

# Recent developments on complex Tauberian theorems for Laplace transforms

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Complex Tauberian theorems have been strikingly useful tools in diverse areas such as:

- Analytic number theory and analytic combinatorics.
- Spectral theory for (pseudo-)differential operators.
- Last three decades: operator theory and semigroups.

We will discuss some recent developments on complex Tauberians for Laplace transforms and power series. We will be concerned with two groups of statements:

- Wiener-Ikehara theorems.
- Ingham-Fatou-Riesz theorems.

### Main questions:

- 1 Relax boundary requirements to a minimum.
- 2 Mild Tauberian hypotheses (one-sided conditions).

This talk is based on collaborative work with G. Debruyne.

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# The classical Wiener-Ikehara theorem

## Theorem (Wiener-Ikehara, Laplace-Stieltjes transforms)

Let  $S$  be a non-decreasing function (*Tauberian hypothesis*) such that  $\mathcal{L}\{dS; z\} = \int_0^\infty e^{-zt} dS(t)$  converges for  $\Re z > 1$ . If

$$\mathcal{L}\{dS; z\} - \frac{A}{z-1}$$

has analytic continuation through  $\Re z = 1$ , then  $S(x) \sim Ae^x$ .

## Theorem (Wiener-Ikehara, version for Dirichlet series)

Let  $a_n \geq 0$  and  $\lambda_n \nearrow \infty$ . Suppose  $\sum_{n=1}^\infty a_n \lambda_n^{-z}$  converges for  $\Re z > 1$ . If

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## From the Wiener-Ikehara theorem to the PNT:

The Prime Number Theorem (PNT) asserts that

$$\pi(x) = \sum_{p \leq x} 1 \sim \frac{x}{\log x}$$

- PNT is equivalent to  $\psi(x) = \sum_{p^\alpha \leq x} \log p = \sum_{n \leq x} \Lambda(n) \sim x$ .
- $\zeta(z) = \sum_{n=1}^{\infty} n^{-z}$  has analytic continuation to  $\Re z > 0$  except for simple pole with residue 1 at  $z = 1$ .
- Logarithmic differentiation of  $\zeta(z) = \prod_p (1 - p^{-z})^{-1}$  leads to

$$\sum_{n=1}^{\infty} \frac{\Lambda(n)}{n^z} = -\frac{\zeta'(z)}{\zeta(z)}, \quad \Re z > 1.$$

- $(z-1)\zeta(z)$  has no zeros on  $\Re z = 1$ , so

$$-\frac{d}{dz}(\log((z-1)\zeta(z))) = -\frac{\zeta'(z)}{\zeta(z)} - \frac{1}{z-1}$$

is analytic in a region containing  $\Re z \geq 1$ . The rest follows from the Wiener-Ikehara theorem.

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# Remarks on the Wiener-Ikehara theorem

- Another typical application: Weyl type spectral asymptotics for (pseudo-)differential operators.
- Historically, the Wiener-Ikehara theorem improved a Tauberian theorem of Landau (1908) by eliminating the unnecessary hypothesis  $G(z) = O(|z|^N)$  on

$$G(z) = \mathcal{L}\{dS; z\} - \frac{A}{z-1}$$

- The hypothesis  $G(z)$  has analytic continuation to  $\Re z = 1$  can be significantly relaxed to “good boundary behavior”:
  - 1  $G(z)$  has continuous extension to  $\Re z = 1$ .
  - 2  $L^1_{loc}$ -boundary behavior:  $\lim_{x \rightarrow 1^+} G(x + iy) \in L^1(I)$  for every finite interval  $I$ .
  - 3 Local pseudofunction boundary behavior (Korevaar, 2005). To be explained later ...
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# The Fatou-Riesz theorem

In his very influential 1906 paper

*Séries trigonométriques et séries de Taylor,*

Fatou proved the following theorem on analytic continuation of power series.

## Theorem (Fatou-Riesz theorem)

Suppose that  $F(z) = \sum_{n=0}^{\infty} c_n z^n$  converges for  $|z| < 1$  and  $c_n = o(1)$  (**this is the Tauberian condition**). If  $F(z)$  has analytic continuation to a neighborhood of  $z = 1$ , then  $\sum_{n=0}^{\infty} c_n$  converges and

$$\sum_{n=0}^{\infty} c_n = F(1).$$

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# Ingham theorem for Laplace transforms

In 1935 Ingham obtained a Fatou-Riesz type Tauberian theorem for Laplace transforms. Essentially the same theorem was shown by Karamata in 1936. The result makes use of *slow decrease*.

