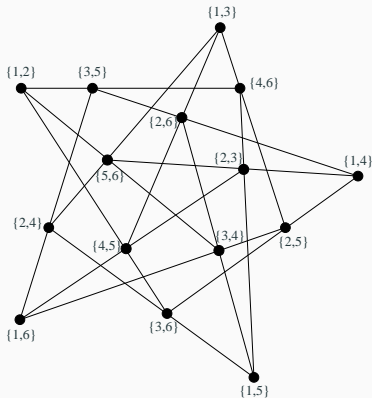
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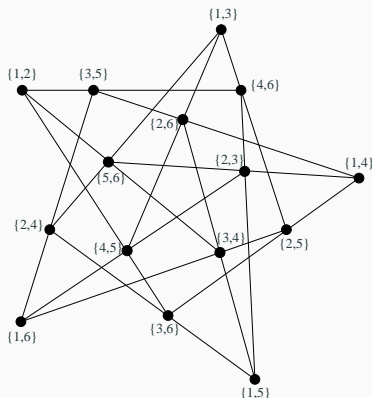


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The **Cremona-Richmond** configuration.

# Partial spreads in the Hermitian polar space

**Partial spread** in  $H(2n - 1, q^2)$ : Collection of  $n$ -dimensional subspaces in  $H(2n - 1, q^2)$  with pairwise trivial intersection.

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For even  $n$ , several bounds have been obtained by (De Beule-Klein-Metsch-Storme 2008, Ihringer 2014, M. Schmidt 2016, Ihringer-Sin-Xiang 2018).

# Bounds for $d$ -codes in $\text{Alt}(m, q)$

**Theorem (Delsarte-Goethals 1975).**

Every  $d$ -code  $Y$  in  $\text{Alt}(m, q)$  satisfies

$$|Y| \leq \begin{cases} q^{m((m-1)/2-d+1)} & \text{for odd } m \\ q^{(m-1)(m/2-d+1)} & \text{for even } m. \end{cases}$$

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This bound is tight when  $m$  is odd.

# Kerdock sets, spreads and beyond

Two equivalent objects:

**Kerdock set:** An  $n$ -code of size  $q^{2n-1}$  in  $\text{Alt}(2n, q)$ .



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For odd  $q$  and  $n > 2$ , no nontrivial  $d$ -codes in  $\text{Alt}(2n, q)$  meeting the LP bound are known to exist.

# Additive codes in $\text{Alt}(m, q)$

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There are constructions meeting the bound.



# APN functions

An **almost perfect nonlinear** (APN) function is a function  $f : \mathbb{F}_{2^m} \rightarrow \mathbb{F}_{2^m}$  such that

$$f(x + a) - f(x) = b$$

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**Observation (Edel 2009).**

Every quadratic APN function corresponds to a minimal additive 1-design in  $\text{Alt}(m, q)$  and vice versa.

# APN functions

An **almost perfect nonlinear** (APN) function is a function  $f : \mathbb{F}_{2^m} \rightarrow \mathbb{F}_{2^m}$  such that

$$f(x + a) - f(x) = b$$

has at most two solutions for all  $a, b \in \mathbb{F}_{2^m}$  with  $a \neq 0$ .

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$$(1, 0, 21, 42), \quad (1, 1, 16, 46).$$

- For  $m = 8$ , there at least three different inner distributions:

$$(1, 0, 0, 85, 170), \quad (1, 0, 1, 80, 174), \quad (1, 0, 2, 75, 178).$$

# Two (nonclassical) association schemes

$\text{Sym}(m, q)$ :  $m \times m$  symmetric matrices over  $\mathbb{F}_q$

$\text{Qua}(m, q)$ : cosets of  $m \times m$  alternating matrices over  $\mathbb{F}_q$

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The group  $\mathbb{F}_q^\times \times \text{GL}_m(\mathbb{F}_q)$  acts on  $\text{Sym}(m, q)$  and  $\text{Qua}(m, q)$  by

$$\begin{aligned}((\lambda, L), S) &\mapsto \lambda \cdot LSL^T \\ ((\lambda, L), [A]) &\mapsto [\lambda \cdot LAL^T].\end{aligned}$$

In each case there is **one orbit** for each **odd rank** and **two orbits** for each nonzero **even rank**.

These orbits define two translation association association schemes with  $m + \lfloor m/2 \rfloor + 1$  classes.

# $P$ - and $Q$ -numbers

The character group of  $\text{Sym}(m, q)$  can be identified with  $\text{Qua}(m, q)$  and  $\text{Qua}(m, q)$  and  $\text{Sym}(m, q)$  are dual to each other. In particular,  $\text{Sym}(m, q)$  is self-dual for odd  $q$ .

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## **Theorem (S. 2015, 2017).**

The  $P$ - and  $Q$ -numbers of  $\text{Sym}(m, q)$  and  $\text{Qua}(m, q)$  can be expressed as linear combinations of generalised Krawtchouk polynomials.

