The nuclearity of Gelfand-Shilov spaces and kernel theorems

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Key feature: the validity of abstract Schwartz kernel theorems

Establishing nuclearity for a function space: central question from the point of view of applications and understanding its locally convex structure.

In this talk we discuss:

- Nuclearity for global spaces of ultradifferentiable functions with controlled decay at infinity.
- These spaces are of Gelfand-Shilov type.
- Our results are counterparts of characterizations of
 - nuclear Köthe sequence spaces;
 - nuclear Gelfand-Shilov spaces of smooth functions.
- We obtain new kernel theorems in this context.

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Schwartz' kernel theorem: $\mathcal{S}'(\mathbb{R}^{d_1+d_2}) \cong \mathcal{L}(\mathcal{S}(\mathbb{R}^{d_1}), \mathcal{S}'(\mathbb{R}^{d_2}))$

Natural isomorphism: each continuous $L: \mathcal{S}(\mathbb{R}^{d_1}) \to \mathcal{S}'(\mathbb{R}^{d_2})$ is determined by

$$\langle L(\varphi_1), \varphi_2 \rangle = \langle f(x, y), \varphi_1(x) \varphi_2(y) \rangle,$$

for some distribution kernel $f \in \mathcal{S}'(\mathbb{R}^{d_1+d_2})$

Grothendieck discovered nuclearity is the underlying property of a lcs for the validity of abstract Schwartz kernel theorems.

"With a few exceptions the locally convex spaces encountered in analysis can be divided into two classes. First there are the normed spaces, [....]. The second class consists of the so-called nuclear locally convex spaces ..."

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A. Pietsch

Nuclear maps

Let E and F be Banach spaces. A nuclear map $L: E \to F$ is a trace-class map, that is, one that is representable as

$$L = \sum_{j=1}^{\infty} \lambda_j (x'_j \otimes y_j)$$
 with $(\lambda_j) \in \ell^1$, $y_j \in F$, and $x_j \in E'$.

Nuclear space

A lcHs *E* is nuclear if for every continuous seminorm *p* there is another one $q \ge p$ such that the natural map $\widehat{E}_q \to \widehat{E}_p$ is nuclear.

Grothendieck's criterion

Let E be either a Fréchet space or a (DF)-space. Then, E is nuclear if and only if every weakly summable sequence in E is absolutely summable.

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• Consider $\mathscr{W} = (w_n)_{n \in \mathbb{N}}$ with $w_n \in C(\mathbb{R}^d)$ and $1 \le w_1 \le w_2 \le \cdots$.

• $\mathcal{K}(\mathcal{W}) = \{ \varphi \in C^{\infty}(\mathbb{R}^d) \mid \max_{|\alpha| \le n} \|\varphi^{(\alpha)} w_n\|_{L^{\infty}} < \infty \quad \forall n \in \mathbb{N} \}.$

Theorem

Assume the mild regularity hypothesis: $\forall n \in \mathbb{N} \exists m \in \mathbb{N} \exists C > 0$

$$\sup_{|y|\leq 1} w_n(x+y) \leq Cw_m(x), \quad \forall x \in \mathbb{R}^d.$$

Then, $\mathcal{K}(\mathcal{W})$ is nuclear if and only if $\forall n \in \mathbb{N} \exists m \in \mathbb{N} : w_n/w_m \in L^1$

- This follows from: Vogt's sequence space representation and characterization of nuclear Köthe sequence spaces.
- Gelfand-Shilov showed necessity under stronger conditions.
- Kruse has (2020) studied the problem on open $\Omega \subset \mathbb{R}^d$.

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There has been recent interest in nuclearity and kernel theorems for Gelfand-Shilov spaces of type ${\cal S}$

- Pilipović, Prangoski, and myself (2018).
- Boiti, Jornet, Oliaro, and Schindl (2020, 2021).

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However:

- Sufficient conditions in those works already contained in classical work by Mityagin (1960), up to minor modifications
- Considered classes not stable under tensor products.

Mityagin results actually apply for general classes of Gelfand-Shilov spaces defined by weight matrices.

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A weight sequence $M = (M_{\alpha})_{\alpha}$ is a (multi-)sequence of positive numbers such that $\lim_{\alpha \to \infty} M_{\alpha}^{1/|\alpha|} = \infty$ and $M_{\alpha+e_i}^2 \leq M_{\alpha}M_{\alpha+2e_i}$, $\forall \alpha \in \mathbb{N}^d$.

