

On the density hypothesis for L-functions associated with holomorphic cusp forms.

(by Jasson Vindas, 10-4-2024)

□ The Riemann hypothesis: The distribution of prime numbers is intimately connected with the properties of the Riemann zeta function, defined as

$$\zeta(s) = \sum_{n=1}^{\infty} \frac{1}{n^s}, \quad \operatorname{Re} s > 1,$$

and extended by analytic continuation as a meromorphic function with a single simple pole at  $s=1$ , so that

$$\zeta(s) - \frac{1}{s-1} \text{ is entire.}$$

The Riemann hypothesis claims that the only zeros of  $\zeta$  are located at:

①  $s = -2, -4, -6, \dots$  (trivial zeros)

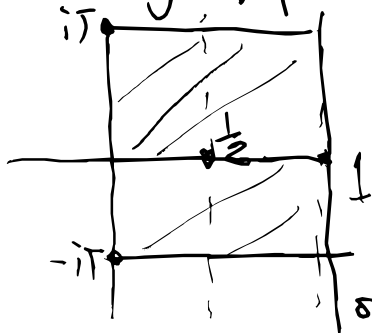
②  $\operatorname{Re} s = \frac{1}{2}$ .

The claim ① follows from the functional equation

$$\zeta(s) = 2^s \pi^{s-1} \sin\left(\frac{\pi s}{2}\right) \Gamma(1-s) \zeta(1-s),$$

while  $\{s \in \mathbb{C} : \operatorname{Re} s = \frac{1}{2}\}$  is the so-called critical line. It was shown by Hardy that  $\zeta$  has infinitely many zeros on the critical line. ①

many zeros on this critical line. In fact,  
 it is even known that the number of zeros  $N(T)$  of  $\zeta$   
 lying on the critical strip  $0 < \text{Re } s < 1$  and having  
 imaginary part  $|\text{Im } s| \leq T$ .



$$N(T) = \frac{T}{\pi} \log \frac{T}{2\pi e} + O(\log T),$$

$T \rightarrow \infty$ ,

a formula stated by Riemann (1859) and  
 shown by von Mangoldt (1905).

The RH is one of the greatest unsolved\* problems in  
 mathematics. It is equivalent to the following quantified  
 form of the PNT. Let  $\pi(x)$  be the number of  
 prime numbers  $\leq x$ . Then RH holds  $\Leftrightarrow$

for each  $\varepsilon > 0$ ,

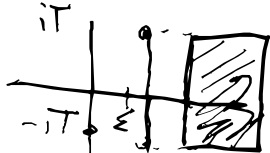
$$\pi(x) = \int_2^x \frac{du}{\log u} + O_\varepsilon(x^{\frac{1}{2}-\varepsilon}).$$

Here are some of the records for zero free  
 regions of  $\zeta$

\* David Hilbert once said: If I would wake up  
 after sleeping 1000 years, my first question would  
 be: has RH already been proved? (2)

zero free region $s = \sigma + it$ .	Record
$\sigma \geq 1 - \frac{c}{\log(1+ t )}$	de la Vallée-Poussin 1897
$\sigma \geq 1 - \frac{\log \log(e+ t )}{\log(1+ t )}$	Littlewood 1922
$\sigma \geq 1 - \frac{c}{(\log(1+ t ))^{2/3} (\log \log(e+ t ))^{1/3}}$	Vinogradov-Korobov 1958 (best known)

[2] Zero-density estimates: There is also a great interest in zero-density estimates. Denote as  $N(\sigma, T)$  the number of zeros of  $\zeta$  on the rectangle  $\lim_{\delta \rightarrow 0} \delta < T$  and  $\sigma \leq \text{Re } s \leq 1$



In 1937, Ingham connected estimates of the form

$$(1) N(\sigma, T) \ll_{\varepsilon} T^{c(1-\sigma)+\varepsilon}, \quad \frac{1}{2} \leq \sigma \leq 1,$$

(3)

with the behavior of primes in short intervals. Use  
 (1) and the so-called exact von Mangoldt formula

$$\sum_{x \leq p \leq x+h} \log p \sim h, \quad x \rightarrow \infty, \quad h \gg x^{1+\varepsilon - \frac{1}{c}}$$

This estimate when  $c=2$  is of the same quality as if one would assume the RH.

Since this is the case for several other arithmetic results, the (conjectural) inequality

$$(DH) \quad N(\sigma, T) \ll_{\varepsilon} T^{2(1-\sigma)+\varepsilon} \quad \frac{1}{2} \leq \sigma < 1$$

is known as the density hypothesis.

### [3] The density hypothesis for the Riemann zeta function.

The (DH) is out of reach of current methods. Nevertheless there has been a lot of progress maximizing its range of validity. Let  $\sigma_0 \geq \frac{1}{2}$  be such that

$$N(\sigma, T) \ll_{\varepsilon} T^{2(1-\sigma)+\varepsilon} \quad \sigma_0 \leq \sigma \leq 1.$$

We have the following results:

\*\* If  $\psi$  is the Chebyshev function,

$$\psi(x) = x - \sum_{\substack{\rho \\ \text{Re}(\rho) = 0}} \frac{x^{\rho}}{\rho} - \log(2\pi)$$

$\sigma_0$	Record
0.9	Montgomery, 1969
0.8333...	Huxley, 1972
0.8076...	Romachondia, 1975
0.8	Huxley, 1975
$11/14 = 0.7857...$	Jutila, 1977
$25/32 = 0.78125$	Bourgain, 2000

4] Dirichlet L-functions. Let  $\chi$  be a Dirichlet character mod  $q$ , that is,

$\chi: \mathbb{N} \rightarrow \mathbb{C}$  such that

1.  $\chi$  is completely multiplicative
2.  $\chi$  is  $q$ -periodic
3.  $\chi(n) = 0 \iff (n, q) \neq 1$ .