A function  $\tau$  is called **slowly decreasing** if for each  $\varepsilon > 0$  there is  $\delta > 0$  such that

$$\liminf_{x \rightarrow \infty} \inf_{h \in [0, \delta]} (\tau(x+h) - \tau(x)) > -\varepsilon.$$

that is,  $\tau(x+h) - \tau(x) > -\varepsilon$  for  $x > X_\varepsilon$  and  $0 \leq h < \delta_\varepsilon$ .

## Theorem (Ingham)

Let  $\tau \in L^1_{loc}(\mathbb{R})$  be slowly decreasing (**Tauberian hypothesis**), vanish on  $(-\infty, 0)$ , and have convergent Laplace transform  $\mathcal{L}\{\tau; z\} = \int_0^\infty \tau(t)e^{-zt} dt$  for  $\Re z > 0$ . Suppose that there is a constant  $b$  such that

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# Developments in the 1980s: Newman's contour integration method

In 1980 Newman gave a simple contour integration proof of the next Tauberian theorem.

## Theorem

Let  $a_n = O(1)$  (*Tauberian hypothesis*). If  $F(z) = \sum_{n=1}^{\infty} \frac{a_n}{n^z}$  has analytic continuation beyond  $\Re z = 1$ , then

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# Newman's short way to the PNT

Newman's Tauberian theorem from above provides a relatively simple way to prove the PNT.

- One works here with the Möbius

$$\mu(n) = \begin{cases} 1 & \text{if } n = 1, \\ (-1)^r & \text{if } n \text{ has } r \text{ distinct prime factors,} \\ 0 & \textit{otherwise.} \end{cases}$$

- Property:  $\mu$  is the Dirichlet convolution inverse of 1. So,

$$\sum_{n=1}^{\infty} \frac{\mu(n)}{n^z} = \frac{1}{\zeta(z)} \quad (\zeta \text{ is the Riemann zeta function})$$

- Applying the previous theorem,  $\sum_{n=1}^{\infty} \frac{\mu(n)}{n} = \frac{1}{\zeta(0)} = 0$ .
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# Tauberians motivated by applications in semigroups

Newman's contour integration method was adapted to a variety of Tauberian problems in numerous articles.

Its importance was recognized by the semigroup community. Here is a sample (extending a result of Korevaar and Zagier):

Theorem (Arendt and Batty, 1988)

Let  $\rho \in L^\infty(\mathbb{R})$  (*Tauberian hypothesis*) vanish on  $(-\infty, 0)$ . Suppose that  $\mathcal{L}\{\rho; z\}$  has analytic continuation at every point of the complement of  $iE$  where  $E \subset \mathbb{R}$  is a closed null set. If  $0 \notin iE$  and

$$\sup_{t \in E} \sup_{x > 0} \left| \int_0^x e^{-itu} \rho(u) du \right| < \infty,$$

then the (improper) integral of  $\rho$  converges to  $b = \mathcal{L}\{\rho; 0\}$ , that is,

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then the (improper) integral of  $\rho$  converges to  $b = \mathcal{L}\{\rho; 0\}$ , that is,

$$\int_0^\infty \rho(t) dt = b.$$



If  $E = \emptyset$ , the result is due to Korevaar and Zagier (independently), who also obtained it via Newman's contour integration technique.

In this case, the result is contained in Ingham's Fatou-Riesz type theorem:

- Set  $\tau(x) = \int_0^x \rho(u)du \Rightarrow \mathcal{L}\{\tau; z\} = \frac{\mathcal{L}\{\rho; z\}}{z}$ .
- $\mathcal{L}\{\rho; z\}$  has analytic continuation beyond  $\Re z = 0$  if and only if

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The Arendt-Batty Tauberian theorem readily extends to functions with values on a Banach space. Here is a sample application of the vector-valued version:

### Theorem (Arendt and Batty)

Let  $(T(t))_{t \geq 0}$  be a **bounded**  $C_0$ -semigroup on a reflexive Banach space  $X$ . Denote the spectrum of its infinitesimal generator  $A$  as  $\sigma(A)$ . If  $\sigma(A) \cap i\mathbb{R}$  is countable and no eigenvalue of  $A$  lies on the imaginary axis, then

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In recent times, Tauberian methods have been revisited to study rates of convergence that can be applied to PDE, e.g. decay estimates for damped wave equations.