A weight sequence system $\mathfrak{M} = \{M^{\lambda} : \lambda \in \mathbb{R}_+\}$ is a family of weight sequences such that $M^{\lambda} \leq M^{\mu}$ when $\lambda \leq \mu$.

A family $\mathscr{W} = \{w^{\lambda} : \lambda \in \mathbb{R}_+\}$ of positive continuous functions is called a weight function system if $1 \le w^{\lambda} \le w^{\mu}$ when $\mu \le \lambda$.

Given $\lambda > 0$, we consider the Banach spaces

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General Gelfand-Shilov spaces of Beurling and Roumieu type

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 ${}_1^{\mathfrak{q}}$ is the common notation for both the Beurling and Roumieu spaces

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 $S_{[w]}^{[90]}$ is the common notation for both the Beurling and Roumieu spaces.

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One can generate important examples of weight systems as follows

• Via a weight sequence $M = (M_{\alpha})_{\alpha \in \mathbb{N}^d}$:

$$\mathfrak{M}_{M} = \{ (\lambda^{|\alpha|} M_{\alpha})_{\alpha \in \mathbb{N}^{d}} : \lambda \in \mathbb{R}_{+} \}, \qquad \mathscr{W}_{M} = \{ \exp \omega_{M}(\cdot/\lambda) : \lambda \in \mathbb{R}_{+} \}$$

where $\omega_{M}(x) = \sup_{\alpha \in \mathbb{N}^{d}} \log \frac{|x^{\alpha}| M_{0}}{M_{\alpha}}, x \in \mathbb{R}^{d}.$

• Via a single non-decreasing weight function $\omega: [0,\infty) \to [0,\infty)$

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$$S^{[\mathcal{M}]}_{[\mathcal{A}]} := \mathcal{S}^{[\mathfrak{M}_{\mathcal{M}}]}_{[\mathscr{W}_{\mathcal{A}}]}$$
 (Gelfand-Shilov) and $\mathcal{S}^{[\omega]}_{[\eta]}$

 $:= S^{[\mathfrak{M}_{\omega}]}_{\mathfrak{W}_{\omega}}$ (Beurling-Björck)

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Notation

We employ [·] as a common notation for the Beurling and Roumieu cases. The conditions below should be preceded by the quantifiers: Beurling case: $\forall \lambda \in \mathbb{R}_+ \ \exists \mu \in \mathbb{R}_+;$ Roumieu case: $\forall \mu \in \mathbb{R}_+ \ \exists \lambda \in \mathbb{R}_+.$

• We consider the following conditions on \mathfrak{M} : [L] $\forall L > 0: L^{|\alpha|} M^{\mu}_{\alpha} \leq CM^{\lambda}_{\alpha};$ $[\mathfrak{M}.2]' \quad \exists H > 0: M^{\mu}_{\alpha+e_j} \leq CH^{|\alpha|} M^{\lambda}_{\alpha}.$ • We also consider the following conditions on \mathscr{W} : $[wM] \quad \sup_{|y| \leq 1} w^{\lambda}(x+y) \leq Cw^{\mu}(x)$ $[M] \quad w^{\lambda}(x+y) \leq Cw^{\mu}(x)w^{\mu}(y)$ $[N] \quad w^{\lambda}/w^{\mu} \in L^{1}(\mathbb{R}^{d})$

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• Let \mathfrak{M} satisfy [L] and $[\mathfrak{M}.2]'$.

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- M is called isotropically decomposable if, after some permutation of the multi-indices, it can be written as a tensor product of isotropic weight sequence systems.

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Characterization of nuclearity for Beurling-Björck spaces, Proc. Amer. Math. Soc. 12 (2020), 5171–5180.

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 $\mathcal{S}_{[n]}^{[\omega]}$ is nuclear if and only if η satisfies:

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A better result for $\mathcal{S}^{[\omega]}_{[n]}$ can be obtained, that is the subject of our paper:



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For more details, see our articles:

- A. Debrouwere, L. Neyt, J. Vindas, The nuclearity of Gelfand-Shilov spaces and kernel theorems, Collect. Math. 72 (2021), 203–227.
- A. Debrouwere, L. Neyt, J. Vindas, Characterization of nuclearity for Beurling-Björck spaces, Proc. Amer. Math. Soc. 12 (2020), 5171–5180.