It is associated L-function is

$$L(s, \chi) = \sum_{n=1}^{\infty} \frac{\chi(n)}{n^s}$$

Let  $N_{\chi}(\sigma, T)$  be the number of zeros in  $[\sigma, \sigma+1] + i[-T, T]$ .

The density hypothesis estimate

$$(2) \quad \sum_{\chi \bmod q} N_{\chi}(\sigma, T) \ll (qT)^{2(1-\sigma)+\varepsilon}, \quad \sigma_0 \leq \sigma \leq 1$$

has also been very much studied for L-functions

The best record is due to Heath-Brown (1979)

Theorem (2) holds with  $\sigma_0 = 15/19 = 0.7894\dots$

We note that Bourgain (2002) applied his so-called "dichotomy method" (developed to get his current best record for the Riemann zeta function) to Dirichlet L-functions, but this time he only could recover  $\sigma_0 = 15/19$ .

5 L-functions associated with holomorphic cusp forms

(6)

We have recently applied the dichotomy approach to obtain the current record for the range of validity for the density hypothesis estimate for  $L$ -functions associated to certain holomorphic cusp forms. We introduce some notations to state our result.

Let  $\mathbb{H}$  be the upper half-plane. A holomorphic function  $f: \mathbb{H} \rightarrow \mathbb{C}$  is called a holomorphic cusp form of weight  $k \in \mathbb{N}$  (w.r.t. the full  $SL(2, \mathbb{Z})$ ) if

① For any  $\gamma = \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in SL(2, \mathbb{Z})$

$$f(\gamma(z)) = (cz+d)^k f(z) ; \gamma(z) = \frac{az+b}{cz+d}.$$

②  $f(z) = O(1)$  as  $\text{Im } z \rightarrow \infty$ .

Take  $\gamma(z) = z+1$ ,  $f$  is 1-periodic and therefore always has Fourier series expansion

$$f(z) = \sum_{n=0}^{\infty} a_f(n) e^{2\pi i n z}.$$

We denote  $M_k$  the space of modular forms of  $\textcircled{7}$

weight  $k$ . The form is called a  
 (holomorphic) cusp form if  $a_f(0) = 0$ ,  
 we write  $f \in M_{k,0}$ . We are interested in those  
 for which their coefficients are multiplicative  
 functions, i.e.,

$$(3) \quad a_f(n \cdot m) = a_f(m) \cdot a_f(n), \quad (n, m) = 1.$$

In order to characterize this property Hecke  
 introduce a family of operators  $(n=1, 2, \dots)$

$$T_n: M_k \rightarrow M_k \quad \text{and} \quad T_n: M_{k,0} \rightarrow M_{k,0}$$

such that every simultaneous eigenvector of  
 $T_n, n=1, 2, \dots$  satisfies (3). We called  
 such forms (Hecke) eigenforms.

Here

$$(T_n f)\left(\frac{z}{n}\right) = n^{k-1} \sum_{d|n} d^{-k} \sum_{b=0}^{d-1} f\left(\frac{nz+bd}{b^2}\right).$$

From now on we fix a holomorphic Hecke  
 eigenform of weight  $k \in \mathbb{N}$ , and we normalize

$$a_f(1) = 1.$$

Lemma: (Deligne<sup>\*\*\*</sup>, 1974)  $|a_f(n)| \leq n^{\frac{k-1}{2}} d(n)$ ,  
 with  $d(n) = \sum_{d|n} 1$ . //



We define  $\lambda_f(n) = \frac{a_n}{n^{\frac{k-1}{2}}}$  as the (normalised)

L-Series associated with  $f$  is

$$L(s, f) = \sum_{n=1}^{\infty} \frac{\lambda_f(n)}{n^s} \left( = \prod_p \frac{1}{\left(1 - \frac{\lambda_f(p)}{p^s} + \frac{1}{p^{2s}}\right)} \right)$$

Hecke showed that  $L(s, f)$  extends as entire function to  $\mathbb{C}$ , while Good (1982) showed the second moment estimate

$$\int_0^T |L(\frac{1}{2} + it, f)|^2 dt \ll_{\varepsilon} T \log T$$

If  $N_f(\sigma, T)$  is the number of zeros of  $f$  on  $[\sigma, 1] + i[-T, T]$ , the following summarises what is known for the range of validity for

$$N_f(\sigma, T) \ll_{\varepsilon} T^{2(1-\sigma)+\varepsilon}, \quad \sigma_0 \leq \sigma \leq 1$$

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"This was shown in the context of Deligne's proof of Weil conjecture: the Riemann hypothesis for the zeta function of a nonsingular projective algebraic variety over  $\mathbb{F}_q$ " (9)

$\tau_0$	Record
$53/60 = 0.8833\dots$	Ivić, 1989
$1407/1601 = 0.8788\dots$	Chen, Debruyne, V. 2024

Our result (to appear in Rev. Mat. Iberoam.)  
actually applies to  $L$ -functions  $L(s) = \sum_{n=1}^{\infty} \frac{c(n)}{n^s}$ :

- satisfying a Ramanujan conjecture  
for its coefficients (i.e., multiplicity  
Euler type identity and good bounds on coefficients)
- satisfying polynomial bounds on suitable  
half-planes.
- satisfying a second moment type estimate  $\ll T^{1/2}$ .