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*Suppose that  $F(z) = \sum_{n=0}^{\infty} c_n z^n$  converges for  $|z| < 1$  and  $S_n = \sum_{k=0}^n c_k = O(1)$  (**Tauberian condition**). If  $F(z)$  has analytic continuation to every point  $\partial\mathbb{D} \setminus \{1\}$ , then  $c_n = o(1)$ .*

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# Application in operator theory

The above theorem has also a Banach space valued counterpart, which Katznelson and Tzafriri used to prove:

**Theorem (Katznelson and Tzafriri, 1986)**

Let  $T$  be a power-bounded operator on a Banach space ( $\sup_{n \in \mathbb{N}} \|T^n\| < \infty$ ). Then,

$$\lim_{n \rightarrow \infty} \|T^{n+1} - T^n\| = 0$$

if and only if  $\sigma(T) \cap \partial\mathbb{D} \subseteq \{1\}$ .

**Proof:** The contraposition of the direct implication follows by standard functional calculus. For the converse, if  $\lambda I - T$  is invertible for all  $|\lambda| \geq 1$ ,  $\lambda \neq 1$ , then  $g(z) = \sum_{n=0}^{\infty} T^n z^n$  is analytic on  $\partial\mathbb{D} \setminus \{1\}$ , the same is true for

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# Pseudofunctions and pseudomeasures

Pseudofunctions and pseudomeasures are notions that naturally arise in harmonic analysis.

- Pseudomeasures:  $PM(\mathbb{R}) = \{g \in \mathcal{S}'(\mathbb{R}) : \widehat{g} \in L^\infty(\mathbb{R})\}$
- Pseudofunctions:  $PF(\mathbb{R}) = \{g \in PM(\mathbb{R}) : \lim_{x \rightarrow \infty} \widehat{g}(x) = 0\}$

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Let  $G$  be analytic on  $\Re z > \alpha$  and  $U \subset \mathbb{R}$  be open.

We say that  $G$  has **local pseudofunction boundary behavior** on  $\alpha + iU$  if it has distributional boundary values there, i.e.

$$\lim_{x \rightarrow \alpha^+} G(x + iy) = g(y) \text{ in } \mathcal{D}'(U)$$

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# Extension of the Ingham-Fatou-Riesz theorem

## Theorem (Debruyne and Vindas, 2016)

Let  $\tau \in L^1_{loc}(\mathbb{R})$  be slowly decreasing, vanish on  $(-\infty, 0)$ , and have convergent Laplace transform on  $\Re z > 0$ . Suppose that there is a closed null set  $E \subset \mathbb{R}$  such that:

- (I) The analytic function  $\mathcal{L}\{\tau; z\} - \sum_{n=1}^N \frac{b_n}{z - it_n}$ , where  $t_n \in \mathbb{R}$ , has local pseudofunction boundary behavior  $i(\mathbb{R} \setminus E)$ ,
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- (III)  $E \cap \{t_1, \dots, t_N\} = \emptyset$ .

Then  $\tau(x) = \sum_{n=1}^N e^{t_n x} + o(1)$ .

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**Remark:** This shows that there are actually **no singular points** for the local pseudofunction boundary behavior of  $\mathcal{L}\{\tau; z\}$  in the above theorem.

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# Second version of the Ingham-Fatou-Riesz theorem

## Theorem (Debruyne and Vindas, 2016)

Let  $\tau \in L^1_{loc}(\mathbb{R})$  be slowly decreasing, vanish on  $(-\infty, 0)$ , and have convergent Laplace transform on  $\Re z > 0$ . Let  $\beta_1 \leq \dots \leq \beta_m \in [0, 1)$  and  $k_1, \dots, k_m \in \mathbb{Z}_+$ . The analytic function

$$\mathcal{L}\{\tau; z\} - \frac{a}{z^2} - \sum_{n=1}^N \frac{b_n}{z - it_n} - \sum_{n=1}^m \frac{c_n + d_n \log^{k_n}(1/z)}{z^{\beta_n+1}} \quad (t_n \in \mathbb{R})$$

has local pseudofunction boundary behavior on  $\Re z = 0$  **if and only if**

$$\begin{aligned} \tau(x) = & ax + \sum_{n=1}^N b_n e^{it_n x} + \sum_{n=1}^m \frac{c_n x^{\beta_n}}{\Gamma(\beta_n + 1)} \\ & + \sum_{n=1}^m d_n x^{\beta_n} \sum_{j=0}^{k_n} \binom{k_n}{j} D_j(\beta_n + 1) \log^{k_n-j} x + o(1), \end{aligned}$$

where  $D_j(\omega) = \frac{d^j}{dy^j} \left( \frac{1}{\Gamma(y)} \right) \Big|_{y=\omega}$ .