Special cases (Bachoc-Serra-Zemor 2017) and recurrence relations (Feng-Wang-Ma-Ma 2008) were known before.

# A nice form of the duality

Sym( $m, q$ )

$$A_s = a_{2s+} + a_{2s-} + a_{2s-1}$$

$$B_s = a_{2s+} + a_{2s-} + a_{2s+1}$$

$$C_s = q^{-s}(a_{2s+} - a_{2s-}) \quad (q \text{ odd})$$

$$C_s = a_{2s+} \quad (q \text{ even})$$

Qua( $m, q$ )

$$A'_r = a'_{2r+} + a'_{2r-} + a'_{2r-1}$$

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Then

$$A' = Q_{m+1}A, \quad B' = q^m Q_m C, \quad C' = Q_m B,$$

where  $Q_m$  is the  $Q$ -matrix of  $\text{Alt}(m, q)$ .



# Bounds in $\text{Sym}(m, q)$

$$A' = Q_{m+1}A \quad A_s = a_{2s+} + a_{2s-} + a_{2s-1} \quad A'_r = a'_{2r+} + a'_{2r-} + a'_{2r-1}$$

## Theorem (S. 2017).

For **odd**  $d$ , every  $d$ -code  $Y$  in  $\text{Sym}(m, q)$  satisfies

$$|Y| \leq \begin{cases} q^{m(m-d+2)/2} & \text{for even } m-d, \\ q^{(m+1)(m-d+1)/2} & \text{for odd } m-d. \end{cases}$$

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The cases  $m-d \in \{1, 2\}$  were first obtained by (Gow 2014).

# Some numbers for $\text{Sym}(m, 2)$

$(m, d)$	Largest add. code	Largest code	LP bound
$(3, 2)$	16	$= 22$	24
$(4, 2)$	256	$\geq 320$	384
$(5, 4)$	64	$\geq 96$	196

The constructions are from (M. Schmidt 2016).

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The optimal 2-code in  $\text{Sym}(3, 2)$ : Take the zero matrix together with the 21 nonalternating matrices of rank 2.

## Bounds in $\text{Qua}(m, q)$ for even $q$

$$A' = Q_{m+1}A \quad A_s = a_{2s+} + a_{2s-} + a_{2s-1} \quad A'_r = a'_{2r+} + a'_{2r-} + a'_{2r-1}$$

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## Theorem (S. 2017).

Let  $q$  be even and let  $Y$  be a  $d$ -code in  $\text{Qua}(m, q)$ . Then

$$|Y| \leq \begin{cases} q^{m(m-d+2)/2} & \text{for odd } m \text{ and odd } d, \\ q^{(m+1)(m-d+1)/2} & \text{for even } m \text{ and odd } d, \\ q^{(m-1)(m-d+2)/2} & \text{for even } m \text{ and even } d, \\ q^{m(m-d+1)/2} & \text{for odd } m \text{ and even } d. \end{cases}$$

These bounds are tight. If  $d$  is odd and equality holds, then the inner distribution of  $Y$  is determined.



# Applications to coding theory

$$\begin{array}{lcl} \text{Qua}(m, q) & \cong & \text{GRM}(2, m) / \text{GRM}(1, m) \\ \text{inner distribution} & \Leftrightarrow & \text{distance distribution} \end{array}$$

# Applications to coding theory

$$\text{Qua}(m, q) \cong \text{GRM}(2, m) / \text{GRM}(1, m)$$

inner distribution  $\Leftrightarrow$  distance distribution

type	rank	minimum weight of coset
parabolic	$2s + 1$	$q^{m-1}(q - 1) - q^{m-s-1}$
elliptic	$2s$	$q^{m-1}(q - 1) - q^{m-s-1}$
hyperbolic	$2s$	$(q^{m-1} - q^{m-s-1})(q - 1)$

# Elliptic and hyperbolic $d$ -codes

## Theorem (S. 2017).

Let  $Y$  be an elliptic  $(2d)$ -code in  $\text{Qua}(2n, q)$ . Then

$$|Y| \leq q^{2n(n-d+1/2)}.$$

This bound is tight, and if equality holds, then the inner distribution of  $Y$  is determined.

The same bound holds for additive hyperbolic  $d$ -codes.

# Codes and their distance distributions

We obtain many optimal or best known codes and very general theorems for the distance distribution of classes codes, for which many special cases have been previously obtained:

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For  $q = 2$ : (Berlekamp 1970), (Kasami 1971)

For odd  $q$ : (Feng & Luo 2008), (Luo & Feng 2008), (Y. Liu & Yan 2013), (X. Liu & Luo 2014a), (X. Liu & Luo 2014b), (Y. Liu, Yan & Ch. Liu 2014), (Zheng, Wang, Zeng & Hu 2014), ...

# Codes in classical association schemes

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