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# Extension of the Korevaar-Wiener-Ikehara theorem

## Theorem (Debruyne and Vindas, 2016)

Let  $S$  be a non-decreasing function and supported in  $[0, \infty)$  such that  $\mathcal{L}\{dS; z\} = \int_0^\infty e^{-zt} dS(t)$  converges for  $\Re z > \alpha > 0$ .

Suppose that there are a closed null set  $E$ , constants  $r_0, r_1, \dots, r_N \in \mathbb{R}$ ,  $\theta_1, \dots, \theta_N \in \mathbb{R}$ , and  $t_1, \dots, t_N > 0$  such that:

$$(I) \quad \mathcal{L}\{dS; z\} - \frac{r_0}{z - \alpha} - \sum_{n=1}^N r_n \left( \frac{e^{i\theta_n}}{z - \alpha - it_n} + \frac{e^{-i\theta_n}}{z - \alpha + it_n} \right)$$

admits local pseudofunction boundary behavior on  $\alpha + i(\mathbb{R} \setminus E)$ ,

$$(II) \quad E \cap \{0, t_1, \dots, t_N\} = \emptyset, \text{ and}$$

$$(III) \quad \text{for every } t \in E, \int_0^x e^{-\alpha u - itu} dS(u) = O_t(1).$$

Then

$$S(x) = e^{\alpha x} \left( \frac{r_0}{\alpha} + 2 \sum_{n=1}^N \frac{r_n \cos(t_n x + \theta_n - \arctan(t_n/\alpha))}{\sqrt{\alpha^2 + t_n^2}} + o(1) \right).$$



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$$S(x) = e^{\alpha x} \left( \frac{r_0}{\alpha} + 2 \sum_{n=1}^N \frac{r_n \cos(t_n x + \theta_n - \arctan(t_n/\alpha))}{\sqrt{\alpha^2 + t_n^2}} + o(1) \right).$$

# Extension of the Korevaar-Wiener-Ikehara theorem

## Theorem (Debruyne and Vindas, 2016)

Let  $S$  be a non-decreasing function and supported in  $[0, \infty)$  such that  $\mathcal{L}\{dS; z\} = \int_0^\infty e^{-zt} dS(t)$  converges for  $\Re z > \alpha > 0$ .

Suppose that there are a closed null set  $E$ , constants  $r_0, r_1, \dots, r_N \in \mathbb{R}$ ,  $\theta_1, \dots, \theta_N \in \mathbb{R}$ , and  $t_1, \dots, t_N > 0$  such that:

$$(I) \quad \mathcal{L}\{dS; z\} - \frac{r_0}{z - \alpha} - \sum_{n=1}^N r_n \left( \frac{e^{i\theta_n}}{z - \alpha - it_n} + \frac{e^{-i\theta_n}}{z - \alpha + it_n} \right)$$

admits local pseudofunction boundary behavior on  $\alpha + i(\mathbb{R} \setminus E)$ ,

$$(II) \quad E \cap \{0, t_1, \dots, t_N\} = \emptyset, \text{ and}$$

$$(III) \quad \text{for every } t \in E, \int_0^x e^{-\alpha u - itu} dS(u) = O_t(1).$$

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Conversely, if  $S$  has asymptotic behavior

$$S(x) = e^{\alpha x} \left( \frac{r_0}{\alpha} + 2 \sum_{n=1}^N \frac{r_n \cos(t_n x + \theta_n - \arctan(t_n/\alpha))}{\sqrt{\alpha^2 + t_n^2}} + o(1) \right).$$

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$$\mathcal{L}\{dS; z\} = \frac{r_0}{z - \alpha} - \sum_{n=1}^N r_n \left( \frac{e^{i\theta_n}}{z - \alpha - it_n} + \frac{e^{-i\theta_n}}{z - \alpha + it_n} \right)$$

has local pseudofunction boundary behavior on the whole line  $\Re z = \alpha$ .

# Extension of the Katznelson-Tzafriri theorem

## Theorem (Debruyne and Vindas, 2016)

Let  $F(z) = \sum_{n=0}^{\infty} c_n z^n$  be analytic in  $\mathbb{D}$ . Suppose that there is a closed null subset  $E \subset \partial\mathbb{D}$  such that  $F$  has local pseudofunction boundary behavior on  $\partial\mathbb{D} \setminus E$ , whereas for each  $e^{i\theta} \in E$

$$\sum_{n=0}^N c_n e^{in\theta} = O_{\theta}(1)$$

Then,  $c_n = o(1)$ . Moreover, the series  $\sum_{n=0}^{\infty} c_n e^{in\theta_0}$  converges at every point where there is a constant  $F(e^{i\theta_0})$  such that

$$\frac{F(z) - F(e^{i\theta_0})}{z - e^{i\theta_0}}$$

has pseudofunction boundary behavior at  $z = e^{i\theta_0} \in \partial\mathbb{D}$ , and

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# An important particular case

Showing all of the above four theorems may be reduced to:

## Theorem

Let  $\tau \in L^1_{loc}(\mathbb{R})$  be slowly decreasing, vanish on  $(-\infty, 0)$ , and have convergent Laplace transform on  $\Re z > 0$ . Suppose there is a closed null set  $E \subset \mathbb{R}$  such that:

- (I)  $\mathcal{L}\{\tau; z\}$  has local pseudofunction boundary behavior on  $i(\mathbb{R} \setminus E)$ ,
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# Some tools

Our approach is based in the following tools:

- 1 Boundedness theorems (**crucial**)
- 2 A characterization of local pseudofunctions (also **crucial**)
- 3 Distributional methods (standard)

The next notion plays a key role for boundedness theorems:

A function  $\tau$  is **boundedly decreasing** if there is a  $\delta > 0$  such that

$$\liminf_{x \rightarrow \infty} \inf_{h \in [0, \delta]} (\tau(x+h) - \tau(x)) > -\infty,$$

that is, if there are constants  $\delta, X, M > 0$  such that

$$\tau(x+h) - \tau(x) \geq -M, \quad \text{for } 0 \leq h \leq \delta \text{ and } x \geq X.$$



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# Boundedness theorem

Our main boundedness result allows us to conclude boundedness of a boundedly decreasing function from the boundary behavior of its Laplace transform at  $z = 0$ .

Theorem (Debruyne and Vindas, 2016)

Let  $\tau \in L^1_{loc}(\mathbb{R})$  vanish on  $(-\infty, 0)$  and have convergent Laplace transform  $\mathcal{L}\{\tau; z\} = \int_0^\infty \tau(t)e^{-zt}dt$  for  $\Re z > 0$ . Suppose the following Tauberian condition is satisfied:

$\tau$  is boundedly decreasing.

If  $\mathcal{L}\{\tau; z\}$  has local *pseudomeasure boundary behavior* at  $z = 0$  (i.e. in some imaginary segment  $i(-\lambda, \lambda)$ ), then

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# Characterization of local pseudofunctions and the pseudofunction singular support of distributions

We introduce:

Given  $f \in \mathcal{D}'(U)$ , its **singular pseudofunction support** in  $U$ , denoted as **sing supp<sub>PF</sub>  $f$** , is the complement in  $U$  of the largest open subset of  $U$  where  $f$  is a local pseudofunction.

Theorem (Debruyne and Vindas, 2016)

*Let  $f \in \mathcal{D}'(U)$ . Suppose there is a closed null set  $E \subset U$  such that*

- (I)  $\text{sing supp}_{PF} f \subseteq E$ , and*
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$$f = (t - t_0)f_{t_0} \quad \text{on } V_{t_0} .$$

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# Pseudofunction spectrum

Given  $g \in \mathcal{S}'(\mathbb{R})$ , we define its **pseudofunction spectrum** as the closed set  $\text{sp}_{PF}(g) = \text{sing supp}_{PF} \widehat{g}$ .

The space of bounded distributions  $\mathcal{B}'(\mathbb{R})$  is the dual of

$$\mathcal{D}_{L^1}(\mathbb{R}) = \{\varphi \in \mathcal{C}^\infty(\mathbb{R}) \mid \varphi^{(n)} \in L^1(\mathbb{R}), \forall n \in \mathbb{N}\}.$$

$\dot{\mathcal{B}}'(\mathbb{R})$ , the space of distributions 'vanishing' at  $\pm\infty$ , is the completion of  $\mathcal{D}(\mathbb{R})$  in (the strong topology of)  $\mathcal{B}'(\mathbb{R})$ .

## Lemma

Let  $\tau \in \mathcal{B}'(\mathbb{R})$ . Then,  $\tau \in \dot{\mathcal{B}}'(\mathbb{R})$  if and only if  $\text{sp}_{PF}(\tau) = \emptyset$ .

## Lemma

Let  $\tau \in \dot{\mathcal{B}}'(\mathbb{R}) \cap L^1_{loc}(\mathbb{R})$  be slowly decreasing. Then

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# Some references

The last part of this talk is based on our recent work:

- G. Debruyne, J. Vindas, **Complex Tauberian theorems for Laplace transforms with local pseudofunction boundary behavior**, *J. Anal. Math.*, to appear (preprint: [arXiv:1604.05069](https://arxiv.org/abs/1604.05069))

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## Important book references on Tauberians

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